



## **CORNERSTONE**

I am an engaged learner in constant search of knowledge.

I foster human dignity through acts of civility and respect.

I maintain a distinguished character based on truth, honesty and integrity.

I pursue inner-peace by recognizing the significance of spirituality.

I demonstrate honorable citizenship through acts of civic engagement.

I embrace community by active involvement and service.

I lead a lifestyle that advances physical health and emotional well-being.

# Seahawk Respect Compact

In the pursuit of excellence, UNC Wilmington actively fosters, encourages, and promotes inclusiveness, mutual respect, acceptance, and open-mindedness among students, faculty, staff, and the broader community.

~ We **affirm** the dignity of all persons.

~ We **promote** the right of every person to participate in the free exchange of thoughts and opinions within a climate of civility and mutual respect.

~We **strive** for openness and mutual understanding to learn from differences in people, ideas, and opinions.

~We **foster** an environment of respect for each individual where differences are celebrated by the elimination of prejudice and discrimination through education and interaction with others.

Therefore, we expect members of the campus community to honor these principles as fundamental to our ongoing efforts to increase access to and inclusion in a community that nurtures learning and growth for all.

# UNCW CODE OF STUDENT LIFE

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The UNCW Policy Web site ([www.uncw.edu/policies](http://www.uncw.edu/policies)) serves as a policy index and repository for official UNCW policies. In addition to the Web site, policies are also found in various handbooks, brochures and other university publications. To the extent of any conflict between the policies found on the Web site and the policies found in handbooks, brochures or other university publications, the policies as listed on the Web site shall be the controlling authority and shall supersede any other versions of same or similar subject matter. The site is not intended to serve as the repository for all internal policies, procedures and guidelines from the respective college and schools of the university. For access to college, school and department level internal policies, procedures and guidelines, please contact the appropriate unit for information.



Dear Students,

On behalf of the Division of Student Affairs, welcome to the University of North Carolina Wilmington! There are many new experiences and challenges ahead that will push you to your intellectual and creative limits, both within and beyond the boundaries of our classrooms.

Your presence on our beautiful campus is our most valued asset. Each member of this community brings a wealth of talent and unique qualities that contribute toward making UNCW the most dynamic living and learning community in the UNC system. Collaboratively, our faculty and student affairs professionals will shape your academic experience and teach you the benefits of conducting yourself as a model citizen engaged in a diverse community of scholars.

Please make use of all the programs and services available throughout the university community. Being involved in campus life at UNCW is an expectation, and will provide you myriad opportunities to grow and learn important lessons for succeeding in life. Find the time to develop meaningful relationships with peers, faculty and staff, and seek to initiate conversations about issues that impact our quality of life. Make use of modern technologies, but also endeavor to hone personal relationships based on common interests and new curiosities about the world around us.

We look forward to your active participation in your university community. If you have any questions, concerns or just need some help at any point throughout your journey, please call upon our student affairs team to help. Have a great year!

Sincerely,

A handwritten signature in black ink that reads "Michael A. Walker". The signature is fluid and cursive.

Dr. Michael A. Walker

Associate Vice Chancellor for Student Affairs / Dean of Students

# **University of North Carolina Wilmington**

## **University Mission Statement**

The University of North Carolina Wilmington, the state's coastal university, is dedicated to learning through the integration of teaching and mentoring with research and service. Our powerful academic experience stimulates creative inquiry, critical thinking, thoughtful expression and responsible citizenship in an array of high-quality programs at the baccalaureate and master's levels, and in selected doctoral programs responsive to state and regional needs. Substantial research activity, combined with our hallmark teaching excellence and moderate size, advances distinctive student involvement in faculty scholarship. We are committed to diversity and inclusion, affordable access, global perspectives, and enriching the quality of life through scholarly community engagement in such areas as health, education, the economy, the environment, marine and coastal issues, and the arts.

(Statement adopted by the UNCW Board of Trustees on October 24, 2008)

## **University Statement of Academic Expectations for Students**

In choosing UNCW, you have become part of our community of scholars. We recognize that the UNCW learning experience is challenging and requires hard work. It also requires a commitment to make time available to do that hard work. The university expects you to make academics your highest priority by dedicating your time and energy to training your mind and acquiring knowledge. Academic success in critical thinking and problem solving prepares you for the changes and challenges you will encounter in the future. Our faculty and academic support resources are readily available as partners in this effort, but the primary responsibility for learning is yours.

## **Statement on Diversity in the University Community**

In the pursuit of excellence, UNC Wilmington actively fosters, encourages, and promotes inclusiveness, mutual respect, acceptance, and open-mindedness among students, faculty, staff, and the broader community. Diversity is an educational benefit that enhances the academic experience and fosters free exchange of ideas from multiple perspectives. Diversity includes, but is not limited to race, sex, age, color, national origin (including ethnicity), creed, religion, disability, sexual orientation, political affiliation, veteran's status, gender, educational disadvantage, socio-economic circumstances, language, and history of overcoming adversity.

For more information concerning ways in which our multicultural learning community may be nurtured and protected or complaint resolution procedures, contact the Office of Institutional Diversity and Inclusion, the Office of the Dean of Students or the Office of Human Resources.

## **Reaffirmation of Commitment**

### **To Equal Educational and Employment Opportunity**

The University of North Carolina Wilmington is committed to and will provide equality of educational and employment opportunity for all persons regardless of race, sex (such as gender, marital status, and pregnancy), age, color, national origin (including ethnicity), creed, religion, disability, sexual orientation, political affiliation, veteran status or relationship to other university constituents—except where sex, age, or ability represent bona fide educational or occupational qualifications or where marital status is a statutorily established eligibility criterion for state-funded employee benefit programs.

This affirmation is published in accordance with 41 CFR Part 60 and is implemented in accordance with Title VII and Title IX of the Civil Rights Act of 1964, as amended; Executive Order 11246; the Age Discrimination in Employment Act of 1967, as amended; the Rehabilitation Act of 1973; the Americans with Disabilities Act of 1990; the Vietnam Era Veterans' Readjustment Assistance Act of 1974; the Civil Rights Restoration Act of 1988; and NC General Statutes Chapters 116 & 126.

To ensure that equal educational and employment opportunity exists throughout the university, a results-oriented equal opportunity/affirmative action program has been implemented to overcome the effects of past discrimination and to eliminate any artificial barriers to educational or employment opportunities for all qualified individuals that may exist in any of our programs. The University of North Carolina Wilmington is committed to this program and is aware that with its implementation, positive benefits will be received from the greater utilization and development of previously under-utilized human resources.

*This publication is available in alternative format upon request.*







## **PREFACE**

The *Code of Student Life* outlines the rights and responsibilities and expected levels of conduct of students in the university community and the greater Wilmington community. The purpose of the rules outlined is to prevent abuse of the rights of others and to maintain an atmosphere in the university community appropriate for an institution of higher education. The *Code of Student Life* will help student organizations and members of the university desiring to use university facilities gain a better understanding of responsibilities of various boards and committees and understand student rights and responsibilities. Sections in the *Code* cover academic concerns (grievances and standards) and student conduct and appeals.

The *Code of Student Life* is published annually. Interpretations of sections within the *Code* may be requested by contacting the vice chancellor for student affairs.

Rules included in the *Code* are subject to amendment or revision. Any member of the university community may submit amendments or revisions to the vice chancellor for student affairs for consideration. The vice chancellor for student affairs may also ask the Student Affairs Committee, a standing advisory committee of the Faculty Senate, to make recommendations. The vice chancellor for student affairs will then make his/her recommendations to the chancellor for final consideration.

Students at the University of North Carolina Wilmington are subject to, and enjoy the protections of, the Constitution and laws of the United States and of North Carolina, as well as the *Code of the Board of Governors of the University of North Carolina* and relevant policies of the Board of Governors and of the Board of Trustees of the University of North Carolina Wilmington. This *Code of Student Life* is interpreted by reference to these sources of law. The following sections of the *Code of the Board of Governors* should be noted especially:

### **Section 600.**

#### **Freedom and Responsibility in the University Community.**

- (1) The University of North Carolina is dedicated to the transmission and advancement of knowledge and understanding. Academic freedom is essential to the achievement of these purposes. The university therefore supports and encourages freedom of inquiry for faculty members and students, to the end that they may responsibly pursue these goals through teaching, learning, research, discussion and publication, free from internal or external restraints that would unreasonably restrict their academic endeavors.

- (2) The university and each constituent institution shall protect faculty and students in their responsible exercise of the freedom to teach, to learn and otherwise to seek and speak the truth.
- (3) Faculty and students of the University of North Carolina shall share in the responsibility for maintaining an environment in which academic freedom flourishes and in which the rights of each member of the academic community are respected.

## **Section 608.**

### **Students' Rights and Responsibilities.**

- (1) The University of North Carolina affirms that the first goal of each constituent institution is to educate the students admitted to its programs. The freedom of students to learn is an integral and necessary part of the academic freedom to which the University and its constituent institutions are dedicated. Each constituent institution shall provide, within allotted functions and available resources, opportunity for its students to derive educational benefits through developing their intellectual capabilities, encouraging their increased wisdom and understanding, and enhancing their knowledge and experience applicable to the effective discharge of civic, professional, and social responsibilities. No constituent institution shall abridge either the freedom of students engaged in the responsible pursuit of knowledge or their right to fair and impartial evaluation of their academic performance.
- (2) All students shall be responsible for conducting themselves in a manner that helps to enhance an environment of learning in which the rights, dignity, worth and freedom of each member of the academic community are respected.
- (3) In applying regulations in the area of student discipline, each constituent institution shall adhere to the requirements of due process as set forth in Section 502 D(3) of this *Code*.

## **Section 502 D(3).**

### **Relation of the Chancellor to the Constituent Institutions.**

Subject to any policies or regulations of the Board of Governors or of the board of trustees, it shall be the duty of the chancellor to exercise full authority in the regulation of student affairs and student conduct and discipline. In the discharge of this duty, delegation of such authority may be made by the chancellor to faculty committees and to administrative or other officers of the institution or to agencies of student government, in such manner and to such extent as may by the chancellor be deemed necessary and expedient. In the discharge of the chancellor's duty with respect to manners of student discipline, it shall be the duty of the chancellor to secure to every student the right to due process. Appeals from these disciplinary decisions are allowable only on the following grounds: 1) a violation of due process; or 2) a material deviation from

Substantive and Procedural Standards adopted by the Board of Governors. Where the sanction is suspension or expulsion, an appeal may be made to the board of trustees. No appeal to the president is permitted. When the sanction is expulsion, the final campus decision is appealable to the Board of Governors.

Students have the right to enjoy a university environment free of unlawful discrimination, including sexual harassment. In all institutional relationships, the university expects its employees and students to show discretion and civility and to treat each other with equality and dignity. Discriminatory behavior, including sexual harassment of students by any university employee — faculty or staff — is a violation of both state and federal law and university policy. (See University Policy 02.200 & 02.210.)

# **SECTION I**

## **THE UNCW STUDENT ACADEMIC HONOR CODE POLICY 03.100**

### **I-1 THE UNCW STUDENT ACADEMIC HONOR CODE**

The University of North Carolina Wilmington is a community of high academic standards where academic integrity is valued. UNCW students are committed to honesty and truthfulness in academic inquiry and in the pursuit of knowledge. This commitment begins when new students matriculate at UNCW, continues as they create work of the highest quality while part of the university community, and endures as a core value throughout their lives.

### **I-2 THE UNCW HONOR PLEDGE**

All students enrolled at UNCW are subject to the UNCW Student Academic Honor Code (hereafter referred to as the Honor Code), which is intended to help every member of the UNCW community appreciate the high value placed on academic integrity and the means that will be employed to ensure its preservation. Students are expected to perpetuate a campus culture where each student does his or her own work while relying on appropriate resources for assistance. In such a climate students enjoy a special trust that they are members of a unique community where one's thoughts and words are attributed correctly and with proper ownership, and where there is little need for systems to sanction those who cheat. As such, all UNCW students shall commit to the principles and spirit of the Honor Code by adhering to the following pledge:

*“As a student at The University of North Carolina Wilmington, I am committed to honesty and truthfulness in academic inquiry and in the pursuit of knowledge. I pledge to uphold and promote the UNCW Student Academic Honor Code.”*

### **I-3 GUIDELINES IN SUPPORT OF THE HONOR CODE**

Disciplinary action will ensue when students fail to align themselves with the ideals and expectations outlined in the Honor Code. The following guidelines are designed to educate students about the types of academic dishonesty, the roles that the university community has in upholding the Honor Code, the procedures used to report and adjudicate alleged offenses, and the limitations on withdrawals and repeating courses in the event of academic dishonesty. Because the university takes a holistic approach to addressing student misconduct, both of an academic and non-academic nature, incidents involving students with prior findings of responsibility for academic dishonesty or serious non-academic misconduct (typically denoted by the status of “disciplinary probation”) will be referred to the Academic Honor Board.

## **A. ACADEMIC DISHONESTY OFFENSES**

No form of academic dishonesty is tolerated in our community. Academic dishonesty is broadly defined as attaining academic goals by deception, and includes but is not limited to attempted or completed offenses as follows:

### **1. CHEATING**

Cheating is deception implying that work in fulfillment of course or degree requirements represents a student's own level of knowledge when it actually does not. Common examples of cheating include:

- a. Any conduct during a program, course, quiz or examination which involves the unauthorized use of written or oral information, or information obtained by any other means of communication. Students are expected to consult with their instructors for clarification on whether assignments may be conducted jointly with other students. In the absence of approval for joint work, the expectation is that students will conduct their own work and research both outside and within the classroom environment (not including authorized assistance and sanctioned university resources such as the University Learning Center). Students must receive approval by their instructor(s) in advance for submitting a paper previously written and submitted by them for another class.
- b. The unauthorized acquisition, buying, selling, trading or theft of any examination, quiz, term paper or project.
- c. The unauthorized use of any electronic or mechanical device during any program, course, quiz or examination, or in connection with laboratory reports or other materials related to academic performance.
- d. The unauthorized use of laboratory reports, term reports, theses, or written materials in whole or in part.
- e. The unauthorized assistance or collaboration on any test, assignment, or project.
- f. The unauthorized use by a student of another person's work, or the falsification of any other person's work, or writing another person's work for them to submit.
- g. Bribery, including but not limited to the offering, giving, receiving or soliciting of any consideration in order to obtain a grade or other treatment not otherwise earned by the student through his/her own academic performance.
- h. Any form of lying or furnishing false information to a professor, administrator or staff member acting in performance of their duties (including taking an exam for another student).

### **2. PLAGIARISM**

Plagiarism is the copying of language, phrasing, structure, or specific ideas of another and presenting any of these as one's own work, including information found on the Internet. Common examples of plagiarism include but are not limited to:

- a. Reproducing someone else's work without quotation marks or proper attribution and submitting it as your own.

- b. Paraphrasing or summarizing another's work without attribution or acknowledgement of the source and submitting it as your own.
- c. Deliberate attribution to a source from which the referenced material was not in fact derived.
- d. Failing to cite a source for ideas or information.

## **B. RESPONSIBILITY OF THE UNIVERSITY COMMUNITY**

### **1. GENERAL RESPONSIBILITY**

It is the responsibility of every faculty member, student, administrator and staff member of the university community to uphold and maintain the highest academic standards and integrity of the university. Any member of the university community who has reasonable grounds to believe that an infraction of the Honor Code has occurred has an obligation to report the alleged violation to the faculty member teaching the class who, in turn, must report the allegation to the Office of the Dean of Students. This obligation is a core value of the Honor Code, and must be fulfilled by each and every member of the university.

### **2. STUDENT RESPONSIBILITY**

UNCW students affirm their adherence to the Honor Code by signing an Honor Pledge after enrolling at the university; thereafter, each student must conform to the Honor Code at all times. The absence of signing the pledge does not excuse students from their obligation to read, practice and be held accountable to the rules and spirit of the Honor Code. Students who observe or suspect an Honor Code violation are to notify the instructor in whose course the alleged infraction occurred.

### **3. RESPONSIBILITY OF INDIVIDUAL INSTRUCTORS**

Instructors should remind their students of the Honor Code during the first week of classes and include Section I of the Honor Code and the Honor Pledge in their syllabi. In addition to discussing the Honor Code with students, instructors should clarify whether and to what degree students are permitted to collaborate on assignments; use laptops, cell phones, and other electronic media in class; and submit papers that they have submitted or intend to submit for another course. While faculty are encouraged to discuss these issues with students, it is the student's responsibility to receive approval in advance for conducting joint academic work (work which involves anyone other than the student him/herself) or when attempting to submit work that was previously written/conducted by the student (commonly known as "recycling" one's work). While all students are bound by the Honor Code through either a signed pledge or by virtue of enrollment at UNCW, instructors have the option of including the Honor Pledge on examinations and requiring students to include a signed pledge with submitted work. Each instructor is obligated to report allegations of academic dishonesty, upon discovery, to the Office of the Dean of Students.

#### **4. RESPONSIBILITY OF ACADEMIC AFFAIRS**

The Division of Academic Affairs is responsible for advising all new university faculty and academic administrative staff regarding the Honor Code. The provost or designee shall have the right to hear an expedited student case as requested by the Office of the Dean of Students, and to hear appeals for students suspended as a result of Honor Code infractions (consistent with procedures outlined in the sections of the *Code of Student Life* labeled “Appeal of Administrative Action” or “Appeal of a Campus Conduct Board decision”).

#### **5. RESPONSIBILITY OF THE OFFICE OF THE DEAN OF STUDENTS**

The Office of the Dean of Students is responsible for overall education of the university community on the Honor Code; for advising all current university faculty, administrative staff, personnel and students of the Honor Code; and for collecting signed Honor Pledges. In consultation with the Faculty Senate, the Office of the Dean of Students will see that the Honor Code and any amendments or changes approved by the Faculty Senate and the UNCW administration are published and promulgated annually. The Office of the Dean of Students shall also receive and maintain comprehensive records of all matters relating to violations of the Honor Code; therefore, faculty must consult with the Office of the Dean of Students upon making or receiving a complaint of any Honor Code infraction.

#### **C. REPORTING AND ADJUDICATION PROCEDURES**

1. A reported infraction of the Honor Code shall be reported, preferably in writing, to the instructor of the course in which it occurred. Such a report shall be made within five business days from the time of discovery, unless extenuating circumstances prevent reporting.
2. A suspected infraction of the Honor Code may be reported by:
  - a. the student committing the infraction; or
  - b. any member of the university community observing the alleged infraction; or
  - c. any individual who has credible and reliable information that an infraction may have occurred.
3. Upon receiving a report of an alleged Honor Code infraction, the instructor shall consult with the Office of the Dean of Students to discuss appropriate procedures and protection of student rights, and to determine whether the case shall be heard by the faculty member, the Office of the Dean of Students or the Academic Honor Board. Any student with a prior finding of responsibility for an Honor Code offense or students with a history of serious non-academic misconduct (typically consistent with the status of “disciplinary probation”) will be referred to the Academic Honor Board for resolution.



4. If the student has no prior Honor Code offenses and no history of serious non-academic misconduct, the faculty member will meet with the student to inform the student of the allegation of academic dishonesty and explain to the student their options, as follows:

a. If the student admits the infraction, the faculty member must either propose a settlement by private resolution OR refer the case to the Office of the Dean of Students (without assigning a grade until after being informed of the final outcome of the case). The dean/designee will proceed as outlined in Section I-3-C-6.

b. If the faculty member decides on proposing a settlement by private resolution, proposed penalties should accord with the severity of the offense. Penalties can range from a failing grade on the work in question to a failing grade in the course.<sup>1</sup> The chairperson of the department in which the faculty member teaches may be consulted for assistance in reaching a settlement. If the penalty proposed by the instructor is acceptable to the student, the case can be resolved by providing notification to the department chair and to the dean of the college/school in which the faculty member teaches, and must be copied to the Office of the Dean of Students for inclusion in the student's disciplinary record.

5. If the student does not admit the infraction, the faculty member must either:

a. Refer the case to the Office of the Dean of Students (without assigning a grade). The dean/designee will proceed as outlined in Section I-3-C-6; OR

b. Decide not to pursue the accusation(s) due to lack of evidence, whereupon he/she shall inform the reporting party of this decision within five days and also inform the Office of the Dean of Students.

6. All cases referred to the Office of the Dean of Students will result in an honor hearing. If the student has no prior Honor Code offenses, the student shall choose a hearing either before the dean of students (or designee) or before the Academic Honor Board. Based on the nature and scope of the alleged infraction, the dean of students (or designee) may elect not to hear a case and to forward it directly to the Academic Honor Board. If the student has one or more prior Honor Code infractions or a history of serious non-academic misconduct, the case shall be heard by the Academic Honor Board. During a pre-hearing interview, the Office of the Dean of Students will provide each student respondent the opportunity to accept responsibility for the alleged offense and to accept a penalty appropriate for the offense without proceeding to the Academic Honor Board. If the student accepts the sanction, authority to determine the course grade resides with the instructor; however, an assigned grade of "F" for

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<sup>1</sup> The assigned grade of "F" to a graduate student will result in ineligibility to continue in the Graduate School.

the course is generally appropriate for an Honor Code offense. If the student disagrees with the sanction, the case shall be heard by the Academic Honor Board.

7. When hearing a case involving an undergraduate student, the UNCW Academic Honor Board shall be comprised of four undergraduates and two faculty members. One of the two faculty members must come from a different UNCW college or school than that in which the infraction occurred, as referred by the academic dean and appointed by the Office of the Dean of Students. A fully comprised board constitutes a quorum.

8. When hearing a case involving a graduate student, the UNCW Academic Honor Board shall be comprised of four graduate students from the current Graduate Student Association or assigned by the Graduate School, one faculty member from the Campus Conduct Board, and one additional faculty member appointed by the Dean of the Graduate School. A fully comprised board constitutes a quorum.

9. The outcome of an Academic Honor Board hearing is either a “responsible” or “not responsible” decision. If the student is found “responsible” for the charge, penalties shall be imposed according to the severity of the offense and made in consideration of whether it is the student’s first offense. The sanction of disciplinary probation is typical for a first Honor Code offense, although any sanction outlined in Section II-4 of the *Code of Student Life*, up to and including suspension, may be assigned. The appropriate sanction for any student proven to be a repeat offender is suspension from UNCW. Authority to determine the course grade resides with the instructor; however, an assigned grade of “F” for the course is generally appropriate for an Honor Code offense (see footnote, p.9 regarding the impact of an “F” for graduate students). If the Academic Honor Board or Office of the Dean of Students find the student not responsible for the charge, the instructor will ensure fair treatment of the student.

10. A student found responsible for an Honor Code offense may appeal the decision in accordance with appeals procedures outlined in the *Code of Student Life* (Section II-2-H for decisions made by the dean of students or designee, or II-3-D for decisions made by the Academic Honor Board). For Honor Code appeals, the provost or designee is designated for the purpose of deciding appeals.

11. The provost or designee, at his/her sole discretion, shall have the right to order an expedited review of the student’s case. In such circumstances, the provost or designee shall sit as a hearing officer in lieu of the Academic Honor Board or the dean of students. This expedited hearing procedure shall be used only in emergency circumstances as identified by the provost or designee at his/her sole discretion. The decision of the provost/designee in such expedited

proceedings shall constitute a final decision of the student's responsibility or non-responsibility, except in cases where suspension is the sanction, in which case the student may appeal to the Board of Trustees.

## **D. WITHDRAWS AND REPEATS**

1. Once a student alleged to have violated the Academic Honor Code, the student will be prohibited from withdrawing from the course. Should a student withdraw from the class, the grade of "W" will be considered temporary pending the final resolution of the case, which may lead to the designation of a grade in place of the "W."

2. A failing grade posted as a penalty for an admitted or adjudicated Honor Code offense shall not be replaced if the course is repeated. Both the penalty grade and the new grade shall appear on the student's transcript and count in the student's grade point average (see footnote one regarding the impact of an "F" for graduate students).

This policy is published online at [www.uncw.edu/policies](http://www.uncw.edu/policies).

## **SECTION II**

### **STUDENT CONDUCT AND APPEALS**

#### **II-1 STUDENT STANDARDS OF CONDUCT**

Students share in the responsibility for maintaining an environment in which the rights of each member of the academic community are respected. When asked to report to any university office, a student is expected to appear at the time specified or to arrange another appointment. The university conduct system is a cumulative process; consequently, any student found responsible for violating the *Code of Student Life* may expect a more significant sanction in relation to the severity of the offense(s) and/or in relation to being found responsible on multiple occasions. All students and their guests shall be responsible for conducting themselves in a manner that helps to enhance an environment of learning in which the rights, dignity, worth and freedom of each member of the academic community are respected. (See Section 600(3) and 608(2) of the *Code of the Board of Governors*, quoted in full in the preface to this *Code*.)

In accordance with the Family Educational Rights & Privacy Act (FERPA), upon full participation in university orientation, an individual is considered a UNCW student in attendance and shall maintain that status unless an official university withdrawal is submitted. Students with pending non-academic charges will not be allowed to formally withdraw from the university until the disciplinary process has been completed and recorded.

The University embraces and strives to uphold the freedoms of expression and speech guaranteed by the First Amendment of the U.S. Constitution and the North Carolina Constitution. The University has the right under appropriate circumstances to regulate time, place, and manner of exercising these and other constitutionally protected rights. All students are responsible for conducting themselves in a manner that helps enhance an environment of learning in which the rights, dignity, worth, and freedom of each member of the academic community are respected. Violations of campus or University policies, rules or regulations, or federal, state, or local law may result in violation of the *Code of Student Life* and imposition of student discipline (this section adopted April 2010 as required by UNC General Administration, Policy 700.4.2; 2-12-10).

#### **A. OFF-CAMPUS RELATIONSHIP STATEMENT**

The mission of the university is teaching, research and community service. Inherent in this mission is the responsibility of the university to educate its students to be responsible, civic-minded citizens. As a university, we value our relationship with the surrounding community and realize we have a tremendous social, cultural and economic impact on the greater Wilmington community.

Policy setting and enforcement (discipline) are ways of educating students. In fulfilling our responsibilities to “discipline” students, the university is guided by common law and constitutional law. By law, our students are citizens subject to the rights as well as the responsibilities of community living.

If individual students are identified and cited by staff, faculty or other students for violating state and/or university policies on campus, they are subject to the formal disciplinary process of the university and/or appropriate legal action.

If individual students are identified and reported by community members for breaking the law, the university supports appropriate law enforcement officials taking necessary judicial action. If these students are reported to university officials, the university will intervene on an informal basis. “Informal” intervention will involve individual student(s) or student organization leader(s) being referred to the dean of students or designee for discussion of the incident.

Off-campus behavior which may significantly impact the mission or the safety of the university community may be subject to formal university disciplinary action.

## **B. OFFENSES**

Notwithstanding actions taken by civil authorities or private litigants, the vice chancellor for student affairs or his/her designee may initiate disciplinary proceedings as outlined in Section II-2 and/or Section V-8 against a student or group who violates or attempts to violate this *Code* or other applicable rules, including the following:

1. Damages, defaces, alters, destroys or misuses university property or property belonging to a member of the university community, a visitor to the campus, or any other public or private property.
2. Takes, purchases, or possesses university property and/or services or property of any other person without expressed permission or authority.
3. Illegally possesses and/or uses a drug or narcotic. Students are expected to abide by local ordinances, state laws and federal laws regarding the consumption or possession of drugs (see State Law I).
4. Sells or intends to manufacture drugs (see State Law I).
5. Illegally possesses and/or uses drug paraphernalia (see State Law I).
6. Possesses firearms on university property or at an event sponsored or supervised by the university or any recognized university organization (see State Law II).
7. Possesses weapons, other than firearms, on university property or at an event sponsored by the university or any recognized university organization (see State Law II).
8. Conducts himself/herself in a manner that significantly interferes with the teaching, learning or operations of the university.

9. Conducts himself/herself in a manner that endangers the health or safety of self or others, including but not limited to, DWI citations.
10. Tamper with fire equipment, including fire alarms, on the UNCW campus as stated in North Carolina General Statute 14-286 (see State Law III).
11. Sets a fire in or on university property, including, but not limited to, property as stated in North Carolina Statute 14-60 (see State Law III).
12. Possesses or uses any explosive device, including, but not limited to, firecrackers, cherry bombs, bottle rockets and dynamite.
13. Possesses or uses an alcoholic beverage in violation of state law and/or fails to abide by university policy on consumption and advertising of alcoholic beverages (see University Policy 05.303 and 05.304), including all applicable policies in the *Guide to On-Campus Living*.
14. Is visibly overcome by alcohol or any other substance including, but not limited to, vomiting, loss of bodily control or consciousness, or requiring physical or medical assistance.
15. Engages in hazing. Hazing by university groups is prohibited on or off campus (see State Law IV). Hazing is defined as a covert or overt action, occurring on or off campus, by an individual or group of individuals in connection to recruitment, initiation, rite of passage, or membership in a fraternity, sorority, UNCW sport club, group, organization or athletic team; that subjects any other member of the university community, voluntarily or involuntarily, to activity which creates an atmosphere for potential or actual humiliation, degradation, verbal, emotional or physical distress, abuse or injury; or compromises the academic mission and/or reputation of the University of North Carolina Wilmington.
16. Inflicts or threatens bodily harm upon another, or acts in a manner which creates a risk of bodily harm to another.
17. Harasses, abuses or threatens another by means other than the use or threatened use of physical force, including, but not limited to, stalking, phone and electronic communication, that may include one or more of the following: speech or action that in and of itself inflicts injury or tends to incite a disruption, or causes substantial disruption, or reasonably causes fear of great harm, or that interferes with the educational environment or disrupts university programs; speech or actions that interfere with ingress and/or egress on campus or in any off-campus university programs or events; and/or speech or actions which are obscene, including but not limited to sexual displays which appeal to prurient interest, violate community standards and have no redeeming cultural, artistic, scientific or literary value.
18. Forges, alters, destroys or misuses university documents and records. Violations include, but are not limited to, forgery of applications for financial aid, admission, course changes and course credit, or alterations of transcripts, parking decals or student identification cards.
19. Gains unauthorized entry to any university property and/or possesses unauthorized keys or access codes/cards to university facilities. The

duplication of a university key or sharing of university access codes/cards is prohibited.

20. Gambles for money or other items of value; this includes playing of cards or other games of chance or skills for money or other items of value.
21. Lends, sells, manufactures, possesses or otherwise transfers a student identification card or any other official form of identification, including the use of a student I.D. and/or meal card if not its original holder (see State Law VI, VIII & IX).
22. Demonstrates and/or assembles in violation of North Carolina General Statutes.
23. Misuses university technology in violation of rules and regulations of the UNCW Information Technology Systems Division (see University Policy 07.100).
24. Misuses university communication systems, including university phones and data lines, without consent of those responsible for their control, including but not limited to, inappropriate use of a UNCW emergency callbox.
25. Intentionally furnishes false information to a member of the faculty, staff or a student acting in an official capacity.
26. Engages in disorderly conduct including, but not limited to, verbally abusive or inappropriate behavior (see State Law V).
27. Fails to comply with orders or directives of university officials, university hearing bodies, university police or any other law enforcement officers acting in performance of their duties.
28. Discriminates against another student through behavior of a biased or prejudiced nature related to one's personal characteristics, such as race, color, national origin, sex, religion, handicap, age or sexual orientation.
29. Is in violation of any part of the UNCW Harassment Prevention Policy (see University Policy 02.200).
30. Conducts himself/herself in a manner which encourages or enables illegal activity and/or a violation of the *Code of Student Life* by failing to confront the behavior or by implicitly condoning the behavior by his/her presence during the activity.
31. Is in violation of any North Carolina and/or Federal criminal law.
32. Is in violation of any part of the UNCW Sexual Misconduct Policy (see University Policy 04.130).
33. Is in violation of policies and procedures as stated in the UNCW Housing and Residence Life publication, *A Guide for On-Campus Living* (see Section IV-3).
34. Fails to provide accurate and complete information on the undergraduate or graduate application to the university.

## **C. IDENTIFICATION OF STUDENTS ON CAMPUS**

In order to protect the safety and welfare of students and employees of the university and to protect the property of the university, all persons at events

or on property under the jurisdiction of the university shall identify themselves to an appropriate institutional representative who has identified himself/herself. A person identifies himself/herself by giving his/her name and complete address and stating truthfully his/her relationship to the university to an appropriate university official, and by presenting a valid identification card upon request.

If any person refuses or fails upon request to present evidence of his/her identification and it reasonably appears that the person has no legitimate reason to be on the campus or in the facility, the person may be removed from the campus or facility and issued a trespass order.

## **D. FINANCIAL TRANSACTIONS WITH THE UNIVERSITY**

The Student Accounts and Cashier Services Office is responsible for matters relating to student financial transactions. Students who owe debts to the university may be denied enrollment or readmission and may have official transcripts withheld until the debt is paid. Students who write insufficient fund checks to the university for registration fees may have their registration cancelled and are charged a nonsufficient fee on their account. Checks written to the university for purposes other than obligations to the university that are returned for insufficient funds may be the basis for legal and/or disciplinary action against the student issuing the check.

The Office of Scholarships & Financial Aid reserves the right to revise or cancel student financial aid awards because of unauthorized changes in a recipient's enrollment status, inability to demonstrate satisfactory progress in their course of study, or failure to comply with written policies and procedures published by the Office of Scholarships & Financial Aid.

## **II-2 INITIATION OF DISCIPLINARY PROCEEDINGS AND ADMINISTRATIVE DISPOSITION**

Under the direction of the chancellor, the vice chancellor for student affairs has primary responsibility and authority for the administration of student discipline. Further delegation of this authority may be made by the vice chancellor for student affairs, to the Office of the Dean of Students and to residence hall staff and/or other disciplinary bodies, such as Student Organizations Committee, Sport Club Council, Graduate Student Association, Panhellenic Council, National Pan-Hellenic Council and Interfraternity Council.

### **A. DISCIPLINARY CORRESPONDENCE**

All disciplinary correspondence will be sent to the student's UNCW e-mail address, read receipt requested. The University of North Carolina Wilmington regards e-mail as an official method of communication with student, staff



and faculty (UNCW Policy 07.100). The university reserves the right to use other reasonable means to notify students.

## **B. FILING COMPLAINTS**

Any academic or administrative official, faculty or staff member or student may file a written complaint with the Office of the Dean of Students against any student for misconduct. The complaint must include factual information supporting the allegation. Anonymous charges will not be permitted.

While action on a complaint of violating a university regulation is pending, the status of the student shall not be altered except for reasons outlined in Section II-2-I.

## **C. PRESUMPTION OF INNOCENCE**

Any student charged with an infraction under this *Code* shall be presumed not responsible until proven responsible by a preponderance of evidence.

## **D. PRELIMINARY INVESTIGATION**

When the dean of students/designee receives information that a student has allegedly violated university regulations or local, state or federal law, the dean/designee shall investigate the alleged violation. After completing a preliminary investigation, normally a determination of whether or not to pursue the charge will be made within 30 calendar days. Reasonable extensions of this time are permissible. The dean/designee may:

1. Find no basis for the complaint and dismiss the allegation as unfounded, or
2. Summon the student for a conference (see Section II-2-G) and then either dismiss the allegation, or
3. Proceed administratively by informing the student of the following options for resolution of the disciplinary charges:
  - a. Disagree to the charge(s) and have a regular hearing before Campus Conduct Board (CCB) where a determination of responsibility will be made. If the student is held responsible by CCB, an appropriate sanction will be determined.
  - b. Disagree to the charge(s) and request an administrative hearing before the dean of students/designee where determination of responsibility will be made. The dean/designee may elect not to hear the case. The case would then be heard by CCB. If the student is held responsible by the administrative hearing officer, an appropriate sanction will be determined.
  - c. Agree to the charge(s) and elect for CCB to determine an appropriate sanction.
  - d. Agree to the charge(s) and elect for an administrative hearing before the dean of students/designee to determine an appropriate sanction. The dean/designee may elect not to hear the case. The case would then be heard by CCB.

## **E. ADMINISTRATIVE HEARING PROCEDURE**

1. If a student requests an administrative hearing before the dean of students/designee, the dean of students/designee is required to forward the student written notice of the time and place of the hearing to the accused at least five (5) business days prior to the hearing. The student may waive all or part of the five (5) business days written notice requirement. All waivers shall be executed in writing.
2. A student choosing an administrative hearing before the dean of students/designee has a right of appeal to CCB and then to the vice chancellor for student affairs, for cases resulting in suspension.
3. If a student chooses a hearing before CCB and is cited for an additional violation(s) in the interim, the student then forfeits the right to a CCB hearing and an administrative hearing will be conducted on all charges.

## **F. RESPONSIBILITY OF THE OFFICE OF THE DEAN OF STUDENTS/DESIGNEE TO RESPONDENT**

The dean of students/designee shall provide him/her:

1. a pre-hearing interview with the dean of students/designee where all rights, responsibilities and procedures are explained.
2. written notice of the charge(s) and an outline of student rights. In the event that additional charges are brought, further written notice must be forwarded to the respondent. These notices are sent via e-mail pursuant to Section II-2-A.
3. review of all available information, documents, and a list of witnesses that may testify against him/her. This is a continuing obligation of the complaining party and the dean of students/designee.
4. choice between an administrative or CCB hearing (except as outlined in Section II-2-D-3-d).
5. choice to elect not to appear at the hearing, in which case the hearing shall be conducted in the respondent's absence.
6. assistance by an advisor from within the university, upon the request of the respondent. The advisor may not be an attorney unless there are also criminal charges pending. The advisor, upon request of the respondent, may:
  - a. advise the respondent concerning the preparation and presentation of his/her case. The advisor may not speak for the respondent.
  - b. accompany the respondent to all conduct proceedings

c. have access to all materials relating to the case. If the advisor is not provided by the university, it is the respondent's responsibility to provide his/her advisor these materials.

7. in cases involving sexual misconduct, both the complainant and the respondent may each have an advisor as well as a support person with them during the hearing. The support person(s) may not participate in the hearing.

8. written notice of date, time, and place of the hearing forwarded to the accused at least five (5) business days prior to the hearing. The formal hearing will not be held less than five (5) business days from the date of the original notification, unless the respondent waives this five (5) day time limit in writing. This timeline may be extended at the discretion of the ODOS.

9. prior to a hearing in cases which may involve suspension, the respondent is entitled to a written notice of the charge(s) including possible sanctions, review of all available information, documents, and a list of witnesses that may testify against him/her and an outline of student rights. This notice will be sent via e-mail pursuant to Section II-2-A.

10. for all charged offenses which could result in expulsion, written notice will include the possible sanction, review of all available information, documents, a list of witnesses that may testify against him/her and an outline of student rights. This notice will specify that expulsion precludes matriculation at any UNC constituent institution and will be sent via e-mail pursuant to Section II-2-A.

11. a final administrative decision will normally be determined immediately, but must be determined within ten (10) business days after the date of the hearing. The decision of hearing form will be presented to the respondent in writing within five (5) business days of the decision.

## **G. SUMMONING A STUDENT FOR A CONFERENCE**

Should a respondent not appear when requested by the dean of students/designee, the dean of students/designee may formally summon the respondent to appear for a meeting in connection with an alleged violation by sending him/her notification of this (pursuant to Section II-2-A).

This notification shall direct the respondent to appear at a specified date, time and place not less than three (3) business days later. The letter shall also describe briefly the alleged violation(s).

If the respondent fails to respond to a notification and the required pre-hearing interview, the respondent forfeits the options in Section II-2-D-3, and will be notified by email pursuant to Section II-2-A, sent five (5) business days before a hearing before the dean of students/designee or, at the option of the dean of

students, the CCB. At this hearing, a decision of responsible or not responsible will be made based on available information, with or without the respondent. If the respondent fails to attend the hearing, all allegations against the respondent shall be deemed to be denied. When appropriate, a sanction will be determined and the respondent will be notified by email pursuant to Section II-2-A.

If the respondent is found responsible and sanctions are imposed, the respondent is responsible for completing these sanctions regardless of their participation in the hearing process. Failure to complete assigned sanctions may result in further conduct action

## **H. APPEAL OF ADMINISTRATIVE ACTION**

The respondent may request an appeal within two (2) business days after notification of the original decision. Notification is defined as the date the decision is given to the respondent in person, or the date stamp of the email sent to the respondent (see Section II-2-A). Original sanctions (with the exception of summary suspension) are normally put into effect only after an appellate decision has been made.

### **1. Procedure**

a. The function of the dean of students/designee or CCB in hearing an appeal is that of reviewing the action of the administrative hearing officer to determine if:

- (1). an alleged violation of the rights guaranteed the respondent has occurred;
- (2). the sanction is too severe for the violation; or
- (3). new evidence has developed which has bearing on the outcome.

b. For non-suspension level cases, the dean of students/designee shall serve as the appellate hearing officer. For suspension level cases, CCB shall receive the petition. Such petition shall be submitted in writing through the Office of Dean of Students explaining in detail the reasons for the student's appeal and specifying the ways in which he/she believes the procedures or actions of the administrative hearing officer have violated the standards outlined in Section II-2.

c. Upon receiving a petition, the dean of students/designee or CCB shall obtain the record of the administrative hearing officer. Such record shall include relevant documents and a written statement by the hearing officer. Such statement in the case of administrative action shall summarize the case and the reasons supporting the disciplinary decision.

d. With this information, the dean of students/designee or CCB shall decide whether an appeal hearing is warranted. This decision is based on one or more of the three options for an appeal outlined in Part H-1-a above. The respondent will be notified in writing of a decision within seven (7) business days after receipt of the petition.

- e. If the dean of students/designee or CCB determine that a hearing should be granted, that hearing shall be held within seven (7) business days of such determination and notification shall be given in writing at least three (3) business days prior to the date set for the hearing, specifying time and place of the hearing and informing the respondent of his/her rights as outlined in Section II-3-C. If a respondent chooses to waive the seven (7) business day notice and other requirements as stated in this section, an immediate appeal hearing may be heard.
- f. The dean of students/designee or CCB shall invite the respondent, the original administrative hearing officer, and such other persons as it deems appropriate to appear to make statements and respond to questions. The student and administrative hearing officer may request the appellate officer or Board to invite persons to testify if there is new evidence. Regular hearing procedures will be followed in appeal cases where new evidence is presented.
- g. No member of CCB shall be a party to any prior investigation or witness in the case nor should any member be placed in the position of developing or prosecuting the case.
- h. After the hearing is concluded, the dean of students/designee or CCB shall go into closed deliberations to reach a decision.
- i. The dean of students/designee or CCB has the authority to approve, reject or modify the decision in question consistent with this *Code*. In non-suspension level cases, the decision of the dean of students/designee is final, and no further appeals will be entertained. In suspension-level cases, the decision of CCB may be appealed to the vice chancellor for student affairs as outlined in Section II-3-D. Prompt notice of the decision shall be given. Any appeal of this decision must be in writing and presented within two (2) business days after notification of the decision (see Part H-1-b).
- j. During times in which CCB is not in session, such as an examination or break period, all cases may be heard administratively with an appeal to the vice chancellor for student affairs. The vice chancellor's decision may be appealed to the board of trustees only in cases of suspension or the board of governors in cases of expulsion from the university.
- k. In cases involving a violation of the UNCW Sexual Misconduct Policy, both the complainant and respondent have the right to appeal.

## **I. SUMMARY SUSPENSION**

- 1. Summary suspension is an action requiring that a student immediately leave the campus and university property. It may be imposed upon a student by the vice chancellor for student affairs or designee when there is reasonable cause to believe, based on available facts, that the student is an immediate threat to the safety of him/herself, other members of the university community or university property, or is disruptive without relief to the university community.

2. Any student who is suspended on a summary basis and returns to the campus and university property during the suspension shall be subject to further disciplinary action and may be arrested for criminal trespass. Permission to be on campus for a specific purpose (e.g., to take an exam, to consult with the dean of students or to participate in the disciplinary procedures against him/her) may be granted by the dean of students or his/her designee and requires 24 hour advance notice.
3. When a student is suspended on a summary basis, he or she is given notice containing the reasons for suspension, the duration and any conditions that apply, and the website for the Code of Student Life. A student notified of such summary suspension may, within ten (10) business days of the written notice of suspension, request through the dean of students/designee a regular hearing before CCB to determine the responsibility or non-responsibility of the student charged and the appropriate sanction and whether the conditions of the summary suspension should continue. A regular hearing, where normal procedures apply, before CCB or the Committee on Extraordinary Disciplinary Emergencies, shall normally be conducted within five (5) business days of the student's request for a hearing.

## **J. ADMINISTRATIVE MEASURES**

### **1. PARENTAL NOTIFICATION**

Parents or guardians of every freshman, and in some cases upper-division students, placed on disciplinary probation will be notified through written correspondence by the Office of the Dean of Students. The purpose of the notification is to encourage parents to discuss the situation with the student, define the terms and conditions of disciplinary probation and encourage parents to work with the university in addressing behaviors which fall below the university's conduct standards.

### **2. PENDING CRIMINAL CHARGES**

If a respondent is also the subject of pending criminal charges, an attorney will be allowed to serve as advisor to the student. An attorney will be allowed to participate under the same parameters as a university advisor as articulated in Section II-2-F-5.

### **3. STUDENTS WITH DISABILITIES**

When a student with a documented disability is charged with an offense, and informs the Office of the Dean of Students of such status, the university will assure that all requirements of Section 504 of the Rehabilitation Act and the Americans with Disabilities Act are met.

### **4. CAMPUS SECURITY ACT – SEXUAL MISCONDUCT AND SEXUAL HARASSEMENT**

In cases involving sexual misconduct and sexual harassment, both the complainant and the respondent are entitled to have an advisor and one (1) support person present during a disciplinary proceeding, neither of which may participate in any way in the hearing.

## **5. ADMINISTRATIVE ORDER OF NO CONTACT**

When, based on campus or external incident/police reports, the university is made aware that two or more students may pose a nuisance to the peace of the community by harassing or threatening one another, as evidenced by concerns reported by other students or the faculty or administration, and independent of any protective orders placed by a judge or magistrate, the Office of the Dean of Students may impose a mutual "Order of No Contact" between two or more students in order to maintain peace within the community. Students issued an "Order of No Contact" may by no means communicate with, directly or indirectly, a student listed by the university. Failure to comply with a university "Order of No Contact" will result in disciplinary action taken by the university.

## **II-3 CAMPUS CONDUCT BOARD**

### **A. CAMPUS CONDUCT BOARD**

1. The CCB is activated when a student requests a hearing before CCB or if a case is referred automatically by the dean of students/designee. The vice chancellor for student affairs or designee serves as the advisor to CCB. The members are:
  - a. six students recommended by the Student Government Association and appointed by the chancellor.
  - b. two faculty members recommended by the Faculty Senate chairperson and appointed by the chancellor.
2. The dean of students or designee will select and train CCB members to serve as chairs.
3. Quorum shall consist of four student members and one faculty member. During summer sessions a quorum shall consist of three students and one faculty member.
4. Selection
  - a. Students recommended by Student Government Association and chancellor for appointment to CCB are selected through a campus wide application process.
  - b. CCB members will be appointed annually. Members serve a one-year term and may be reappointed annually by the Student Government Association. This will require reapplication.
  - c. Vacancies occurring during the course of the year will be filled by the vice chancellor for student affairs.
  - d. Members of CCB become active members only after they have been trained by the Office of the Dean of Students.
  - e. CCB members may be excused from their role on the board if they fail to maintain the required GPA, exhibit inappropriate behavior in or out of the hearings, or otherwise fall out of good standing with the university.
5. Jurisdiction of CCB

- a. CCB has appellate power to review decisions of administrative hearing officers and of the dean of students/designee with authority to lessen but not increase the sanction imposed. CCB may refer a case to the original hearing officer for a rehearing.
- b. CCB may have, at the request of a respondent or the dean of students/designee, original jurisdiction in conduct cases.
- c. CCB will serve as the original hearing body for cases involving student organizations, sport clubs and fraternity and sorority life, with additional designees from the appropriate advisory council (see Section V-7 and Section V-8).

## **B. PROCEDURAL STANDARDS FOR CCB CASES**

1. The decision shall be based solely upon such matters and must be supported by the evidence, which will be introduced at the hearing. Any student charged with an infraction under this *Code* shall be presumed not responsible until proven responsible by a preponderance of evidence (See Section II-2-C).
2. All evidence will be admitted except that which is irrelevant or repetitious or which is obtained in violation of the search-and-entry provisions appearing in Section IV-H-1 and H-2.
3. CCB records are maintained in the Office of the Dean of Students and are confidential. They may be released only with the consent of the student involved. A written record of the proceedings and action taken will be filed with CCB.
4. No member of the hearing body who has a personal interest in the particular case may sit in judgment during the proceeding. If a member refuses to recuse him/herself due to a conflict of interest, the dean of students/designee will make the excusal decision. The respondent will also be given the opportunity to challenge a committee member or official on these grounds. In such cases in which a member cannot serve due to a conflict of interest, a temporary member may be appointed by the vice chancellor or designee to serve for the duration of the hearing.

## **C. HEARINGS BEFORE CCB**

1. Prior to a hearing, the respondent is entitled to:
  - a. a prehearing interview with the dean of students/designee where all rights, responsibilities, and procedures are explained.
  - b. a written notice of the charge(s) and an outline of student rights. In the event that additional charges are brought, further written notice must be forwarded to the respondent. These notices are sent pursuant to Section II-2-A.
  - c. review all available information, documents and a list of witnesses that may testify against him/her. This is a continuing obligation of the complaining party and the dean of students/designee.



d. assistance by an advisor from within the university, upon the request of the respondent. The advisor may not be an attorney unless there are also criminal charges pending. The advisor, upon request of the respondent, may:

- (1). advise the respondent concerning the preparation and presentation of his/her case. The advisor may not speak for the respondent.
- (2). accompany the respondent to all conduct proceedings.
- (3). have access to all materials relating to the case.
- (4). in cases involving sexual misconduct and sexual harassment, both the complainant and the respondent are entitled to have an advisor and one (1) support person present during a disciplinary proceeding, neither of which may participate in any way in the hearing

e. A written notice of time and place of the hearing forwarded to the respondent at least five (5) business days prior to the hearing. The formal hearing will not be held less than five (5) business days from the date of the original notification, unless the respondent charged waives the limit in writing.

f. Prior to a hearing in cases which may involve suspension the respondent is entitled to a written notice of the charge including possible sanctions, review of all available information, documents, exhibits, a list of witnesses that may testify against him/her and an outline of rights. This notice will be sent via e-mail pursuant to Section II-2-A.

g. For all charged offenses which could result in expulsion, written notice will include the possible sanction, review of all available information, documents, exhibits, a list of witnesses that may testify against him/her and an outline of rights. This notice will specify that expulsion precludes matriculation at any UNC constituent institution and will be sent via e-mail pursuant to Section II-2-A.

h. In cases that may result in suspension or expulsion, the formal hearing date will be scheduled no less than ten (10) business days after the respondent receives notice of the referral, unless the respondent waives the limit and agrees to an earlier hearing date. Waivers are voluntary and must be in writing and signed by the respondent and the dean/designee. Reasonable extensions of time for either party to prepare for the hearing may be allowed.

i. During times in which CCB is not in session, such as an examination or break period, all cases may be heard administratively with an appeal to the vice chancellor for student affairs. The vice chancellor's decision may be appealed to the board of trustees only in cases of suspension or the board of governors in cases of expulsion from the university.

2. In those cases in which the respondent disputes the facts upon which the charges are based, such charges shall be heard and determined by CCB, who will:

- a. determine that the dean of students/designee has satisfactorily performed the requirements of Section II-3-C-3.

b. rule on the admissibility of evidence, motions and objections to hearing procedures; render a written decision as to the respondent's responsibility for the charges; set forth finding of facts and determine the sanction, if any; and provide the dean/designee and student with the copy of the decision.

3. The dean of students/designee shall:

a. consult CCB in setting the date, time and place for the hearing.

b. summon witnesses and prepare evidence for each hearing.

c. notify the respondent in writing (pursuant to Section II-2-A) of the following:

(1). the date, time and place for the hearing - the letter shall specify a hearing date not less than five (5) business days after the official notice is sent.

(2). the name of the person appointed to serve as chairperson of CCB.

(3). the names of witnesses who may testify against the respondent.

(4). the charges against him/her.

(5). the dean of students/designee may question a student testifying in the respondent's behalf or question the respondent if he/she testifies in his/her own behalf.

(6). if the student charged is a minor (under 18 years of age), a copy of the letter may be sent to his/her parent or guardian.

d. in cases that may result in suspension or expulsion, the formal hearing date will be scheduled no less than ten (10) business days after the respondent receives notice of the charge(s) from the Office of the Dean of Students.

e. A respondent may request in writing that an earlier date be set, if feasible. Reasonable extensions of time for either party to prepare for the hearing will be allowed. CCB, with good cause, may postpone or continue the hearing and notify all interested persons of the new hearing date, time and place.

f. Provide the respondent, in writing, the following information about their rights, as well as an opportunity to have their rights explained by the dean of students or designee:

(1). to appear in person, hear all testimony, present any relevant information on his/her behalf, call witnesses and ask questions of any person present at the hearing.

(2). to elect not to appear at the hearing, in which case the hearing shall be conducted in the respondent's absence.

(3). upon request of the respondent, to be assisted by an advisor from within the university. The advisor may not be an attorney unless there are also criminal charges pending.

(4). to refuse to answer any questions or make a statement; however, the hearing authority shall then make its decision solely on the basis of information introduced at the hearing.

- (5). to be responsible only upon a finding that is based on the information introduced at the hearing.
  - (6). to know the identity of witnesses who will testify for and against the respondent.
  - (7). to question each witness who will testify against the accused for the purpose of clarification.
  - (8). To have all statements, information or comments given during the hearing held in strictest confidence by members of the Board before, during and after deliberation. Only those faculty and staff with an education need to know will be informed of the outcome. The chairperson will exercise control over the hearing to avoid needless consumption of time, to avoid repetition of information and to prevent the harassment or intimidation of participants.
  - (9). to have hearings conducted in an informal manner where technical rules of evidence will not be applied. The taking of statements of witnesses may be done orally or in writing provided the respondent has had the opportunity to review all written statements prior to the hearing, though each witness shall be subject to cross-examination. Witnesses (with the exception of the respondent) shall be present during the hearing only during the time they are testifying. While written statements are admissible, a respondent shall not be found responsible who has not had the opportunity to testify, and to present evidence and witnesses.
  - (10). to receive a Decision of Hearing form which outlines the charges and rationale for case outcome.
  - (11). to appeal as outlined in Section II-3-D
4. If a respondent fails, without good cause, to comply with the letter sent under this section, CCB may proceed with the hearing in the respondent's absence, as has been outlined.
5. CCB shall proceed generally as follows during the hearing:
- a. CCB chairperson states the hearing is closed to the public.
  - b. The chairperson of CCB informs the respondent of his/her rights as listed in Section II-3-C, and asks the respondent whether he/she agrees or disagrees to the charge(s) before CCB.
  - c. The dean of students/designee presents the university's case and may call any witnesses they have present.
  - d. The respondent and the CCB may question the university and/or each witness called.
  - e. The respondent presents his/her case and may call any witnesses they have present.
  - f. The university and the CCB may question the respondent and/or each witness called.
  - g. The dean of students/designee and the respondent present rebuttal evidence and final statements. Both the dean of students/designee and the respondent may make closing statements.

- h. CCB deliberates in a closed session and decides the issue of responsible or not responsible for each charge.
  - i. If the CCB finds the respondent not responsible for all charges, the hearing is concluded.
  - j. If CCB finds the respondent responsible for one or more charges, the dean of students/designee and respondent may present evidence and argument on an appropriate sanction.
  - k. If CCB finds the respondent responsible, the dean of students/designee will indicate whether the student has any prior findings of responsibility for academic or nonacademic conduct violations.
  - l. CCB deliberates in a closed session and determines an appropriate sanction.
  - m. CCB renders a written decision as to whether they find the respondent responsible or not responsible for the charge(s). The decision states the sanction, if any, and procedures for appeal. The respondent and dean of students/designee shall each be given a copy of the decision. A final Board decision will normally be determined immediately but must be determined within ten (10) business days after the date of the hearing. The final decision, containing a brief summary of the evidence, will be presented to the respondent in writing. This will generally occur immediately following the hearing, however may take up to five (5) business days.
6. The student conduct file is confidential and consists of:
- a. a copy of the original referral.
  - b. a copy of all correspondence directed to the respondent.
  - c. a copy of all material presented to, or considered by, the CCB.
  - d. a copy of all official decision of hearing.
  - e. a copy of all appeal documentation submitted, if any.

## **D. APPEAL OF A CAMPUS CONDUCT BOARD DECISION**

The respondent may request an appeal to the dean of students/designee or the vice chancellor for student affairs within two (2) business days after notification of the original decision. Original sanctions (with the exception of summary suspension) are normally put into effect only after an appellate decision has been made or the timeline for appeal has expired.

Any appeal of the decision must be in writing and presented within two (2) business days after notification of the decision. Such petition shall be submitted to the Office of the Dean of Students and explain in detail the reason(s) for the student's appeal (see Part D-1-a above).

For non-suspension level cases, the dean of students/designee shall serve as the appellate hearing officer. For suspension level cases, the vice chancellor for student affairs shall receive the petition.

1. Procedure

a. The function of the dean of students/designee or vice chancellor for student affairs in hearing an appeal is that of reviewing the action of CCB to determine if:

- (1). an alleged violation of the rights guaranteed the respondent has occurred;
- (2). the sanction is too severe for the violation; or
- (3). new evidence has developed which has bearing on the outcome.

b. Such petition shall be submitted in writing through the Office of the Dean of Students explaining in detail the reasons for the respondent's appeal and specifying the ways in which he/she believes the procedures or actions of CCB have violated the standards outlined in Section II-3.

c. Upon receiving a petition, the dean of students/designee or the vice chancellor for student affairs shall obtain the record of the CCB. The record shall include relevant documents, the decision of hearing including a case summary and rationale for supporting the decision.

d. With this information, the dean of students/designee or the vice chancellor for student affairs shall decide whether an appeal hearing is warranted. This decision is based on one or more of the three options for an appeal outlined in Part D-1-a above. The respondent will be notified in writing of the decision within seven (7) business days after receipt of the petition.

e. If the dean of students/designee or the vice chancellor for student affairs determines that a hearing shall be granted, that hearing shall be held within ten (10) business days of such determination and notification shall be given in writing at least three (3) business days prior to the date set for the hearing, specifying time and place of the hearing and informing the respondent of his/her rights as outlined in Section II-3-C.

f. The dean of students/designee or the vice chancellor for student affairs shall invite the respondent and other persons as he/she deems appropriate to appear before him/her to make statements and respond to questions. The respondent may request that he/she invite persons to testify if there is new evidence.

g. The dean of students/designee or vice chancellor for student affairs has the authority to approve, reject or modify the decision in question or to remand the case to the Campus Conduct Board. His/her decision may be appealed to the board of trustees only in cases of suspension, and to the board of governors only in cases of expulsion from the university.

h. In cases involving sexual misconduct (as defined in the UNCW Sexual Misconduct Policy), the complainant also has the right to appeal. This appeal petition must be in writing and will be submitted to the Office of the Dean of Students within two (2) business days of notification of the outcome of the hearing.

## II-4 AUTHORIZED DISCIPLINARY SANCTIONS

### A. LEVELS OF UNIVERSITY DISCIPLINARY SANCTIONS

1. *Written Reprimand* -- A written reprimand involves a status of warning through the end of the next full semester, which terminates automatically when the imposed period expires.
2. *Disciplinary Probation* -- Disciplinary probation involves a status of probation for up to one calendar year, which terminates automatically when the imposed period expires. A student who is placed on disciplinary probation is considered not to be in good standing with the university.

As part of disciplinary probation, the student may have restrictions placed on specific student privileges, as determined by the hearing body or administrative hearing officer, not to exceed the duration of the probationary period. In the event of a further violation of this *Code* or other applicable rules while on disciplinary probation, the university will seek the penalty of suspension or expulsion.

3. *Suspension\** -- Suspension involves withdrawal of enrollment privileges and cancellation of registration, at a minimum, through the end of the next full semester, and carries with it conditions which must be met for re-enrollment. All suspended or expelled students must meet with the dean of students or designee to make clear the terms of their suspension or expulsion from the university.

Re-enrollment after a suspension period requires that the student apply to the dean of students at the close of the imposed period, and the dean will determine whether the student has met the conditions imposed and is otherwise eligible for re-enrollment. A denial may be appealed to the Committee on Extraordinary Disciplinary Emergencies.

During the term of suspension, the student may not come onto campus. Failure to abide by this condition may result in arrest for criminal trespassing.

A student who is suspended after the deadline for withdrawal with a “W” shall be assigned a grade of “WF” or “W” by each instructor based upon the academic performance prior to the suspension.

A sanction of suspension requires that the students name be added to the UNC Suspension/Expulsion Database.

4. *Expulsion* is the permanent dismissal of a student from the university, and it precludes matriculation at any UNC constituent institution, unless and until the chancellor who imposed or approved the sanction or his/her successor concludes on the basis of the former student's petition and any supportive documentation that the individual should be given a new opportunity to pursue higher education within the UNC system. The student will be trespassed from university property for as long as the individual is considered a risk to others or to university property. Expulsion will result in a permanent transcript notation.

A sanction of suspension requires that the student's name be added to the UNC Suspension/Expulsion Database.

5. *Other Sanctions* – For students living on campus, the Office of the Dean of Students will consult with Housing and Residence Life staff in order to address a student's comprehensive conduct in residence, and may enforce the Housing Contract which provides the university the right to a) move a student to another residence hall; b) cancel a student's housing contract; or c) refuse to renew a housing contract. Prior to removing a student from residence, the student is entitled to the full disciplinary process described in the *Code of Student Life*. Any student who is removed from on-campus housing shall not be entitled to a refund of room fees.

For certain offenses, students may be restricted from visiting in or around campus residential facilities.

\* The sanction of suspension may be imposed by the dean of students, CCB and Committee on Extraordinary Disciplinary Procedures. Other hearing officers and/or hearing bodies may recommend the sanction of suspension. The chancellor or vice chancellor for student affairs may impose the sanction of expulsion.

## **B. RESTITUTION**

CCB or the hearing officer, as part of the disciplinary process, may impose specific conditions (e.g., requirement of student to reimburse for damage to or destruction or misappropriation of university property or property of any person, and restitution in the form of appropriate service to be performed). Reimbursement may take the form of appropriate service for repair or otherwise compensate for damages. As part of the conditions established by CCB or the administrative hearing officer, a student may be denied access to a residence life area or evicted from university housing.

## **C. MONETARY FEES**

As a part of the disciplinary process, students will be assessed a \$50 fee for a first offense and \$75 for a second offense for use or possession of alcoholic

beverages if under the age of 21. A \$75 fee will also be assessed for use or possession of a Schedule III-VI drug. Fees will be used to fund substance abuse education programs. In addition, a student may be referred to CROSSROADS: Substance Abuse Prevention and Education Program and/or for a substance assessment at the Counseling Center or at an off campus agency if the hearing body finds alcohol or other drugs to be a contributing factor in the student's case. A student who fails to appear for an on-campus substance assessment will be required to complete the assessment off campus at his/her personal expense. A \$50 fee will be reassessed to students who fail to appear for a Challenging Decisions-Alcohol or Marijuana class or other required classes at CROSSROADS.

## **II-5 DISCIPLINARY RECORDS**

- A. A hearing record, notice of appeal and each petition for review are confidential and may not be disclosed in whole or part except as provided in Section III-4. This disciplinary record shall be separate from the student's academic record but shall be considered a part of the student's educational record and maintained in the Office of the Dean of Students.
- B. Pursuant to the Family Educational Rights and Privacy Act of 1974 (FERPA), the university may disclose the final results of disciplinary proceedings in which a student is found to have committed a violent crime or non-forcible sex offense. Disclosure is limited to the name of the violator, the type of violation and the sanction. Disclosure to victims may be made regardless of whether the alleged violator was found responsible. Disclosure to third parties may be made only if the alleged violator is found responsible.
- C. A student who is suspended or expelled will have a "hold" placed on their registration file by the dean of students/designee. The "hold" will be removed when the term of suspension expires and/or conditions for re-enrollment have been met.
- D. A notation of suspension or expulsion will be placed on the transcript as an administrative withdrawal. The student's name will also be permanently added to the North Carolina educational suspension/expulsion database.
- E. Records for cases not resulting in suspension or expulsion will be destroyed one year after graduation.

## **II-6 COMMITTEE ON EXTRAORDINARY DISCIPLINARY EMERGENCIES**

Notwithstanding any other provisions of the *UNCW Code of Student Life*, and in fulfillment of the obligation of the university and of the chancellor to maintain campus security and to protect the safety and welfare of students, employees and visitors to the university, the Committee on Extraordinary Disciplinary Emergencies is established in accordance with the provisions of Sections 116-



11(2) and 116-34(a) of the North Carolina General Statutes, Sections 502D(3), 600, 608(2), and Appendix Sections XII and XV of the *Code of the Board of Governors of the University of North Carolina*, Chapter 700 and Section 1300.1 of the *University of North Carolina Policy Manual*, and Section I-5 of the *UNCW Code of Student Life*.

## **A. JURISDICTION OF THE COMMITTEE**

The Committee on Extraordinary Disciplinary Emergencies is established under the delegation of the chancellor pursuant to his/her responsibility for student affairs. The Committee is established to investigate and make recommendations to the chancellor concerning the disposition of complex cases or of those cases involving students or student organizations whose behavior, on or off campus, is such that their presence at the university, in the judgment of the Committee, upon referral from the vice chancellor for student affairs or the chancellor, may pose:

1. A serious risk of disruption of the academic environment.
2. A serious risk of interference with the rights of other members of the university community.
3. A serious risk or continuing danger to university property.
4. A serious risk or continuing danger to themselves or to other members of the university community.

## **B. OFFENSES**

An example of student behavior that may come within the jurisdiction of the Committee includes, but is not limited to:

1. Students who have been arrested and charged with a serious crime of a violent nature, or of a dangerous nature, or which involved placing another person in fear of imminent injury or danger.
2. Students subject to summary suspension under Section II-2-I of the *UNCW Code of Student Life*.
3. Students or student organizations charged with serious violations of Section II-1-B of the *UNCW Code of Student Life*

## **C. COMMITTEE MEMBERSHIP AND EMERGENCY PROCEDURES**

The Committee shall be composed of the vice chancellors and the associate vice chancellor for student affairs or their designees and a student member of the Campus Conduct Board. A quorum of the Committee shall consist of any four members of the Committee or their designees. In each case, the vice chancellor for student affairs shall designate a chair to preside over the Committee. In the event of a tie vote, the administrative action of the university is ratified.

If a graduate student is charged, one student from the current Graduate Student Association will be appointed to sit on the Extraordinary Committee as a voting member.

In an emergency situation, pursuant to Section II-6-A & B or Section II-2-I of the *UNCW Code of Student Life*, the vice chancellor for student affairs or designee shall have the power to invoke summary suspension. After a hearing, as set forth below, the Committee may ratify the vice chancellor's action, reverse it or take any other appropriate action. In such emergency situations, the vice chancellor for student affairs shall inform the chancellor of all actions taken either summarily or by the Committee under the authority of this policy. The chancellor has the authority to approve, reject or modify the action of the vice chancellor for student affairs or the Committee at any stage of the proceedings

## **D. COMMITTEE ACTION IN SPECIFIED SITUATIONS**

### **1. Dangerous or Disruptive Student Behavior**

If, in the judgment of the vice chancellor for student affairs, the facts of any case are complex or indicate that the student's presence in the university poses a serious risk or continuing danger to self or to other members of the university community, *or* poses a serious risk to university property, *or* poses a serious risk or continuing danger of disruption of the academic environment *or* poses a serious risk of interference with the rights of other members of the university community, *and* the immediacy of the danger or threat is so great that emergency action should be taken, the vice chancellor for student affairs shall withdraw the case from the jurisdiction of the Campus Conduct Board and refer the case to the Committee. A student summarily suspended under this section shall have the right to a subsequent hearing as set forth below. Upon completion of a hearing, the Committee may reinstate the student, continue the student's suspension or expel the student. If the Committee expels the student, the suspension shall continue pending the chancellor's decision upon the student's appeal to the chancellor or upon the chancellor's decision to review the expulsion decision.

### **2. Students Charged With a Crime**

In the case of a student who has been arrested and charged with a serious crime of a violent or dangerous nature or one which involved placing a person in fear of imminent injury or danger, the vice chancellor for student affairs shall review the facts and may withdraw the matter from the jurisdiction of the Campus Conduct Board and refer the case to the Committee. In those cases submitted to the Committee pursuant to this Subsection II-6-D-2, the Committee may consider whether the nature of the crime is such that, if the student were guilty, the student's presence in the university would represent one of the factors set forth above in II-6-A. If the Committee's determination is that if the student were guilty of the crime with which he/she is charged, and the student's presence at the university would pose a continuing danger or serious risk as set forth above, then the Committee shall suspend the student from the university.

In evaluating the student's behavior to determine whether it poses a serious risk or continuing danger, the Committee shall act in light of the standards set forth in the authorities cited in Section II-6-A and II-6-B and in the preamble to Section II-6 above.

No action under Subsection II-6-D-2, relating to criminal charges, shall involve or be construed as an adjudication of the student's guilt or innocence of the crime charged, nor shall it be considered as evidence of the student's responsibility or non-responsibility in any subsequent Committee or Campus Conduct Board proceeding arising out of the same fact situation.

A student who is summarily suspended from the university under this subsection shall have the right to a hearing before the committee. A request for a hearing must be submitted in writing to the vice chancellor for student affairs within ten (10) business days of the invocation of summary suspension. If criminal charges arising out of the same fact situation/incident are pending against the student at the time the hearing is to be held, the student may choose to go ahead with the institutional proceeding or may request that they be held in abeyance until the criminal case has been resolved. In either situation, pending the outcome of the hearing, the student's suspension shall remain in effect. If the student chooses to delay institutional proceedings until after resolution of the criminal case, institutional proceedings will be resumed immediately after resolution of the criminal case. If the hearing pursuant to this Subsection II-D-2 is held before the criminal case against the student has been resolved, an expulsion may not be imposed at that time.

## **E. HEARING PROCEDURE**

Any student who has been suspended or expelled may not participate in any university activities or responsibilities, attend classes or come onto the campus except to attend a scheduled meeting or hearing on the case. Persons who wish to schedule a meeting with such a student must first request approval from the dean of students. If the student comes onto the campus for a hearing/meeting, he/she must immediately leave the campus at the end of the meeting. Any student who is suspended or expelled and returns to the campus and university property during the suspension or subsequent to the expulsion, without the express permission of the dean of students or the vice chancellor for student affairs or designee, shall be subject to further disciplinary action and may be arrested for criminal trespass.

Summary suspension shall not be considered evidence of the student's responsibility or non-responsibility in any hearing of the Committee nor in any subsequent Campus Conduct Board proceeding. Prior to any hearing before the Committee, the student will be informed by letter of the vice chancellor for student affairs' summary action or intent to bring a charge before the

Committee. The student shall also be notified of the date and location of the hearing. The hearing shall be set no sooner than seven (7) business days from the date of the letter, and the letter shall be mailed to the student at the last known address, according to university records. The notice shall explain the charges against the student, the character of the evidence against the student, and the student's rights under this document: the right of due process and fair hearing, the presumption of innocence until found responsible, the right to be assisted by an advisor (see Section II-2-F-5), the right to testify and to present evidence and witnesses, and the right to hear and question adverse witnesses who appear before the Committee. An advisor may not conduct the student's defense, nor address the Committee, except at the sole discretion of the Committee.

The Committee's decision will be based on the evidence presented. In cases brought under Section II-6-B and Section II-6-D-1, the Committee shall generally follow the procedure outlined in Section II-3-B, Section II-3-C-1 and Section II-3-C-4-6 of the *UNCW Code of Student Life*. In all cases, the rules of evidence shall not be strictly followed by the Committee; hearsay shall be admissible and any credible source, and documentary or testimonial shall be competent to establish the truth or falsity of the charges.

Before a post-hearing action of suspension or expulsion may be imposed on a student pursuant to charges under Section II-6-B and Section II-6-D-1, the Committee must find by a preponderance of evidence that the student committed the offense in question. Before a post-hearing sanction may be imposed on a student under Section II-6-D-2 above, the Committee must find by a preponderance of the evidence that the student has, in fact, been charged with the crime in question, that the charge does not appear to be frivolous, and that, if the student committed the crime with which he/she is charged, his/her presence on the campus would pose a serious risk or continuing danger as set forth above.

Recordings or transcripts of the hearing, but not of the committee's deliberations, shall be maintained. The vice chancellor for student affairs or designee shall be responsible for all records of Committee proceedings. Following the hearing, the student shall be notified of the Committee's decision by a letter sent to the student at the address the student has supplied the Committee with at the hearing, or if no address has been supplied at the hearing, then to the last known address according to university records.

In accordance with the provisions of the federal Family Educational Rights and Privacy Act of 1974, as amended, the Committee may also disclose the results of the hearing to the alleged victim of the student's actions if:

1. The alleged actions involved the use, attempted use, or threatened use of physical force against the person or property of another; or

2. The alleged actions constituted a felony that, by its nature involves a substantial risk that physical force may be used against the person or property of another.

## **F. APPEALS AND POST-HEARING PROCEDURES**

Subject only to the chancellor's authority to approve, reject or modify the decision of the Committee, such a decision shall become effective immediately upon its issuance. Reasonable steps of the same sort as set forth above in regards to notification of the student of the hearing shall be used to notify the student, as promptly as possible, of the Committee's decision and recommendation. A student may appeal the decision of the Committee to the chancellor within ten (10) business days of its issuance. The appeal shall be in writing and shall set forth the grounds for appeal, the basis of the objection to the Committee's findings and the requested relief sought.

Upon receipt of an appeal from student, or in the chancellor's own discretion, the chancellor shall consider the decision a recommendation and shall decide whether to accept, modify or reject it. In the case of an appeal, or at the chancellor's request, the record of the proceedings before the Committee shall be prepared by the chairperson of the committee or designee and shall be forwarded to the chancellor. The record shall include the recordings or transcripts taken during the proceedings and a copy of all documents and other writings introduced in evidence at the hearing. It shall not include any record of the Committee's deliberations.

An appeal is considered solely by the chancellor. A student may appeal the chancellor's decision to the Board of Trustees in cases of suspension or expulsion. Should the student choose to appeal to the Board of Trustees, the written appeal must be received by the Vice Chancellor for Student Affairs Office within 48 hours of notification of the original appeal decision. In either case, such appeal is final. Solely in cases proceeding under subsection II-6-D-2, where a student's suspension is continued but before any criminal charges can be finally resolved, the Division of Student Affairs shall cause the student to be formally withdrawn from school, and the student shall receive a full refund of any tuition and fees he/she has paid for the term in question minus the non-refundable enrollment deposit and any charges against his/her account (such as library fines, unpaid parking tickets, returned check charges, damages).

Whether the hearing is held before or after resolution of the criminal case, if the student is reinstated after the hearing but due to the length of time which has passed before the hearing could be held, the student has missed so much class work that it will be impossible for the student to complete course work before

the end of the term, the student may choose either to take a grade of “incomplete” in those courses and complete them in the normal period of time allowed for completion of “incomplete” under university regulations or may choose to withdraw from the semester in question and receive a full refund of any tuition and fees he/she has paid for the term in question minus the non-refundable enrollment deposit and any charges against his/her account (such as library fines, unpaid parking tickets, returned check charges, damages).

A former student who has been suspended by the Committee who wishes to return to the university must follow the terms established by the Committee for reinstatement, as outlined in official correspondence from the university, unless extraordinary circumstances exist. Such extraordinary circumstances may include, but are not limited to, a verdict of not guilty of the criminal charges forming the basis for the Committee’s decision of suspension. Former students who petition the university for reinstatement must also subsequently apply for readmission. The university reserves the right to require the student to compete for admission with other applicants in that year, and comply with all other admissions criteria (created May 12, 1997; revised June 2008).

# **SECTION III**

## **UNCW STUDENT RECORDS & FAMILY EDUCATIONAL RIGHTS AND PRIVACY ACT (FERPA) POLICY**

### **POLICY 04.150**

#### **III-1 PURPOSE**

The Family Educational Rights and Privacy Act of 1974 (FERPA, also known as the “Buckley Amendment”), guarantees certain rights for students and eligible parents regarding access to, confidentiality of, and correction of the student’s education records. FERPA provides that a student may inspect his or her education records. If the student finds the records to be inaccurate, misleading, or otherwise in violation of the student’s privacy rights, the student may request amendment to the record. FERPA also provides that a student’s personally identifiable information may not be released to someone else unless (1) the student has given a proper written consent for disclosure or (2) provisions of FERPA or the corresponding federal regulations permit the information to be released without the student’s consent. This policy is administered in accordance with the FERPA regulations published in 34 CFR Part 99.

#### **III-2 DISCLOSURE OF DIRECTORY INFORMATION**

- A. The university may release the following directory information to the public without the student’s consent: name; class; college and major; dates of attendance; degree(s) earned; honors and awards received, including selection to the dean’s or chancellor’s list, honorary organization, or the GPA range for the selection; local address; local or contact telephone number; UNCW electronic mail address; most recent previous educational institution attended; place of birth; participation in officially recognized activities and sports; and the weight and height and date of birth of members of athletic teams.
- B. Under FERPA, the student has the right to request that the disclosure of directory information be withheld. If a student wishes to have the student’s directory information withheld, they must complete a “Request to Prevent Disclosure of Directory Information” form in the Office of the Registrar.

#### **III-3 DISCLOSURE OF PERSONALLY IDENTIFIABLE INFORMATION**

- A. The university may release personally identifiable information from student education records without the student’s consent to school officials who have a legitimate educational interest to access the records.
- B. “Education records” mean records that are maintained by UNCW in any media (e.g. paper, electronic, digital image, film, video, audio tape) which

contain information directly related to a student and are personally identifiable to a student. Education records do not include:

1. Personal records of university employees that are in the sole possession of the maker (i.e. notes of conversations), are used only as a personal memory aid, and are not accessible or revealed to any individual except a temporary substitute;
2. Records of the UNCW police department used for law enforcement purposes;
3. Student medical and counseling records maintained and used only in connection with provision of medical treatment or counseling of the student and are made available only to the individuals providing the treatment;
4. Employment records unrelated to the student's status as a student (these records are covered under the N.C. Personnel Records Act);
5. Records created or received after an individual is no longer a student in attendance and that are not directly related to the individual's attendance as a student (i.e. alumni records); or
6. Grades on peer-graded papers before they are collected and recorded by a faculty member.

C. "School official" means:

1. An employee, agent or officer of the university or The University of North Carolina's General Administration in an administrative, supervisory, academic or research, or support staff position and acting in his or her official capacity, including a student assisting another school official in performing his or her tasks;
2. A person serving on university committees, boards, and/or councils, including an individual serving on a disciplinary or grievance committee;
3. Another educational institution that requests records for a particular student who seeks or intends to enroll or where the student is already enrolled provided the disclosure is for purposes related to the student's enrollment or transfer; and
4. A person or company with whom the university has contracted (e.g. internship and clinical facilities, attorney, auditor, or collection agent) but limited to only the specific student information needed to fulfill the contract. The contracting entity is bound to follow FERPA regulations for control, maintenance, use and re-disclosure of the information provided to it.

D. "Legitimate educational interest" means a school official who is performing an authorized task or an activity that he or she is undertaking in the name of the university for which access to an educational record is necessary or appropriate to fulfill his or her professional responsibilities for the university.

E. "Student" means an individual who is or has been in attendance at UNCW for degree seeking courses, non-credit courses, life-long learning



courses, and/or certificate courses. It does not include persons who have been admitted but did not attend the university. For the purposes of this policy, “attendance” starts with orientation or course registration and includes in person or by paper correspondence, videoconference, satellite, Internet, or other electronic information and telecommunications technologies for students who are not physically present in the classroom and the period during which an individual is working under a UNCW work-study program.

- F. In addition, the university may disclose personally identifiable information from a student’s educational records without a student’s consent to either individuals or entities permitted such access under applicable federal law and regulations, as more fully specified in 34 CFR §99.31. Such exceptions include, but are not limited to, the following situations: to parents of dependent students; to accreditation organizations or agencies; to comply with a lawfully issued subpoena or court order; to protect the health and safety of the student or others in an emergency; in litigation or for implied waivers by the student. For certain disclosures, university officials must record when, what records, and to whom they have disclosed personally identified information to third parties. In the case of emergencies, school officials must also record the articulable or significant threat that formed the basis for the disclosure.

### **III-4 ALCOHOL AND DRUG VIOLATIONS AND VIOLENT CRIMES**

- A. Unless there are extenuating circumstances, the university will not generally notify parents or legal guardians of students under the age of 21 of the first time alcohol violation. Parents and guardians will be notified if the student is determined by the university to be a danger to himself/herself, others or to property.
- B. The university may notify parents and legal guardians of all second and subsequent alcohol violations. For purposes of determining what constitutes a second or subsequent violation, multiple related violations during a single incident will be treated as one offense.
- C. The university may notify parents or legal guardians of students under the age of 21 of any drug violations.
- D. The university may disclose the final results of campus disciplinary proceedings in which a student is charged with a violent crime or nonforcible sex offense. Disclosure to victims of the crime or offense may be made regardless of whether the student was found responsible. Disclosure to third parties may be made only if the student is found responsible. Disclosure is limited to the name of the violator, the type of violation found to have occurred, and the sanction imposed by the university.

### **III-5 INSPECTION AND REVIEW OF RECORDS**

- A. FERPA provides students with the right to inspect and review (within 45 days of request) information contained in their education records (as defined above), challenge the contents of their education records and to have a hearing if the outcome of the challenge is unsatisfactory (see below), and to submit explanatory statements for inclusion in their files if they feel the decisions of the hearing panels to be unacceptable.
- B. The registrar has been designated by the university to coordinate the inspection and review procedures for student education records. Such records are maintained at several locations on the campus. The locations are shown in Appendix A to this policy, together with the title of the official custodian to be contacted for access to the records. A written request may be required. Students wishing to review their education records must make written requests to the administrator shown there. If the student is uncertain as to the location of a particular record, a written request should be addressed to the registrar listing the item or items of interest.
- C. Students may have copies made of their records unless a financial “hold” has been placed on the record by an appropriate university official. Such copies will be made at no cost to the student unless the costs exceed \$50.00.
- D. Students may not inspect and review the following, as specified in FERPA: financial information submitted by their parents; confidential letters and recommendations associated with admissions, employment or job placement, or honors to which they have waived their rights of inspection and review; or education records containing information about more than one student, in which case the institution will permit access only to that part of the record which pertains to the inquiring student. The university is not required to permit students to inspect and review confidential letters and recommendations placed in their files prior to January 1, 1975, providing those letters were collected under established policies of confidentiality and were used only for the purposes for which they were collected.

### **III-6 AMENDMENT OF STUDENT RECORD**

- A. If a student believes that the information contained in his/her education records is inaccurate or misleading, or that it violates privacy or other rights, the student may request that the university amend the record. Such request shall be in writing addressed to the registrar, and shall specify the amendment sought.
- B. The registrar or his/her designee shall, within 30 days after receiving the student's request, and after consulting with appropriate university officials, decide whether the record will be amended in accordance with the request and inform the student. If the decision is to refuse to amend the record in accordance with the request, the registrar shall

simultaneously advise the student that he/she may request a hearing to challenge the content of the education record to ensure that the information therein is not inaccurate, misleading or otherwise in violation of the privacy or the rights of the student.

- C. If the registrar receives a request for a hearing, he/she shall request that the chancellor appoint a panel of three university faculty or staff members to conduct the hearing. Persons appointed shall be individuals who have no direct interest in the outcome of the hearing. The chancellor shall designate the chair of the panel and shall provide the panel such assistance as deemed appropriate.
- D. The chair of the hearing panel established as in Section III-4-C above shall notify the student and the registrar of the date, time and place of the hearing at least five days before the hearing.
- E. The student shall be afforded a full and fair opportunity to present evidence relevant to the issue whether the information in the student's education record is inaccurate, misleading, or otherwise in violation of the student's privacy or other rights. The student may be assisted by individuals of his/her choice at his/her own expense, including an advisor.
- F. The registrar or persons appearing in his/her behalf shall be afforded a like opportunity.
- G. The panel shall make its decision solely on the basis of the evidence presented at the hearing. The decision of the panel shall be in writing and shall include a summary of the evidence and the reasons for the decision.
- H. If the opinion of the panel is that the information in the student's record is inaccurate, misleading or otherwise in violation of the privacy or other rights of the student, the committee shall forward to the chancellor its written recommendation. The chancellor will forward to the student a decision in ten class days.
- I. If the panel decides that the information is not inaccurate, misleading, or otherwise in violation of the privacy or other rights of the student, it shall inform the student of the right to place in the education record a statement commenting on the records and setting forth the reasons for disagreeing with the agency or institution. Such statement shall thereafter be maintained as part of the education record and thereafter disclosed to any party to whom the contested record is disclosed.
- J. If the student believes that the procedures determining the challenge were unfair or not in keeping with FERPA requirements, he/she may direct a written appeal to the chancellor.
- K. Students who believe that the adjudications of their challenges were unfair, or not in keeping with FERPA may request in writing, assistance from the chancellor. Further, students who believe that their rights have been abridged, may file complaints with the Family Policy Compliance Office, U.S. Department of Education, 400 Maryland Avenue, SW, Washington, D.C. 20202, concerning the alleged failures of the university to comply with the law. For further information, students may consult the

registrar, who has available copies of the statute and the Department of Education's regulations.

### **III-7 LOCATION OF STUDENT RECORDS**

#### **A. ACADEMIC RECORDS**

1. Registrar's Office, University Registrar, Hinton James Hall
2. Offices of each academic department, Department Chair
3. Offices of each college or school, Dean
4. Continuing Studies, New Centre Office

#### **B. DISCIPLINARY RECORDS**

Office of the Dean of Students, Fisher University Union 2017

#### **C. EMPLOYMENT RECORDS**

1. Office of Scholarships & Financial Aid, Director, Warwick Center
2. Career Center, Director, Fisher University Union 2035

#### **D. COUNSELING RECORDS**

Counseling Center, Director, DePaolo Hall

#### **E. MEDICAL RECORDS**

Abrons Student Health Center, Director, DePaolo Hall

#### **F. FINANCIAL AID RECORDS**

Office of Scholarships & Financial Aid, Director, Warwick Center

#### **G. UNIVERSITY POLICE RECORDS**

University Police Department, Chief, Lionfish Drive

#### **H. CAREER SERVICES RECORDS**

Career Center, Director, Fisher University Union 2035

#### **I. RECORDS RELATING TO INTERNATIONAL STUDENT PROGRAM**

Office of International Programs, Director, DePaolo Hall

#### **J. RECORDS RELATING TO STUDENT ATHLETES**

Athletic Department, Athletics Director, Nixon Annex

#### **K. ADMISSIONS RECORDS**

1. Office of Admissions, Director, Hinton James Hall
2. Graduate Admissions, Graduate School, Hinton James Hall

#### **L. STUDENT ACCOUNTS RECORDS**

Student Accounts and Cashier, Warwick Center

#### **M. RESIDENCE HALL RECORDS**

Housing and Residence Life, Director, HRL Main Office

## **SECTION IV**

### **UNIVERSITY OWNED RESIDENCES**

#### **IV-1 INTRODUCTION**

The Office of Housing and Residence Life at the University of North Carolina Wilmington offers students safe, affordable, and well-maintained residential facilities, complemented by exceptional customer service from highly trained staff. The department fosters inclusive communities for holistic student learning by promoting personal responsibility, offering intentional programming, and providing leadership development opportunities. We value efficient use of resources, technological innovation, sustainable practices, and continuous improvement to better serve our residential community. The Director of Housing and Residence Life invites all students to stop by to ask questions and offer suggestions about living on campus. The Office of Housing and Residence Life is located in a building directly behind Schwartz Hall. Please refer to the *Guide for On-Campus Living* for more detailed information on facilities. Students living on campus are responsible for the information in the *Guide for On-Campus Living*. The *Guide for On-Campus Living* is available online by visiting the Housing website (<http://www.uncw.edu/housing>). Returning students receive the license contract booklet when they reclaim their living space.

#### **IV-2 RESIDENCE LIFE STAFF**

- A. Members of the staff of Housing and Residence Life include: the Director of Housing and Residence Life; two Associate Directors, Residence Life and Housing Operations; and four Assistant Directors, Residence Life, Business Operations, Facilities Operations, and Technology/Communications. There are also eight professional live-in Residence Coordinators. The office of Housing and Residence Life is a representative member of the Division of Student Affairs.
- B. Residence Coordinators, assisted by Assistant Residence Coordinators and a group of resident assistants, supervise the residential areas.

#### **IV-3 RESIDENCE LIFE POLICIES**

The primary responsibility of the Housing and Residence Life program is to maintain an atmosphere conducive to the pursuit of academic and personal goals and personal growth. Within this context, it is important to recognize the effect of the residence life setting which creates a special need for awareness of how one's individual actions can influence the environment of the floor and thus directly affect the residential community. In light of these conditions, the following policies have been established:

## **A. ALCOHOLIC BEVERAGES**

Although consumption of alcoholic beverages is not encouraged by the university, students are permitted to possess and consume alcoholic beverages in their rooms provided that they comply with state laws pertaining to alcoholic beverages. In addition to the UNCW policies regarding the possession and consumption of alcoholic beverages (University Policy 05.303) and marketing of alcoholic beverages (University Policy 05.304) the following rules pertain to residence halls:

1. Students are not permitted to possess kegs, or any other common source containers of alcohol including beer bongs in a residential area. Students are not allowed to play drinking games, which are defined as activities which encourage or result in high risk alcohol consumption. Students are not allowed to construct or own a table used for the purpose of beer pong.
2. Residents who are under 21 years of age are not allowed to host people with alcohol regardless of the guest's age. Non-UNCW students and UNCW students living off-campus are not allowed to bring alcohol into residential facilities.
3. Residents and their guests may be asked to open all backpacks, bags, coolers and other containers when entering a residence hall. This procedure is a safety and security measure which prevents most unwanted or unauthorized materials from being brought into the halls. Cooperation with staff requests at the front desk is expected and appreciated. Residents and their guests may be denied entrance if they choose not to cooperate with this request.
4. Alcoholic beverages may not be consumed in public areas including hallways, lounges, apartment balconies/porches, or outside the buildings. No drinking is allowed in the common rooms of suites or apartments if anyone under the age of 21 is present.

## **B. DRUGS**

The illegal use, possession, sale, delivery and/or manufacture of drugs will not be tolerated and may be grounds for immediate suspension or dismissal of students, faculty members, administrators and other university employees. Possession of drug related paraphernalia is also not permitted (State Law I).

## **C. VISITATION**

The visitation program in the UNCW student residences creates a structure for residents to bring guests into their rooms. In full support of the educational mission of the university, students living on-campus are expected to view academic responsibilities as their primary goal. Studying, therefore, takes precedence over socializing in the student residences on weekday nights. On weekends, there is a greater amount of leisure time and social activities that are usually more prominent. The guidelines for visitation are grounded in this academic schedule and are designed to balance a student's responsibilities with individual and group needs.

All students have the opportunity to accept or reject participation in the visitation program at a building-wide vote that takes place during the first two weeks of classes in the fall. If sufficient interest in a non-visitation area exists, specific arrangements will be made to accommodate that request. In the event that there are not enough requests to satisfy various options, no person will be forced to participate in a more liberal visitation policy than he or she wants. The changes to visitation will go into effect as prescribed and advertised by Housing and Residence Life but most often within five days of voting completion.

1. The visitation program is to function within the parameters and according to the procedures which follow. The existing programs have been developed through deliberate study by staff and student groups during the recent past. Certain underlying assumptions are reflected in the visitation program at UNCW:

- a. There is a legitimate need for, and benefit from, providing greater opportunity for contact between students.
- b. The nature of the physical facilities, particularly in the traditional residence halls, places certain limitations on the visitation program.
- c. The hours of the program accommodate, as best they can, the divergent lifestyles of students and variety of housing styles available on campus.
- d. The purpose of a student's room is primarily for study and sleep, which take precedence over social privileges.
- e. Respect for the wishes and well-being of one's roommate(s) is more important than someone's desire to have guests.
- f. Cohabitation is not permitted in any university housing facility.

2. **PARTICIPATION:** All students have the opportunity to learn more about the visitation program at a building-wide vote that takes place during the first two weeks of classes in the fall. If sufficient interest in a non-visitation area exists, specific arrangements will be made to accommodate that request. In the event that there are not enough requests to satisfy various options, no person will be forced to participate in a more liberal visitation policy than he or she wants.

3. **REGISTRATION OF GUESTS:** It is the responsibility of each resident to attend to the registration of a guest. Furthermore, it is the responsibility of each resident to escort the guest at all times while the guest remains in the building. Guests must provide and surrender a picture ID to the desk receptionists when checking in. This identification will be returned when the guest leaves the building. False identification will be turned over to University Police for appropriate action. Hosts are responsible for guests' behavior, for any damages incurred, and for the adherence to all university policies and procedures.

4. **LOSS OF PRIVILEGES:** Like most opportunities at the university, visitation is not a right, but rather a privilege that may be suspended at any time for violations of policies stated in the *Guide for On-Campus Living*. Visitation

privileges may be suspended administratively by the Office of Housing and Residence Life or by a judicial hearing officer as a result of disciplinary action.

5. **DAYS AND HOURS:** There is a distinction in the guest policy at UNCW that separates residences into two groups, each having its own visitation hours and group process to decide these hours.

At the beginning of the fall semester, all residential facilities (except the University Apartments, the University Suites, Seahawk Village, Seahawk Landing, Seahawk Crossing and International House) begin with visitation hours that are set at 11:00 a.m. to 11:00 p.m. on Sunday through Thursday and 11:00 a.m. to 2:00 a.m. on Friday and Saturday. Within the first two weeks of the fall semester, residence hall students will vote on the visitation option that they prefer for the full academic year. They will have two options to select from. The first option would allow for visitation hours from 11:00 a.m. to 11:00 p.m. on Sunday through Thursday and 11:00 a.m. to 2:00 a.m. on Friday and Saturday. Additionally, students can vote to extend these hours to a maximum of 10:00 a.m. to midnight on Sunday through Thursday and 10:00 a.m. to 3:00 a.m. on Friday and Saturday. The second option would have the same stipulations for the weekdays, Sunday through Thursday nights (including extending the hours). On Friday and Saturday nights, option II would allow for open visitation. Students are expected to recognize roommate rights and follow the state's co-habitation law. Each option has percentage requirements (based upon total residence hall occupancy) that must be met.

Each building may also choose to accept extended in-hall visitation privileges during the vote, which takes place in the fall semester. Extended in-hall visitation allows residents of a building free access to visit other residents within the residence hall. This is in addition to the normal visitation hours, which apply to outside guests. These hours may be extended to include up to 24 hours per day, seven days per week.

6. **OVERNIGHT GUESTS:** An individual of the same sex may host a non-resident overnight guest in the residence hall for a maximum of three nights in any given two-week period. A person may not be an overnight guest of more than one resident per two-week period. Guests are identified and registered through the front desk of each residence hall. Overnight guests of either gender are permissible under the general guidelines stated previously in all areas that are not traditional first year residential areas. However, cohabitation is not permitted at any time. Violations may result in loss of these visitation privileges.

7. **MINOR GUESTS:** While visiting, minors must always be under the supervision of the hosting parties. Hosting parties will be held responsible for the actions of their guests. Any visiting minor (under 18 years of age) must be accompanied at all times by the resident they are visiting, must present a photo



I.D. or written parental permission for the visit, and is subject to the same visitation hours as any other guest. No minor (under 18 years of age) who is not a UNCW student or accompanied by an adult family member may visit overnight in the residence halls unless he/she is staying with a sibling who is a resident of the building, or if the underage guest can present written parental consent for the visit, including a valid phone number where the parent or guardian can be reached for verification, to the front desk staff upon sign-in. The minor children or dependents of residents are not permitted to permanently live in a resident's room.

## **D. PETS**

Because of public health regulations, pets are NOT permitted in any on-campus housing under any circumstances including brief visits or temporary stays. Pets found in housing will be immediately removed and turned over to the Wilmington Animal Control Center or the Humane Society. This applies to, but is not limited to: dogs, cats, birds, hamsters, snakes, mice and other rodents. Fish in aquariums are permitted as long as the tank does not exceed 20 gallons and is kept in sanitary condition. A Health and Safety fine of at least \$25.00 is assessed to each student who possesses a pet in the student residences. Residents will be assessed for the cost of extermination in any rooms requiring pesticide for fleas caused by pets.

## **E. NOISE**

Residents are expected to use discretion where noise is concerned both in and around the student residences. Therefore, excessive noise is prohibited at all times. Courtesy hours are always in effect, and students are asked to be considerate of others' rights to study and sleep. During these hours, the environment is to be one that is conducive to studying. Loud stereos, gatherings in hallways, and other disturbances will not be allowed. If stereos are played out of windows or are a problem in any area around the residence halls and apartments, owners risk the removal of the stereo from the residence hall, suite or apartment.

Due to the nature of musical instruments, drums and amplified sound equipment are not allowed in any on-campus housing.

Quiet hours are 8:00 p.m. to 10:00 a.m., Sunday through Thursday. On both Friday and Saturday nights, quiet hours begin at 12:00 a.m. and go until 10:00 a.m. the following morning. Noise, including talking, music and other miscellaneous sounds, must be kept at a minimum level so that they cannot be heard in others' rooms.

If a resident has a problem with noise, the first step is for her/him to talk to the resident(s) about the situation. If the noise continues after a resident has first confronted the situation, the floor RA should be contacted. If the floor RA is not

available, contact the duty RA. During final examinations each semester, 24-hour quiet hours will be enforced. Look for posted signs that provide details about quiet hours during final exams.

## **F. SOLICITATION**

Residence halls, apartments and/or suites cannot be used to raise money for any individual or organization other than an official registered campus organization (faculty, staff or student). Anyone not connected with the residence halls, apartments or suites that does not have the proper identification and authorization, may be cited for trespassing. In order to maintain the privacy of resident students, no door-to-door solicitation is allowed. Authorization for solicitation (in lobby areas) must come from the Campus Activities & Involvement Center and the Director of Housing and Residence Life. Banners, signs or posters may not be affixed to the outside of any building without written permission from the Director of Housing and Residence Life or designee.

## **G. BEHAVIOR**

Disciplinary proceedings as outlined in Section II-2 will be initiated against a student who violates the *Code of Student Life*, Section II-1-B, policies in the *Guide for On-Campus Living* and/or other applicable rules such as:

1. Violation of visitation as outlined in the *Code* or posted in the residence halls, apartments and suites.
2. Tampering with, destroying, defacing or removing public property within the residence life area, including furniture, doors, screens, walls, elevators, vending machines, ceiling tiles, games, etc.
3. Destroying or tampering with fire alarm systems and/or firefighting or emergency equipment.
4. Loaning or using unauthorized entrance door keys or room keys.
5. Throwing objects from the windows of residence halls/apartments/suites.
6. Undermining the security of hall residents and property (e.g., intentionally leaving doors propped open, climbing through open windows).
7. Cooking in residence hall rooms.
8. Walking or laying on building or apartment roofs.
9. Obstructing hallways and/or entrances (e.g., bicycles parked in these areas).
10. Driving motorized vehicles on the sidewalks and/or grass in the residence life areas.
11. Providing false or misleading information to housing staff.

## **H. INDIVIDUAL PRIVACY IN RELATION TO STUDENT ROOMS**

Privacy of the individual is of the utmost concern to the Office of Housing and Residence Life and the university; however, entry of student residence hall rooms and apartments at times is necessary.

## 1. ROOM ENTRY

University officials may enter a room under the following conditions:

- a. When there is sufficient reason to believe that an emergency situation exists that poses immediate danger to the occupants and/or to the facility.
- b. For routine maintenance, cleaning and health/safety inspections.
- c. To deal with disturbances which are in violation of university regulations and/or violating the rights of other students within the residence hall, apartment complex or suite complex.
- d. When a student who is a resident gives voluntary consent. The student's consent may be freely given and must not be based on coercion or threats by the university, and must not be occasioned by a student's fear of reprisal for failure to give consent.

- (1). The intrusion by the employee must be limited to the consent given by the student; for example, if the student consents to entry by the employee, the employee is not entitled to search the student's belongings without obtaining further consent to do so.

- (2). Any student who is a resident of the room, suite, or apartment may consent to entry of the university employee and to a search of that student's personal belongings. However, no student may consent to the search of another student's bedroom, closets, locker, suitcases or other areas under the primary control of another student.

e. Materials found during entry as described in this section may be used in any university disciplinary proceeding if said material is found in plain sight or during the course of performing the objectives described in a, b, c and d.

Materials found beyond the scope of such entry shall constitute a search as defined below and may not be admitted if found in violation of that section. Any unauthorized materials found during an entry may be impounded.

## 2. ROOM SEARCH

Searches of student-occupied premises or a student's personal possessions shall be only as authorized by law. A student's room is considered private; a search of residence hall rooms will be guided by the following principles:

- a. University officials and/or University Police may search a room only upon obtaining a legal warrant or consent of the occupants.

- b. Searches of university residence hall rooms by external law enforcement officials will be regarded as a matter between the student and law enforcement officials involved. The university's interest will be limited to requesting that it be notified of impending searches.

## I. ROOM CONDITION

Damages to student rooms are the responsibility of the occupant(s). Damage charges will be shared equally by all roommates unless residents provide written notice to the Residence Coordinator of a particular student who may be responsible for the damage. In order to prevent misinterpretation, it is suggested that

students inspect their rooms thoroughly when completing the Room Condition Report (RCR) upon taking occupancy of a room. This task should be taken seriously and completed in detail.

## **J. FIREARMS**

The Office of Housing and Residence Life enforces the state law (N.C.G.S. 144-269.2) on firearms and/or other weapons on university property. See Section II-B, Student Conduct and Appeals, for offenses, and State Law II for the complete statute on weapons and firearms.

## **SECTION V**

### **STUDENT ORGANIZATIONS**

#### **V-1 INTRODUCTION**

Students bring to the university a variety of previously acquired interests and develop many new interests as members of the academic community. Students at UNCW are free to organize and join associations to explore their common interests. Student organizations wishing to be registered with UNCW must form and operate in compliance with university policies. It is through its more than 200 student organizations that the university is able to promote a sense of community and offer students the opportunity to pursue the practice of involvement, leadership and service.

Although critical to the fabric of campus life, student organizations are independent organizations and are not considered to be an extension of the university. Unless the university has specifically requested that a student organization host an event (and by doing so has deemed the organization an agent of the university), the student organization will not be covered by the university's insurance.

A student organization is defined as a group of three (3) or more currently enrolled University of North Carolina Wilmington students who unite around a common interest. Student organizations may have other non-student members as part of the student organization but these non-student members may not be considered voting members. Unless more specific membership requirements are provided by the appropriate advisory council (appropriate advisory councils are Student Organizations Committee, Sport Club Council, Graduate Student Association, Panhellenic Council, National Pan-Hellenic Council, and the Interfraternity Council), this section outlines university policies pertaining to the formation and operation of student organizations, including actions that may be taken by the university, for noncompliance with these policies. In addition to the policies established in this section, organizations must also adhere to all policies set forth by their respective governing bodies.

#### **V-2 STUDENT ORGANIZATIONS COMMITTEE**

A. Student Organizations Committee (SOC), a standing advisory committee of the Chancellor, has the responsibility of reviewing all student organizations for registration and recommending policy and processes to the Chancellor. The committee has 15 members: five students appointed by Student Government Association for one year, renewable terms, and five faculty appointed by the Chancellor for three-year terms. The Dean of Students, the Executive Director of Campus Life, the Director of Campus Activities & Involvement, the Assistant Director of Campus Activities & Involvement, and

the Coordinator of Multicultural Student Organizations and Campus Activities Programming are all ex-officio members and serve as advisors without a vote.

A chairperson will be elected each year by majority vote and will begin his/her term with the first meeting of the fall semester. The position of the chairperson is only open to voting members of the committee. The Assistant Director for Campus Activities & Involvement will fulfill the role of the convener and will work with the chairperson to prepare for all meetings. The chairperson shall attend and preside over all meetings. S/He shall also sign all official documents of the SOC.

The convener shall call meetings and arrange to notify members of the committee with a minimum of four days advance notice. S/He shall also maintain all minutes and official documents and keep accurate files in the Campus Activities & Involvement Center.

The chairperson may be removed from office by a two-thirds majority vote of the SOC for non-fulfillment of duties. In the event that the chairperson leaves or is removed before completing the term of service, a replacement will be elected by the voting members to serve to the end of the term.

B. Responsibilities of the committee include:

1. Reviewing, approving and revoking registration of student organizations.
2. Formulating, reviewing and approving policies governing student organizations for submission to the Chancellor for final approval.
3. Considering appeals that pertain to registration procedures.
4. The SOC has continuing authority to review the purpose and activities of any student organization and to classify organizations in categories according to risk associated with their activity. The SOC has continuing authority to require participants of any student organization and the organization itself 1) to maintain appropriate insurance coverage and to submit proof of coverage, and/or 2) to submit valid waiver(s) of liability or assumption of risk statement(s) that protect(s) the university to the greatest extent possible from the risk of liability because of the activities of the organization.

### **V-3 APPLYING FOR REGISTRATION AS STUDENT ORGANIZATION**

A. Students planning to develop a student organization must register their intent and provide written justification for the organization's establishment with the Campus Activities & Involvement Center (CAIC) and must have at least three (3) University of North Carolina Wilmington currently enrolled students interested in starting the organization. Groups which have filed this "Intent to Register" shall be granted provisional status and the use of university facilities for organizational or recruitment meetings. Organizations under this status are

not eligible to reserve university space for events, fundraise on campus or establish an on-campus account. If a proposed constitution is not submitted to CAIC within one month of filing the “Intent to Register,” permission for use of university facilities and services may be terminated (see Section VII), and pending reservations may be cancelled.

B. To apply officially to SOC for registration, a group must submit a copy of its constitution to the CAIC. The CAIC staff will then work with the group on its constitution to prepare it for SOC review. The group must submit a final draft one week prior to the scheduled SOC meeting at which the constitution will be reviewed.

C. One of the following decisions will be made after a constitution is submitted to the SOC:

1. The constitution will be accepted without revision.
2. The constitution will be accepted pending minor revision.
3. The constitution will be deferred until revisions are made and there is a second review done by the committee.
4. The constitution will be rejected because it needs major revision.
5. The constitution will be rejected because it does not comply with university policy.

D. Once an organization has been approved, the CAIC staff will provide a current copy of registration guidelines for the student organizations. Student organizations must then continue to re-register annually each fall.

## **V-4 RIGHTS/PRIVILEGES OF REGISTERED STUDENT ORGANIZATIONS**

A. A registered student organization is entitled to:

1. Be listed as a registered student organization. Only student organizations registered by SOC and in good standing with the university (meaning not on disciplinary probation) may use the name of the university or an abbreviation of it as a part of their name.
2. Sponsor or present a public event on university property. Organizations undertaking this activity should consult with the CAIC staff for procedures and approval.
3. Raise funds or make other permissible solicitations on university property in accordance with university policy (see Section VI-3).
4. Reserve the use of university facilities and services (see Section VI and VII-3).
5. Be eligible for possible funding by the UNCW Student Government Association according to Student Government Association guidelines.
6. Be listed on the “Student Organizations” web page for UNCW.
7. Appoint or elect an advisor of the organization’s choice; although strongly recommended, an advisor is not required.
8. Utilize the resources and services provided in the Involvement Center.

9. Have access to technological resources provided by ITSD such as a web page, UNCW e-mail account and file sharing/storage space on the student server.

B. Policies and actions of a student organization will be determined by those persons who hold a bona fide membership in the organization.

## **V-5 RESPONSIBILITIES OF REGISTERED ORGANIZATIONS**

A registered organization must:

A. Adhere to all university policies and procedures governing student organizations including:

1. Adhere to student standards of conduct (II-2)
2. Reserving space (VI-1)
3. Posting publicity such as posters and banners (VI-3)
4. Political and religious activity (VI-4)
5. Solicitation both on and off campus (VI-5)
6. Responsible use of electronic resources (Policy 07.100)
7. Event registration
8. Dances
9. Travel guidelines

B. Have three (3) or more currently enrolled University of North Carolina Wilmington students. Student organizations may have non-student members as part of the student organization but these non-student members are not considered voting members nor may they serve in an official capacity on behalf of the organization.

C. Update organization information, including officer contact information, with the CAIC through SeaOrg, CAIC's online student organization information database, by the date set annually (date is published in CAIC registration materials and posted on-line at [www.uncw.edu/studentorgs](http://www.uncw.edu/studentorgs)). In addition, student organizations must register a complete list of officers and other members of the organization who are authorized to speak for or represent the organization and who are designated to (including, but not limited to) sign paperwork, reserve space, file and manage appropriations and receive for the organization official notices, directives or other information from the university. Advisors (non-students) may not be designated to act on behalf of the student organizations in the above stated areas. Authorized individuals shall be kept current and accurate throughout the year by the organization.

D. Maintain a current electronic file through SeaOrg of its SOC approved constitution which includes the stated mission of the organization. The stated mission must have a direct correlation to the purpose of the organization. In the event that the organization revises its constitution, the revised document must be submitted. This document should include a dateline to reflect when organization approval was obtained and should be supported by documentation of the changes that were made (i.e. meeting minutes, signatures of approval, etc.).



- E. Send at least two delegates from its membership to the annual Student Organization Leadership Conference to receive leadership training for the academic year.
- F. Send at least one representative to a Re-Registration Seminar to receive policy and resource information related to student organizations as well as training on how to effectively coordinate events on behalf of an organization.
- G. If funded by SGA, use a UNCW account for deposit and use of funds. In addition, if funded through a SGA operational budget, send at least one student representative to the fall Policies and Procedures Workshop and one Budget Review Workshop each semester.
- H. Advertise or promote events or activities in a manner that does not suggest falsely that the event or activity is sponsored by the university.
- I. Plan and conduct activities furthering the purpose of the group as stated in its constitution.
- J. Adhere to all national policies if nationally affiliated.
- K. State that sponsorship of guest speakers does not necessarily imply approval or endorsement of the views expressed by UNCW.
- L. Social fraternities and sororities must be advised by the Office of the Dean of Students/Fraternity and Sorority Life and must adhere to the policies outlined by said office.
- M. If advised by the Coordinator of Fraternity and Sorority Life, must register all social events with the Coordinator of Fraternity and Sorority Life by Thursday at 8:00 a.m., if the event is scheduled for a weekend, or forty-eight (48) hours prior to the event if the event is not scheduled for a weekend.
- N. If affiliated with the Sport Club Council, submit an Event Request form seven (7) business days prior to the event date and a Travel Request fourteen (14) business days prior to the date of travel to the Coordinator of Competitive Sports- Sport Clubs.
- O. Open membership to members of the university community without regard to race, color, age, religion, national origin, disability, or sexual orientation. Membership and participation in a student organization must also be open without regard to gender, unless exempt under Title IX (see Federal Law I).
- P. Provide proof of general liability insurance to hold certain activities using university facilities, as student organizations are not covered by the university's liability insurance.

## **V-6 ANNUAL REVIEW OF ORGANIZATIONS**

- A. Annually, in the fall semester, the Campus Activities & Involvement Center (CAIC) shall review the status of all organizations to determine whether they meet eligibility requirements specified previously and are conducting their affairs in accordance with SOC guidelines and policies outlined in the *Code of Student Life*. Specific deadlines for registration materials will be set by CAIC each fall semester (deadline date is published in CAIC registration materials and posed on-line at [www.uncw.edu/studentorgs](http://www.uncw.edu/studentorgs)).

B. If CAIC determines that an organization has not completed the re-registration process; is ineligible for renewal of registration; is inactive, or conducts its affairs in violation of university policies and procedures; the organization shall be notified in writing of each deficiency. If the organization fails or refuses to attempt to remedy each deficiency, CAIC may place the organization in provisional status, thus limiting its privileges until all requirements are fulfilled, or deem the organization as inactive. Organizations may submit a written appeal of CAIC's classification to the SOC within ten (10) business days of being notified.

C. A student organization which is inactive for more than four consecutive semesters may reapply for registered status by submitting an "Intent to Register" as though it were a new student organization.

D. If, upon review, the SOC discovers that a student organization has revised its constitution so that it no longer complies with university policy, the organization will be notified in writing. If the constitution is not revised within ten (10) business days, the organization's registration status and all its privileges will be revoked. Should the student organization choose to revise its constitution after the ten (10) days, it must submit an "Intent to Register" as though it were a new student organization.

## **V-7 GOVERNING BODIES**

The SOC has established thirteen categories of student organizations. These categories are Academic, Professional, Cultural, Graduate Student Associations, Fraternities and Sororities, Honorary, Media, Political/Activist, Religious, Performance/Visual Arts, Service, Special Interest and Sports and Recreation. In addition, there are eight established governing bodies: Student Government Association, Interfraternity Council, Panhellenic Council, National Pan-Hellenic Council, Residence Hall Association, Student Media Board, Graduate Student Association and the Sport Club Council. Governing bodies serve to represent, connect and provide services for other student organizations with similar missions and/or student populations that fall under their jurisdiction.

The Campus Conduct Board plus two (2) designees from the appropriate advisory council (appropriate advisory councils are SOC, Sport Club Council, Graduate Student Association, Interfraternity, Panhellenic Council and National Pan-Hellenic Council) would serve as the original hearing body for any conduct issues. All student organizations still maintain the right to an administrative hearing conducted by the Office of the Dean of Students.

## **V-8 CONDUCT REVIEW**

A. A conduct review of any organization may be initiated by the Dean of Students/designee at the request of any registered student organization, staff member, faculty member or student. Grounds for conduct review include, but are not limited to, alleged violation of rules by the organization as listed in

Section II-1-B. Prior to disciplinary action or sanction, the Dean of Students/designee shall investigate the alleged violation. After completing a preliminary investigation, the Dean of Students/designee may:

1. Find no basis for complaint and dismiss the allegation as unfounded; or
2. Summon the officers of the organization for a conference and,
3. Either dismiss or proceed administratively by informing the organization of the following options for resolution of the disciplinary charges:

a. Plead not responsible to the charges and have a regular hearing before the established Campus Conduct Board plus two (2) designees from the appropriate advisory council. If a student organization is held responsible by Campus Conduct Board plus two (2) designees from the appropriate advisory council, an appropriate sanction will be determined.

b. Plead not responsible to the charge(s) and request an administrative hearing before the Dean of Students/designee where determination of violation will be made. If a student organization is held responsible by the administrative hearing officer, an appropriate sanction will be determined.

c. Plead responsible to the charge(s) and elect for an administrative hearing before the Dean of Students/designee to determine an appropriate sanction. The Dean of Students/designee reserves the right not to hear the case.

4. A student organization choosing a regular hearing before Campus Conduct Board plus two (2) designees from the appropriate advisory council has a right of appeal to the Vice Chancellor for Student Affairs. A student organization choosing a regular hearing before the Dean of Students/designee has the right of appeal of the dean's decision to the Campus Conduct Board plus two (2) designees from the appropriate advisory council.

B. The Dean of Students/designee and the Campus Conduct Board plus two (2) designees from the appropriate advisory council have the following disciplinary sanctions for dealing with groups that have been found in violation of university policies:

1. *Written Reprimand:* A written reprimand involves a status of warning through the end of the next full semester, which terminates automatically when the imposed period expires.

2. *Disciplinary Probation:* Disciplinary probation involves a status of probation for up to one calendar year, which terminates automatically when the imposed period expires. An organization placed on disciplinary probation is considered not to be in good standing with the university.

As part of disciplinary probation, an organization may have restrictions placed on specific privileges as determined by the hearing body or administrative hearing officer, not to exceed the duration of the probationary period. In the event of a further violation of this *Code* or other applicable rules while on disciplinary probation, the university will seek the penalty of suspension.

3. *Suspension:* Suspension involves withdrawal of student organization registration through the end of the next full semester and ordinarily carries with it conditions which must be met for re-registration. Suspension ordinarily is followed by a status of probation through the end of the following full semester, which terminates automatically when the imposed period expires.

4. *Indefinite Suspension:* Indefinite suspension involves withdrawal of student organization registration, at a minimum, through the end of at least the next two full semesters and ordinarily carries with it conditions which must be met for re-registration.

Re-registration after a suspension period requires that the organization apply to the Dean of Students at the close of the imposed period, and the dean will determine whether the organization has met the conditions imposed and is otherwise eligible for re-registration. A denial may be appealed to the SOC.

C. Campus Conduct Board plus two (2) designees from the appropriate advisory council, as part of the disciplinary process, may impose specific conditions and/or require the student organization to make restitution for damage to or misappropriation of property. Reimbursement may take the form of appropriate service to repair or otherwise compensate damages.

D. Campus Conduct Board plus two (2) designees from the appropriate advisory council may impose an oral reprimand, written reprimand or disciplinary probation, but may not impose the disciplinary penalty action of suspension or indefinite suspension. Campus Conduct Board plus two (2) designees from the appropriate advisory council may only recommend, but not impose, the disciplinary action of suspension.

E. Specific cases involving UNCW student organizations that require immediate action shall be handled by the Vice Chancellor for Student Affairs. Action taken by the Vice Chancellor for Student Affairs may be appealed by the student organization to the Campus Conduct Board plus two (2) designees from the appropriate advisory council within five (5) business days. Action taken by Campus Conduct Board plus two (2) designees from the appropriate advisory council may be appealed by the student organization to the Chancellor within five (5) business days.

F. An appeals outlined above to each body shall be made in writing on or before the fifth class day after the decision of the appellate body. The notice shall contain the organization's name, date of decision or action, if any, and the name of the organization's representative, if any. All parties involved shall receive notification of the appeal and subsequent actions.

G. The Campus Conduct Board plus two (2) designees from the appropriate advisory council or the Dean of Students/designee, as part of the disciplinary process, may make public the findings of the hearing and the sanctions imposed.

## **SECTION VI**

### **USE OF UNIVERSITY FACILITIES**

The university permits the orderly use of facilities by student organizations to further the educational process. Organizations desiring to use a university facility must comply with certain university policies and procedures so that institutional property may be protected and that the facility use is consistent with this designated intent. “Facility” is defined as meaning a classroom, lecture hall, housing and food service facilities, auditorium, Campus Life facilities, other buildings or outdoor areas of campus. University activities shall be given priority in use of facilities over outside groups.

#### **VI-1 RESERVING SPACE**

A. Student organizations registered by the Student Organizations Committee (SOC) and other university related groups desiring to use space must obtain permission from appropriate university officials. Students desiring to form a new organization are permitted limited use of university space for organizational meeting purposes only after filing an Intent to Register form with the Campus Activities & Involvement Center (see Section VI-3).

B. The Campus Life Reservation & Event Services Staff is responsible for facilities information and maintaining a listing of contact officials for the reservation of university facilities. Listed below are the contact offices for reservation of university facilities.

1. Recreational facilities and fields, including the Gazebo—Department of Campus Recreation
2. Classrooms, lecture halls, housing and food service facilities including Wagoner Hall and Madeline Suite (off-campus groups requesting use)—Facilities Use Manager
3. Randall Library Auditorium—Facilities Use Manager
4. Kenan Auditorium—Office of the Manager of Kenan Auditorium
5. Campus Life facilities: Fisher University Union (including Hawk’s Nest), Fisher Student Center, Burney Center, and Warwick Center—Reservation & Event Services
6. University Commons—Reservation & Event Services
7. Outdoor areas on campus—Facilities Use Manager
8. Residence Halls—Office of Housing and Residence Life
9. Trask Coliseum—Athletic Department

C. An organization or individual student wishing to reserve one of the university facilities listed above should contact the office identified. Procedures for use of facilities identified above can be reviewed in each office responsible for facility use.

D. Any peaceful assembly, protest or demonstration where 50 or more people are expected to participate must be registered with the Office of the Dean of Students prior to the event. Whenever possible, at least 48 hours lead time will be given.

E. If a request for use of a facility is not approved, the applicant will be given a statement of reasons for denial.

F. Appeal of adverse decisions for use of university facilities may be made to the Facilities Use Committee composed of designees of the vice chancellors for academic affairs, business affairs and student affairs. The Facilities Use Committee, subject to approval of the chancellor, shall have authority to revise policies and procedure regarding the use of university facilities by university groups.

## **VI-2 OFF LIMITS PROPERTY**

The eastern section of the university's property, which is undeveloped, should not be used for any purpose by students. Comprising approximately 60 percent of the total campus, the area is posted with "No Trespassing" signs, which should be observed.

## **REGULATIONS REGARDING PETITIONS, HANDBILLS, SIGNS, POSTERS, BULLETIN BOARDS, BOOTHS, BANNERS AND OTHER PROMOTIONAL ACTIVITIES**

A. All posters, notices and other literature must be placed on bulletin boards designated for that purpose which are located throughout the campus. All bulletin boards or other means of posting materials are under the jurisdiction of the college, school, department or administrative office that maintains them. All posters, notices and other literature involving alcohol must be in accordance with the marketing practices described in University Policy 05.304.

B. No posters or publicly distributed materials shall contain obscene, vulgar or libelous material, nor should any material be distributed which contains information in violation of any federal, state or local law or seeks unauthorized solicitation.

C. Distributors of literature must not obstruct traffic, harass or interfere with by-passers, block entrances, disturb others with excessive noise, litter premises or disturb or interfere with academic or institutional activities.

D. Postings and signs must be dated on the day of posting and normally should not remain beyond 14 days.

E. Notices should not be placed over existing posters; however, outdated material may be removed to make room for upcoming events.

F. In this section, "sign" includes billboard, decal, notice, placard, poster, banner or any kind of hand-held sign, and "posting" is defined as any means used for displaying a sign. A sign may **not** be:

1. Attached to a shrub or plant; a tree; a permanent sign installed for another purpose; a fence or chain or its supporting structure; a brick, concrete or masonry structure; a sanctuary, monument or similar structure; or

2. Posted on or adjacent to a fire hydrant; on or between a curb and sidewalk; in a university building, except on the bulletin board; as provided in Section VI-1-A; or

- 3. Placed on windshields of vehicles on campus.
- G. Information and/or sales tables in or around any of the Campus Life facilities must be reserved through the Reservation & Event Services office

- H. Banners

- 1. Locations

Fisher University Union – outside – north side poles; inside – designated locations (2)

Fisher Student Center - designated locations inside (3)

Chancellor's Walk - near the Social & Behavioral Sciences Building

Wagoner Dining Hall outside - west entrance poles

Residence Life Recreation Field - poles (near softball field)

In locations where poles are designated, the poles must be used.

Banners may not be hung on the water tower or clock tower

- 2. Content

All banners must clearly identify the student organization sponsoring the event or promotional activity. Banners advertising an event in which alcohol will be involved must adhere to the "Statement of Principles Regarding the Marketing of Alcoholic Beverages" as cited in University Policy 05.304. Advertising should avoid reference to drink specials, graphics or pictures depicting the use of alcohol and terminology such as bash, \$.25 drafts, etc.

- 3. Approval

All banners must be approved by staff in the Campus Activities & Involvement Center or the Office of the Dean of Students. If banners are not properly approved, they will be taken down. Student organizations must take responsibility for removing banners within 24 hours after the event or after seven (7) days of posting, whichever comes first.

- I. Chalking on Campus

- 1. Locations

Chalking is permitted on campus concrete sidewalks only (no chalking on any brick, asphalt or other surface). Chalking is NOT permitted on any vertical surfaces or under any porch coverings. Chalking is not permitted in the Commons Amphitheater since this is a reservable space. Chalking is not permitted in the Student Recreation Center Courtyard (front entrance). Chalking is not permitted on the sidewalks or parking areas around the Hoggard Lawn area including all sides of James, Alderman, and Hoggard halls.

- 2. Content

Content is unrestricted unless the materials contain obscene, vulgar or libelous material. Nor should any materials be posted which contain information in violation of any federal, state or local law or seeks unauthorized solicitation.

- 3. Approval

Chalking areas are not reservable spaces. These spaces are available on a first come, first served basis. Aerosol "spray-on" chalk is not allowed anywhere on campus. Adhesives and/or sealants (i.e. spray adhesive, hairspray, etc.) may not be used on chalking.

- J. Spirit Rocks

### 1. Locations

There are two Spirit Rocks on campus: one is located near the Campus Recreation Center on Walton Drive. The other is in front of the Fisher University Union. These are available for UNCW organizations and individuals to share their messages. The Spirit Rock is not reservable space, so it is available on a first come, first served basis. Out of courtesy, groups and individuals are asked to allow messages to remain on the rock for 24 hours before painting over them.

### 2. Content

Content is unrestricted unless the materials contain obscene, vulgar or libelous material. Nor should any materials be posted which contain information in violation of any federal, state or local law or seeks unauthorized solicitation.

## **VI-4 POLITICAL AND RELIGIOUS ACTIVITY**

A. Registered student organizations may sponsor activities on university property on behalf of a specific candidate for local, state or national political office.

B. Registered student groups and student organizations associated for religious purposes may sponsor religious activities on university property with a person or group of persons who are not students, faculty or staff members

## **VI-5 SOLICITATION POLICY**

Solicitation means the sale or offer for sale or sales promotion of any property or service and/or receipt of or request for any gift or contribution.

A. No solicitation shall be conducted in any building or structure on the campus of UNCW or on the grounds, sidewalks or streets on the campus, or by electronic means except by the agents or employees of the university acting in the official capacity of their agency or employment or by student government and/or registered student organizations, or by officially sanctioned external vendors contracted through the Reservation and Events Services Office, in accordance with this section on university policy.

B. Registered student organizations of the institution may collect membership fees or dues at meetings of such organizations scheduled in accordance with the facilities-use regulations outlined in this section.

C. There may be a collection of admission fees for an exhibition of films or other programs that are sponsored by the university, a recognized faculty group or a registered student organization and are scheduled in accordance with the facilities use regulations in this section.

D. Solicitation must be conducted in a way:

1. That will not disturb or interfere with the regular academic or institutional programs being conducted on the campus.

2. That will not interfere with the free and unimpeded flow of pedestrian or vehicular traffic or sidewalks, streets or entrances to the campus buildings or common areas of campus buildings.

3. That will not harass, embarrass or intimidate the person or persons being solicited.



E. Charitable fund-raising campaigns conducted on campus by individuals, student organizations or non-student campus groups must be approved by the Campus Activities & Involvement Center and must be in compliance with the North Carolina "Solicitation of Charitable Funds Act."

F. Student organizations may solicit local vendors or merchants for donations only with prior approval from both the Campus Activities & Involvement Center and University Advancement. Students should make it known that they are only representing their respective group and are not acting in any official capacity for the university.

G. Student organizations and affiliated groups may solicit only with the written approval of the Campus Activities & Involvement Center. A properly completed solicitation form and compliance agreement must be submitted to the Campus Activities & Involvement Center seven (7) days before the event. Approval will be granted under the following conditions:

1. The primary purpose of such sales will be to raise money for the benefit of the campus group or for charitable causes.

2. All solicitation must be conducted by and only by members of the sponsoring group. This requires the presence of an organization member at all times. The university reserves the right to limit the type of fundraiser that organizations are permitted to conduct on campus. Fundraising is a privilege granted to university recognized groups and therefore those privileges may be limited in type or scope. For example, credit card solicitation is not permitted on campus.

3. Outside vendors are not permitted to conduct solicitation on behalf of student organizations. Solicitations must be conducted in space approved by the appropriate facilities manager: (1) Campus Life facilities and surrounding grounds, (2) Wagoner Hall, (3) mall area outside Wagoner Hall, and (4) Brooks Field. Other areas may be designated in special circumstances as determined by the dean of students.

4. Registered student organizations funded by the Student Government Association are required to provide a financial statement to the Student Government Association regarding the conduct of sales within five (5) class days of the completion of the event.

5. Activities authorized by the university administration involving the sale of goods or services including, but not limited to, activities of the University Bookstore personnel and representatives of education-supply firms, are exempt from this policy.

H. Regulations for on-campus ticket sales are as follows:

1. An organization selling tickets through the box office in the Fisher Student Center shall have all monies received from ticket sales deposited in a regular university account by Campus Life staff.

2. Student organizations funded by the Student Government Association shall file with the Student Government Association a final report reconciling sales of tickets and names of recipients of complimentary tickets with all unused tickets.

3. All activities involving university funds or services are subject to university and state audit.

4. An organization shall consult with the Campus Activities & Involvement Center regarding fund-raising events. Tickets for fund-raising events shall indicate admission price, sponsoring organization and be pre-numbered, unless they reserve specific seats for specific performances.

**I. Raffle Guidelines:**

It is lawful for tax-exempt organizations to conduct raffles in accordance with, but not limited to, the following regulations:

1. A raffle is a lottery in which a prize is won by a random drawing of the name or number of one or more persons purchasing chances.

2. All student organizations acting under the auspices of UNCW must claim tax-exempt status if planning to hold a raffle. In order to gain this status, each organization must write a letter to the North Carolina Department of Revenue requesting tax-exempt status. Sample letters are available in the Campus Activities & Involvement Center. Student organizations are NOT authorized to hold a raffle unless they have received a letter from the state indicating their tax-exempt status. A copy of this letter must be kept on file in the Campus Activities & Involvement Center in the student organization's folder.

3. The student organization sponsoring a raffle must contact the Reservation & Event Services Office to begin an Event Registration Form ten (10) days prior to the event.

4. No alcoholic beverages may be raffled.

5. A student organization may sponsor only two raffles per year. The total cash prizes offered or paid by any organization or association may not exceed fifty thousand (\$50,000) dollars in any calendar year.

6. No person may be compensated for conducting a raffle.

7. No less than ninety percent (90%) of the net proceeds of a raffle shall be used by the non-profit organization or association for charitable, religious, educational, civic or other nonprofit purposes (Meaning only 10% of the proceeds can go toward other items such as raffle expenses).

This information is in accordance with NC General Statute 14-309.15.

For more information, contact Campus Activities & Involvement Center in the Fisher Student Center room 2029, by phone at 962-3553, or via email at [activities@uncw.edu](mailto:activities@uncw.edu).

## **VI-6 APPEALS PROCEDURE**

A. The student organization or group aggrieved by a decision covered by this section of the *Code* is entitled to appeal to the Office of the Vice Chancellor for Student Affairs by giving written notice on or before the fifth class day after the decision is announced. The notice shall contain the student's or organization's name and mailing address, a concise description of the complaint,

the reasons for disagreeing with the decision and the date the decision was announced.

B. When notice of the appeal is received, the vice chancellor for student affairs shall delegate responsibility for hearing that appeal. The delegate shall provide the student organization with an opportunity for a hearing and the person or organization shall be notified at least one day before the date of the hearing.

C. The student or the organization may petition the Student Organizations Committee (SOC) in writing, through the delegate, to review a decision which has been appealed. The vice chancellor for student affairs shall request SOC to review the appeal and make recommendations.

## **SECTION VII**

### **CAMPUS LIFE FACILITIES - FISHER UNIVERSITY UNION, FISHER STUDENT CENTER, BURNEY CENTER & WARWICK CENTER**

The Fisher University Union, Fisher Student Center, Burney Center, Warwick Center, and University Commons serve as focal points for campus life outside the classroom, enhancing the university's mission to offer a well-rounded educational experience to its students. The facilities provide meeting space, study areas, recreational space, performance venues, and space for student organization offices, various student service offices and the services of a post office, the University Information Center, University Bookstore, banking machines, food service and art gallery spaces.

#### **VII-1 CAMPUS LIFE ADVISORY BOARD**

The purpose of the Campus Life Advisory Board is to act in an advisory capacity to the Executive Director and staff of Campus Life in matters regarding the management and operation of Campus Life spaces. It is a chancellor's committee. The board shall operate and report to the chancellor through the vice chancellor for student affairs.

The board will be comprised of 12 voting members and designated non-voting, ex-officio members. All appointments shall be approved by the chancellor. Faculty and staff will be appointed for a two-year term; students shall be appointed for a one-year term. The full board will meet approximately three times per semester during the academic year. Whenever possible, meeting agendas will be distributed prior to each meeting, with the Director of Campus Life Facilities and Services serving as convener. Decision-making will be by consensus whenever possible or by simple majority voting if necessary. The Campus Life staff will provide information on the Campus Life Advisory Board in its annual report.

##### **A. MEMBERSHIP**

Members shall be appointed by the chancellor to ensure representation and interaction among students, faculty, staff and alumni. Every member, with the exception of the Alumni Association member, shall be a current full-time employee of UNCW or an enrolled graduate or undergraduate student who is in good academic and disciplinary standing at UNCW at the time of his/her appointment and throughout his/her term. A voting member shall have been an employee or student at UNCW for one semester (two summer sessions will be considered one semester), prior to his/her appointment.

The 12 voting members shall consist of:

1. Seven (7) students appointed for one year terms:
  - a. The president of the Student Government Association (SGA) or designee.
  - b. The chair of the Association of Campus Entertainment or designee.

c. One student appointed by the Campus Life Student Employee Committee (SEC).

d. One resident student appointed by the Director of Housing and Residence Life.

e. One commuter student appointed by the Office of the Dean of Students.

f. One student appointed by the leadership of Fraternity & Sorority Life community (IFC, NPC, NPHC).

g. One student appointed by the Associate Vice Chancellor for Institutional Diversity and Inclusion.

2. Two (2) faculty members from various disciplines for two-year terms.

3. Two (2) staff members, one nominated by the vice chancellor for students affairs, and one nominated by the vice chancellor for public service and extended education for two-year terms.

4. One (1) alumnus appointed by the Board of Directors of the UNCW Alumni Association for a two year term.

5. Non-voting, ex-officio members include:

a. Executive Director of Campus Life

b. Director of Campus Life Facilities and Services, Convener

c. Dean of Students

d. Director of Auxiliary Services

e. Other Campus Life Staff

f. Student Designee of Student Media Board

g. Student Chair or Designee of the Student Organizations Committee

6. The Director of Campus Life Facilities and Services, with the support of administrative staff, will serve as the recording secretary for the board. Staff support for the board will be provided by the Executive Director and other Campus Life staff members. To conduct business, quorum will consist of one third of the voting members of the advisory board.

7. Any member of the advisory board may be removed by a two-thirds (2/3) vote of the voting membership. Circumstances necessitating removal may include missing three meetings of the board without excuse and notification to the convener or non-performance of duties. The appropriate body or department would be notified of the need for a new appointee.

## **B. FUNCTIONS AND RESPONSIBILITIES OF THE BOARD**

1. The board meets approximately three times each semester during the academic year. Meetings are scheduled at the beginning of each new semester.

2. During summer and/or breaks, the Director of Campus Life Facilities and Services will convene an ad hoc committee of Board members who are available to provide input as needed.

3. The Board is advisory to the Executive Director in all aspects of Campus Life operation. The Board will be asked to consider such items as:

a. Review policies, procedures and practices.

b. Requests for exceptions to guidelines/policies for space use and other procedures in the Campus Life facilities.

- c. Review of issues arising from the operations of these facilities, its programs and services.
- d. The Board will have the authority to act as an appeal body in situations where it is so designated.

## VII-2 CAMPUS LIFE FACILITIES – POLICIES

### A. USE OF FACILITIES

- 1. Facilities are reserved primarily for use by UNCW students, faculty and staff.
- 2. Executive Director of Campus Life or his/her representative is charged with determining whether the conduct of an individual or group is in compliance with university policies.

### B. RESERVATIONS

- 1. Space in the Campus Life facilities can be reserved by a registered campus organization, university department, or student, faculty or staff member for university functions on a “first come, first served” basis. The Campus Life staff reserves the right to make substitutions in room reservations or move a group to another area subject to availability. Reservations can be made on-line at <https://events.uncw.edu/virtualEmsCampus/default.aspx>.
- 2. The Reservation & Event Services staff must be notified of a cancellation no later than two (5) business days prior to the scheduled reservation. If a student organization or department neglects to cancel a reservation, the group will receive a letter from the Campus Life administration office informing them of potential charges for future “no-shows.” Personnel fees may still apply.
- 3. Campus Life reserves the right to bill any organization or group for damages or losses which result from the use or misuse of facilities or equipment, including charges for additional janitorial service over and above normal cleaning of the area used. Groups will be charged for time staff required outside normal operating hours of the facilities (e.g., building manager before or after hours, additional janitorial staff, etc.). Fees will also apply when technical services assistance is given.
- 4. Catering arrangements can be made through Campus Dining by contacting the catering manager.
- 5. With the scheduling of a large group affair, the sponsor will provide members to pick up any litter around the Campus Life facilities and in the parking lots.
- 6. Any decorations to be used must be approved prior to the event date. Nothing shall be attached to the walls, with the exception of the fabric walls in the Warwick Center ballroom where thumbtacks can be used. All materials used at any event must be removed at the conclusion of the program. Use of candles in rooms must be approved by the Campus Life staff.

7. Campus Life will not be responsible for any losses or injuries suffered by any person as a result of a room reservation and/or the activities of the reserving organization.

## **VII-3 STUDENT ORGANIZATION SPONSORED CAMPUS DANCES**

A. Closed dances are for UNCW students and one invited guest. Open dances are defined as dances that meet the University Police Department's potential risk profile. Specifically, any dance that meets one of more of the following criteria:

1. Open to the general public
2. Has an expected attendance of 100 or more
3. Is done in conjunction with any program that addresses sensitive or volatile issues that may attract aggressive responses
4. Has experienced violent incidents in the past
5. The dance or participants present any level of potential threat to the University or its community members.

Open dances must follow this policy.

The guest must be escorted and signed in by a UNCW student. All UNCW students must show their university identification and guest must present picture identification. UNCW students are responsible for the actions of their guest. Closed dances will end by 2:00 a.m. Organizations sponsoring closed dances must submit an event registration form 10 days prior to the event. (No other events should be scheduled in the Burney Center or the Warwick Center when a dance is scheduled).

B. The sponsoring organization must meet with the Reservation & Event Services staff and with University Police at least 10 working days prior to the date of the dance.

C. Dances will end by 2:00 a.m., but no one will be allowed to enter after 1:00 a.m. This ending time may be amended to 1:00 a.m. if the university experiences problems with ending at 2:00 a.m.

D. Advertising will be permitted off campus for student sponsored dances only if attendance guidelines are stated in each advertisement. Advertising on and off campus must state that attendees must be 18 years of age or older with proper identification or must possess a valid UNCW ID.

E. Attendees must be 18 years of age or older and have proper ID or possess a valid UNCW ID.

F. Student organizations holding dances in the Burney or Warwick Center shall be responsible for the following (for other university facilities see Section VI):

1. At least one representative of the organization must be present when the DJ or performer(s) arrive. Setup will not begin until an organizational representative is present.

2. All representatives of the organization who are assisting with the dance shall be present for a pre-event meeting with University Police and building manager(s) one hour prior to the start of the dance.
3. The organization shall have seven people on duty at all times during a dance. Those seven shall be at the dance site one hour before the dance is to begin to (a) review the policies, procedures and regulations applicable to the dance; (b) review the schedule and assignments of organizational representatives at the dance and; (c) ensure that all organizational representatives are in attire identifiable to Campus Life and University Police staff on duty (recommend each person have same color shirt with identifiable insignia). Groups with less than seven organization members may augment their numbers with other students. The organization is, however, responsible for ensuring that seven people are available and working at the dance at all times. The sponsoring organization is responsible for the actions of their representatives at all times during the dance.
4. Parking Lot K will be an option to Union Drive if a staff member is present and University Police approve this option. The two students who are typically assigned to work Union Drive will be reassigned to sell tickets at the main entrance of the Warwick Center. Two people shall be assigned to monitor the doors on the north and west sides of the center and activity in the hallways and lobby. Two people will be “roamers” who will be responsible for checking restrooms and monitoring activities in the ballroom. Representatives should alert the building manager or police of any potential or actual problems. The sponsoring organization is responsible for making guests aware of and assisting in the enforcement of Warwick Center policies.
5. Organizations that cannot provide seven representatives or who do not have those representatives present within these guidelines may not be permitted to go ahead with the dance.
6. If, at any time during the event, conditions or situations exist that are in conflict with these guidelines and these conditions or situations cannot be remedied, the building manager on duty, University Police, and/or the sponsoring organization shall, in consultation with the other parties, have the authority to close the dance.
7. An open dance may not be held in the Burney Center or the Warwick Center when any other event is scheduled without advance consultation with University Police.
- G. Campus Life will be responsible for the following:
  1. Building managers will be present for a pre-event meeting with University Police and sponsoring organization representatives at least one hour prior to the event.
  2. Building managers shall assist sponsoring organizations by being visible and observant throughout the dance and assuring the communication of problems and concerns to University Police and sponsoring organization representatives.



3. Building managers shall interpret policy and act accordingly in the absence of a professional staff member. A professional staff member of the Campus Life department shall be on call when dances are held in these facilities.

4. Building managers will be responsible for securing the building once the event has concluded.

H. University Police will be responsible for the following:

1. A University Police representative will be present one hour before the event to attend the pre-event meeting.

2. Officers will block Hamilton Drive at Riegel Road and direct event traffic to Union Drive. Officers will set up metal detectors at the entrance to the Warwick Center. Officers will be assigned to assist sponsoring organization representatives at the ticket sales point on Union Drive and in the parking lot. (These procedures may be changed by University Police due to other scheduled activities.)

3. Officers assigned to Union Drive will support the event sponsors in checking ID's. Officers will assist persons deciding not to enter the event by directing them to areas where they can turn around and controlling traffic as necessary to allow exit. Officers will enforce violations of drug and alcohol statutes and discourage intoxicated persons from entering the event.

4. Incidents of a verbal nature (arguing) should be addressed by sponsoring organization representatives if possible. University Police should be notified of incidents that, in the opinion of the sponsoring organization representatives, cannot be quelled without police intervention. University Police will not, however, seek out organization representatives if the incident, in their professional opinion, requires police intervention.

5. As for, incidents of a physical nature (fighting, vandalism, etc.) it is the role of the University Police to intervene with fights and acts of violence. If acts of violence occur, the sponsoring organization representatives, building managers and professional staff representative shall position themselves away from danger but maintain communication ability with at least one police officer and follow the instructions of that officer. While police officers are trained to deal with violent actions, events may unfold quickly and escalate at a rapid rate. If officers are not able to diffuse a situation quickly or the situation escalates rapidly, event sponsors should attempt to direct patrons to nearby exits and listen for police instructions. It is important to realize that officers are equipped to respond to these situations and that certain equipment may cause discomfort not only to combatants, but also to onlookers.

6. Officers will patrol the parking lot area directly following the event to facilitate clearing of the area.

7. The University Police will provide three officers at no charge to the sponsoring organization for the events held on Friday evenings. The organization will be charged for two officers. Events held on Saturday night will be charged at the normal rate in effect for the number of hours worked by five officers.

I. Student organization dances held in Wagoner Hall and Madeline Suite:

1. Wagoner Hall and Madeline Suite should be used only if the Warwick Center facilities are unavailable. Use of these facilities is subject to the approval of the Director of Auxiliary Services.

2. For student organization sponsored dances held in Wagoner Hall and Madeline Suite, a staff advisor must be present.

J. Student organization sponsored dances held in Trask Coliseum and Hanover Hall:

All general policies outlined above will apply to dances held in these areas though specific responsibilities of student organization members during the dance will vary depending on the facility and the particular event. Student organization representatives shall obtain these specific duties through consultation with the Assistant Director of Athletics.

K. Solicitation guidelines as described in the *UNCW Code of Student Life* shall be applied to all dances where admission is charged or where money is collected in any way. The solicitation policy includes the completion of appropriate forms in the Campus Activities & Involvement Center.

L. The following general policies will be in effect for student sponsored dances:

1. Security needs for all events on the UNCW campus, including use of metal detectors, shall be determined through consultation between student event sponsors and the Chief of University Police.

2. The number of events requiring University Police per weekend (Friday - Saturday night) will be determined on an event-by-event basis through consultation between event sponsors and the Chief of University Police. (As a general rule, such events should be limited to one per weekend due to limited campus staff.)

3. Students, guests and other observers will not be permitted to gather in or around the entrance and exits of the facility, nor will they be permitted to gather in the parking lots, roads or any area adjacent to the facility. Once a student or guest enters the dance, re-entry is not allowed.

4. All facility user fees and security charges are the responsibility of the sponsoring organization. Any organization with overdue bills will not be granted use of the facilities.

5. In order to allow all groups an opportunity to hold dances in the Burney and Warwick Center Ballrooms, Campus Life Reservation & Event Services will accept only two weekend dance reservations at a time from any one club or organization for each semester (Friday/Saturday nights). After the first scheduled dance has taken place, the group can then make additional dance reservations for that semester (resulting in any club or organization having only two dance reservations on the books at any one time during the semester).

M. Violation of any of these policies may lead to disciplinary action, including, but not limited to, the barring of individual students, guests and/or organizations from participation in future activities of this nature.



**(most current version of university policies can be  
found online at: <http://www.uncw.edu/policies>)**

# **HARASSMENT PREVENTION POLICY**

## **POLICY 02.200**

### **I. PURPOSE**

The university affirms its desire to maintain a work environment for all employees and a learning and living environment for all students that is free from all forms of harassment. The university is committed to ensuring that all students, faculty, staff and administrators are treated with dignity and respect. Harassment is highly detrimental to an environment of mutual respect that must prevail if the university is to fulfill its goals. All members of the university community have an obligation to learn what behaviors constitute harassment, to be responsible for their own behavior and to cooperate in creating a climate where harassment is not tolerated. This policy shall be applied in a manner that protects the academic freedom and freedom of expression of all parties.

### **II. SCOPE**

- A. Harassment based on race, color, religion, creed, sex, national origin, age, disability, sexual orientation or veteran status is a form of discrimination in violation of federal law, state law and/or university policy, and will not be tolerated.
- B. Retaliation against any person complaining of harassment or any person who is a witness to harassment is in violation of the law and this policy and is grounds for appropriate disciplinary action.
- C. The university will respond promptly to all complaints of harassment and retaliation whether the behavior is communicated physically, verbally, in print, via the Internet or through other means. When necessary, the university will institute discipline against the offending individual, which may result in a range of sanctions, including, but not limited to, the following: for students -- warning, disciplinary probation or suspension; and for employees -- warning, suspension without pay or dismissal.
- D. The university considers the filing of intentionally false reports of harassment as a violation of this policy and grounds for appropriate disciplinary action.
- E. Disciplinary action for violations of this policy by students will be the responsibility of the Office of the Dean of Students; disciplinary actions for violations of this policy by employees will be the responsibility of the pertinent senior officer in the employee's division after consultation with the university's equal employment opportunity/affirmative action officer, and in accordance with applicable procedures.

### **III. PROHIBITED CONDUCT**

- A. Harassment is unwelcome conduct based on race, color, religion, creed, sex, national origin, age, disability, veteran status or sexual orientation that is

either a condition of working or learning (“quid pro quo”) or creates a hostile environment.

- B. Quid pro quo harassment consists of unwelcome conduct when:
  - 1. Submission to such conduct is made either explicitly or implicitly a term or condition of an individual’s employment, employment decisions, academic standing or receipt of a needed or legitimately requested university service or benefit; or
  - 2. Submission to, or rejection of, such conduct by an individual is used as basis for decisions affecting such individual in matters of employment, employment decisions, academic decisions (such as grades) or receipt of a needed or legitimately requested university service or benefit.
- C. Hostile environment harassment consists of unwelcome conduct when:
- D. Such conduct has the purpose or effect of unreasonably interfering with an individual’s work, academic performance or living environment; or
- E. Such conduct has the purpose or effect of creating an intimidating, hostile or offensive working, learning or living environment.
- F. Hostile environment harassment is determined by looking at all of the circumstances, including the frequency of the allegedly harassing conduct, and its severity. A single, serious incident may be sufficient to constitute hostile environment harassment.
- G. Retaliation is conduct causing any interference, coercion, restraint or reprisal against a person complaining of harassment or participating in the resolution of a complaint of harassment.

## **IV. REPORTING**

The university encourages reporting of all perceived incidents of harassment, regardless of who the alleged offender may be. Individuals who either believe they have become the victim of harassment or have witnessed harassment are to utilize the Harassment Resolution Procedures

# **HARASSMENT RESOLUTION PROCEDURES POLICY 02.210**

## **I. PURPOSE**

These procedures are intended to provide for the prompt and equitable resolution of harassment. These procedures apply to all university students, agents and employees, including full- and part-time students, graduate and undergraduate; and full, part-time and temporary employees, including faculty, EPA professionals and SPA employees, as well as visitors. The university recognizes that there are multiple avenues for resolving harassment concerns, from informal means and mediation to formal investigations. The university encourages individuals who believe that they are the subject of harassment to report incidents in accordance with these procedures.

## **II. CONFIDENTIALITY**

The university shall protect the privacy and confidentiality of individuals both reporting and individuals who are alleged to have harassed to the extent allowed by law. However, once a university official has actual knowledge of allegations which may be serious enough to constitute a violation of the university's harassment prevention policy, the university's legal obligations require it to investigate under the formal procedures described below in Sections VII or VIII. If an individual desires complete confidentiality and does not want such an investigation, he/she should consult with individuals, who by law have special professional status, such as mental health counselors, physicians, clergy or private attorneys.

## **III. ADMINISTRATIVE RESPONSIBILITY**

Vice chancellors, deans, directors, department chairs or supervisors who become aware of specific allegations of harassment must report the allegations promptly either to the Human Resources Director/Equal Employment Opportunity/Affirmative Action Officer ("EEO/AA officer") or the dean of students, as appropriate based on the status of the alleged offender.

## **IV. RETALIATION PROHIBITED**

Any act by a university employee, agent or student of reprisal, interference, restraint, discrimination, coercion or harassment against any person using these procedures shall constitute a policy violation and shall be subject to prompt and appropriate disciplinary action.

## **V. OTHER REMEDIES**

A. The existence of these procedures does not prohibit individuals from also filing claims under Title VII (Civil Rights Act of 1964) with the Equal Employment Opportunity Commission or under Title IX (Education Amendments of 1972) with the Office of Civil Rights, U.S. Department of Education.

B. SPA employees may file a grievance under the Unlawful Workplace Harassment section of the SPA Grievance and Appeal Policy. This is the required procedure for SPA employees who desire to maintain their appeal rights through the Office of Administrative Hearings of the State Personnel Commission. To use this procedure, the SPA employee must submit a written grievance to Human Resources within thirty (30) calendar days of the alleged harassment. SPA employees who do not submit a written grievance to Human Resources within this time frame may still utilize the formal resolution procedures for resolving their concerns as stated below but will not have the option of later appealing to the Office of Administrative Hearings or using any other university appeal procedures.

## **VI. INFORMAL RESOLUTION**

A. In deciding whether to pursue informal means, including mediation, the affected individual is encouraged to seek the assistance of the Title IX Coordinator, the ADA Coordinator or representatives in the Office of the Dean of Students, Housing and Residence Life staff, Student Health Services, the Counseling Center or Human Resources. These offices are available to assist the affected individual in crafting the message to send to the offending individual, as well as recommending other informal mechanisms and setting up mediation. Whichever office the affected individual elects to discuss his/her concerns with, the issue of confidentiality should be addressed before specific facts or identities are disclosed due to the university's legal obligations to investigate all allegations that may constitute harassment as defined in the university's harassment prevention policy.

B. Harassment concerns may be resolved through a variety of informal mechanisms, including, but not limited to, the following:

1. Telling the alleged offender his or her behavior is unwelcome and must stop.
2. Asking for an apology and a commitment that the behavior will stop.
3. Sending a copy of the university's harassment prevention policy to the alleged offender.
4. Writing to the alleged offender regarding the conduct, pointing out the effects of such behavior and explaining the behavior change desired.
5. Having the pertinent supervisor to counsel the alleged offender about appropriate behaviors and conduct expectations.
6. Seeking to mediate the concern.

## **VII. FORMAL RESOLUTION: STUDENT INITIATED HARASSMENT**

Complaints about students must be presented to the Office of the Dean of Students. The dean of students/designee shall investigate and take appropriate disciplinary action commensurate with the severity and/or frequency of the conduct. Discipline resulting from student harassment shall be taken in accordance with the procedures provided in the *Code of Student Life*.

## **VIII. FORMAL RESOLUTION: EMPLOYEE OR OTHER NON-STUDENT INITIATED HARASSMENT**

A. A complaint must be presented within ninety (90) calendar days of the alleged harassing incident to the EEO/AA officer. If filed later than ninety calendar (90) days, the EEO/AA officer may extend the time limit based on extenuating circumstances and at his/her sole discretion. If the allegations are against the EEO/AA officer, the complainant may present the complaint to the general counsel for investigation and resolution.

B. Complainants are not required to provide a signed, written statement describing their complaint, though such documentation is strongly encouraged. If the complainant conveys the allegations verbally, the EEO/AA

officer/designee shall document the complaint and have the complainant review and sign the documentation to indicate that it is accurate and complete.

C. Depending on the nature and severity of the allegations, the university may be obligated to investigate a complaint of harassment without a signed, written statement once the university has actual notice of possible harassment. Under Title IX, the university is legally obligated to take prompt and remedial measures to end harassment of a student, even if the student does not make a complaint or otherwise requests action to be taken.

D. The EEO/AA officer, or designee shall determine whether the allegations fall within the scope of the university's harassment prevention policy. If the determination is that the policy is implicated, the EEO/AA officer or designee(s) shall investigate promptly.

E. At a minimum, the EEO/AA officer or designee shall form a fact finding team of two impartial and unbiased individuals who shall provide for an interview of the complainant and the respondent.. Witnesses should also be interviewed, and any relevant documentary evidence shall be reviewed. The investigator(s) shall take notes of all individuals who are interviewed. The investigator(s) shall instruct individuals that retaliation is prohibited and is a violation of university policy and the law. The individuals shall also be instructed about the confidentiality and privacy parameters of the investigation.

F. Both the complainant and the respondent may be accompanied when interviewed by another member of the university community, so long as the individual does not interfere with the interview. This person's role is simply as an observer. Representation by legal counsel during the interview is prohibited.

G. Upon completion of the investigation, the fact finding team shall prepare a written report of the factual findings.. The EEO/AA officer shall forward the report to the pertinent senior officer in the unit where the respondent is employed. The senior officer shall make a determination of whether a policy violation has occurred.

H. In the event that a violation of the university's harassment prevention policy is found, the pertinent senior officer shall determine the appropriate disciplinary action in consultation with the EEO/AA officer (and the Title IX Coordinator if a sexual harassment matter) and in accordance with the appropriate disciplinary procedures pertaining to the affected individual (e.g., Section 603 of the Code of the Board of Governors for imposition of serious sanctions against a faculty member, SPA Grievance Procedure, or EPA Grievance Procedure). Any prior violations of the harassment prevention policy involving the respondent shall be considered in any disciplinary action.

I. The complainant and the respondent shall be informed immediately when the investigation is completed. Results of the investigation, the fact finding report and any subsequent disciplinary action shall be kept confidential to the extent allowed by the Family Educational Rights and Privacy Act (FERPA) and the North Carolina Personnel Records Act.



## **IX. RECORD KEEPING**

- A. The Human Resources Office shall retain all records of the investigation in a confidential file.
- B. The EEO/AA officer and the dean of students shall provide statistics on an annual basis to the chancellor and the Title IX Coordinator of the number of incidents that have been investigated under the formal resolution procedures.

## **X. APPEAL PROCEDURES**

- A. The grounds for appeal by the complainant or the respondent may be for only these reasons:
  - 1. The harassment is ongoing;
  - 2. The remedy was not implemented;
  - 3. Material procedural irregularities occurred during the investigation; or
  - 4. New evidence has been discovered that had not been available during the investigation.
- B. Dissatisfaction with the university's conclusion from the formal resolution procedures is not grounds for an appeal. Appeals of any disciplinary action must be taken in accordance with the SPA grievance procedures, the EPA grievance procedures or Section 603 of the *Code of the Board of Governors*, as appropriate.
- C. A written appeal statement must be filed within thirty (30) calendar days after notice that the investigation has been completed. The statement must be sent to the EEO/AA officer or to general counsel if the appeal is against the EEO/AA officer.
- D. Appeal statements must include a copy of the original complaint, a summary of efforts to resolve the harassment and the grounds for the appeal.
- E. The pertinent vice chancellor/designee shall review the appeal with the EEO/AA officer, general counsel (and the Title IX Coordinator if a sexual harassment matter) and make a decision. The decision must be in writing and sent to the complainant in a matter which ensures receipt. This decision constitutes a final university decision.

## **IMPROPER RELATIONSHIPS BETWEEN STUDENTS AND EMPLOYEES POLICY 02.220**

### **I. PURPOSE**

This policy sets forth professional conduct standards for all employees who are involved in the instruction, supervision or evaluation of students. The university does not condone amorous relationships between students and employees. Members of the university community should avoid such liaisons, which can harm affected students and damage the integrity of the academic enterprise.

Further, sexual relationships between unmarried persons can result in criminal liability. In two types of situations, university prohibition and punishment of amorous relationships is deemed necessary: 1) when the employee is responsible for evaluating or supervising the affected student; and 2) when the student is a minor, as defined by North Carolina law.

## **II. PROHIBITED CONDUCT**

A. It is misconduct, subject to disciplinary action, for a university employee, incident to any instructional, research, administrative or other university employment responsibility or authority, to evaluate or supervise any enrolled student of the university with whom he or she has an amorous relationship or to whom he or she is related by blood, law or marriage.

B. It is misconduct, subject to disciplinary action, for a university employee to engage in sexual activity with any enrolled student of the institution, other than his or her spouse, who is a minor below the age of 18 years.

## **III. DEFINITION OF TERMS**

A. “Amorous relationship” exists when, without the benefit of marriage, two persons as consenting partners

- (1) Have a sexual union; or
- (2) Engage in a romantic partnering or courtship that may or may not have been consummated sexually.

B. “Evaluate or supervise” means:

- (1) To assess, determine or influence a) one’s academic performance, progress or potential; or b) one’s entitlement to or eligibility for any institutionally conferred right, benefit or opportunity; or
- (2) To oversee, manage or direct one’s academic or other institutionally prescribed activities.

C. “Related by blood, law or marriage” means:

- (1) Parent and child
- (2) Brother and sister
- (3) Grandparent and grandchild
- (4) Aunt and/or uncle and niece and/or nephew
- (5) First cousins
- (6) Stepparent and stepchild
- (7) Husband and wife
- (8) Parents-in-law and children-in-law
- (9) Brothers-in-law and sisters-in-law
- (10) Guardian and ward

## **IV. REPORTING POLICY VIOLATIONS**

A. The university encourages individuals to report alleged violations to the department chair, dean, director or vice chancellor of the division or department in which the employee involved in the relationship is employed. The dean,

director or vice chancellor, in consultation with the Director of Human Resources and the Provost, shall determine whether to authorize a formal investigation of the allegations.

B. Self-reporting is encouraged to avoid potential conflicts of interests, actual conflicts of interests or the appearance of a conflict. If the potential for such a conflict arises, the employee is required to disclose the possibility of a potential conflict to his or her immediate supervisor and efforts are to be made to eliminate any potential source of the conflict.

C. The university considers the filing of a false or malicious report as a violation of this policy, and the individual who reports shall be subject to prompt and appropriate disciplinary action.

## **V. INVESTIGATORY PROCEDURES**

A. Because of the sensitive nature of such relationships, reasonable effort should be taken to resolve a policy violation in an informal and expedited manner whenever possible.

B. If a formal investigation is authorized, the pertinent vice chancellor will identify an appropriate administrator(s). The investigating administrator(s) cannot be the direct supervisor of the employee named in the complaint. The investigating administrator(s) shall interview the employee, the affected student, the complainant and any other individual believed to have pertinent factual knowledge necessary to determine the validity of the allegations. Relevant documents should also be reviewed. All parties to an investigation must be instructed on the confidential nature of the matter, and the prohibition against retaliation for reporting policy violations and/or participating in an investigation.

C. The investigating administrator(s) shall prepare a report of findings, which shall be considered a confidential personnel record. Human Resources will serve as a consultant to the process to ensure consistency of treatment. In the case of a faculty member, the report shall be submitted to the pertinent dean and the provost, with a copy to the Director of Human Resources. In the case of a non-faculty member, the report will be submitted to the pertinent vice chancellor and the Director of Human Resources.

D. Results of the investigation, the report and any subsequent disciplinary action shall be kept confidential to the extent allowed by the Family Educational Rights and Privacy Act (FERPA) and the North Carolina Personnel Records Act. Generally, the complainant is not entitled to learn the outcome of such an investigation other than notice when the investigation has concluded. All related documentation should be forwarded as soon as possible following resolution to Human Resources for retention.

E. Reasonable efforts should be made to address the concern in as timely a manner as possible, which should be within thirty (30) calendar days of receiving the complaint. The Director of Human Resources should be advised on any investigation and/or resolution that takes longer than thirty (30) calendar days. This should be accomplished through a status report provided by the investigating administrator(s).

## **VI. CORRECTIVE ACTION**

Any disciplinary action imposed for a violation of this policy shall be made in accordance with the disciplinary procedures applicable to the faculty or staff member's category of employment. Sanctions may include a letter of reprimand or warning, suspension without pay or dismissal from employment. Disciplinary action shall be decided by the appropriate vice chancellor or designee in consultation with the Director of Human Resources.

## **TOBACCO POLICY**

### **POLICY 02.330**

**(established May 5, 2008; effective June 1, 2008)**

#### **I. PURPOSE**

The University of North Carolina Wilmington (UNCW) has a responsibility to its employees and students to provide a safe and healthful environment. Research findings show that tobacco use in general, including smoking and breathing secondhand smoke, constitutes a significant health hazard. In addition to causing direct health hazards, smoking contributes to institutional costs in other ways, including fire damage, cleaning and maintenance costs, and costs associated with employee absenteeism, health care, and medical insurance.

#### **II. SCOPE**

The tobacco policy applies to all students, university employees, and visitors and guests of the university. For the purposes of this policy, smoking is defined as burning any type of tobacco product including, but not limited to, cigarettes, cigars, cigarillos, pipes, and bidis.

#### **III. POLICY**

- A. Smoking is prohibited in all UNCW buildings.
- B. Smoking is prohibited up to 25 feet outside the entrance to any campus building (measured as 25 feet from the end of each formal entrance structure). Special consideration will be given to those buildings where compliance with a 25 feet perimeter poses a danger to the individual.
- C. Smoking is prohibited in all UNCW vehicles.
- D. The sale of tobacco products on campus is prohibited.
- E. The free distribution of tobacco products on campus is prohibited.
- F. Registered student organizations are prohibited from accepting event sponsorship from tobacco brand companies and from promoting tobacco products.

## **IV. IMPLEMENTATION AND ENFORCEMENT**

A. Enforcement of this policy will depend upon the cooperation of all faculty, staff, and students not only to comply with this policy but also to encourage others to comply with the policy in order to provide a healthy environment in which to work, study, and live.

B. Repeated or intentional non-compliance with these provisions will be managed in accordance with Student Affairs guidelines for all students and disciplinary guidelines for staff and faculty. When necessary, the university will institute disciplinary action against the offending individual, which may result in a range of sanctions, including but not limited to the following: for students – warning, disciplinary probation, or suspension; and for employees – warning, suspension without pay, or dismissal.

C. All non-smoking areas shall be clearly marked with appropriate signage and other physical indicators, especially related to the 25 foot perimeter policy. Any smoking waste management products should be placed outside of the 25 foot perimeter.

D. Housing and Residence Life is responsible for enforcing and implementing sanctions in campus and student residences, per item #33 in the *Code of Student Life*, Residence Life publication, *A Guide to On-Campus Living*.

## **V. SMOKING CESSATION SERVICES**

A. Smoking cessation services for students will be provided by UNCW's Substance Abuse Prevention and Education Program, in partnership with Health Promotion Services and the Abrons Student Health Center.

B. Information regarding smoking cessation services and education for employees is available through Human Resources and/or the State Health Plan.

# **ILLEGAL DRUGS POLICY 04.110**

## **I. PURPOSE**

The fundamental purpose of the university is to maintain an environment that supports and encourages the pursuit and dissemination of knowledge. That environment is damaged by illegal drug use. Therefore, all members of the academic community, students, faculty, administrators and other university employees share the responsibility for protecting the environment by exemplifying high standards of professional and personal conduct.

## **II. POLICY AND PROGRAM**

- A. The illegal use, possession, sale, delivery and/or manufacture of drugs will not be tolerated and may be grounds for immediate suspension or dismissal of students, faculty, administrators and other university employees.
- B. University policies and programs are intended to emphasize the following:
  - 1. The incompatibility of the possession, use or sale of illegal drugs with the goals of the university.
  - 2. The legal consequences of involvement with illegal drugs.
  - 3. The medical implications of the use of illegal drugs.
  - 4. The ways in which illegal drugs jeopardize an individual's present accomplishments and future opportunities.
- C. The university provides a systematic substance abuse education and prevention program designed to reach all segments of the campus community. To assist in accomplishing this mission, CROSSROADS: Substance Abuse Prevention and Education Program has developed a well-integrated, centralized program that is a focal point for campus substance abuse education, training and prevention, which monitors the effectiveness of programs for constituencies served. The Counseling Center provides substance abuse counseling and referral services for students and provides consultation to students, faculty and staff.

## **III. EDUCATION**

The university provides a program of education designed to help all members of the university community avoid abuse of illegal drugs. Education programs:

- A. Provide a system of accurate, current information exchange on the health risks and symptoms of drug use for students, faculty and staff.
- B. Promote and support institutional programming that discourages substance abuse.
- C. Establish collaborative relationships between community groups and agencies and the institution for education, treatment and referral.
- D. Provide training programs for students, faculty and staff to enable them to detect problems related to drug use and refer persons with these problems for appropriate assistance.
- E. Include information about drugs for students and family members in the student orientation programs. The use of prescription and over-the-counter drugs will be addressed.
- F. Support and encourage faculty in incorporating education about drugs into the curriculum where appropriate.
- G. Develop a coordinated effort across campus for drug related education, treatment and referral.

## **IV. COUNSELING AND REHABILITATION**

- A. The university provides information about drug counseling and rehabilitation services to members of the university community. Persons who

voluntarily avail themselves of university services can be assured that applicable professional standards of confidentiality will be observed. Counseling and rehabilitation services include:

1. Training for professional staff and student staff on drug abuse information, intervention and referral.
2. Education programs for students who have demonstrated abusive behavior with drugs.
3. Assessment, counseling and referrals for students.
4. Consultation, information and referrals for students, staff and faculty.

B. In providing the above strategies, it is recognized that some campus constituents may prefer professional assistance external to the campus. Therefore, CROSSROADS: Substance Abuse Prevention and Education Program will collaborate with the Counseling Center in the development of appropriate referral mechanisms for these individuals. A listing of off-campus resources for assistance and referral is available for those who choose that option. In the development of this program, it is desired that faculty, students, administrators and other employees be comfortable in the manner in which they are served and have a choice in the selection of appropriate assistance. Individuals served in the Counseling Center on campus can be assured that applicable confidentiality will be maintained.

## **V. ENFORCEMENT AND PENALTIES**

### **A. ENFORCEMENT**

In seeking to enforce established university policy, the university will:

1. Publicize all drug policies.
2. Consistently enforce drug policies.
3. Exercise appropriate disciplinary action for drug policy violations.

### **B. PENALTIES**

The university shall take actions necessary, consistent with state and federal law and applicable university policy to eliminate illegal drugs from the university community. University policy on illegal drugs is publicized in the university catalog, student and faculty handbooks, student orientation materials, on-line resources, letters to students and parents, residence hall meetings and faculty and employee meetings.

Students and faculty members, administrators and other employees are responsible as citizens for knowing about and complying with the provisions of the North Carolina law that makes it a crime to possess, sell, deliver or manufacture drugs designated collectively as “controlled substances” in Article V, Chapter 90 of the North Carolina General Statutes (see State Law I). Any member of the university community who violates that law is subject both to prosecution and punishment by the civil authorities and to disciplinary proceedings by UNCW. It is not “double jeopardy” for both the civil authorities and the university to proceed against and adjudicate a person for the same

specified conduct. The university will initiate its own disciplinary proceedings against the student, faculty member, administrator or other employee when the alleged conduct is deemed to affect the interest of the university.

Penalties will be imposed by the university in accordance with procedural safeguards applicable to disciplinary actions against students (see *Code of Student Life*, Section II), faculty members (see *Policies of Academic Freedom and Tenure*, UNCW, Section VII), and administrators and other employees (see *Procedure No. PER 6.10* and *Personnel Policies for Designated Employment Exempt from State Personnel Act - EPA Administrative Positions*)<sup>2</sup>.

The penalties to be imposed by the university will vary depending upon the nature and seriousness of the offense and may include a range of disciplinary actions up to and including expulsion from enrollment and discharge from employment. The university may also refer matters to law enforcement for prosecution.

A. For second or subsequent offenses involving illegal drugs, progressively more severe penalties shall be imposed.

B. Students and faculty members, administrators and other employees found to have violated applicable law of university policies concerning illegal drugs may be required to participate in drug education and counseling program, consent to regular drug testing, and accept such other conditions and restrictions, including a program of community service, as the chancellor or the chancellor's designee deems appropriate. Refusal or failure to abide such conditions and restrictions may result in additional disciplinary action, up to and including, expulsion from enrollment and discharge from employment.

## SUSPENSION PENDING FINAL DISPOSITION

When a student, faculty, administrator or university employee has been charged by the university with a violation of policies concerning illegal drugs, he/she may be suspended from enrollment and/or employment before initiation or completion of regular disciplinary proceedings if, assuming the truth of the charges, the chancellor, or in the chancellor's absence the chancellor's designee concludes that the person's continued presence within the university community would constitute a clear and immediate danger to the health or welfare of other members of the university community; provided that, if such a suspension is imposed, an appropriate hearing of the charges against the suspended person shall be held in accordance with applicable campus conduct policy.

## VI. ASSESSMENT

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<sup>2</sup> Rules of the State Personnel Commission govern the disciplinary actions that may be taken against SPA employees. Under current commission regulations discharge, rather than suspension, is the applicable penalty for SPA employees in those instances where this policy otherwise requires suspension.



The University must comply with the applicable state and federal law regarding illegal drugs including without limitation, the Drug-Free Workplace Act of 1988 and the Drug-Free Schools and Communities Act Amendments of 1989. In accordance with the latter, the university must conduct a biennial review of the effectiveness of its educational programs regarding illegal drugs and the consistency of sanction enforcement, and maintain the results of such reviews on file.

## **SEXUAL MISCONDUCT POLICY**

### **POLICY 04.130**

#### **I. PURPOSE**

The University takes complaints and the occurrence of sexual misconduct in any form very seriously. In order to provide a safe campus community within which all members are valued and respected, policies and procedures for addressing sexual misconduct have been established in accordance with Title IX requirements and are detailed in the following pages. Complaints of sexual misconduct by and/or against individuals in the University community will be promptly investigated, findings issued, and if sexual misconduct is found, circumstances redressed, and corrective remedies pursued. Acts of sexual misconduct will not be tolerated in our campus community and are punishable under both University policies and North Carolina law. This policy applies to all aspects of University activities, including off-campus activities involving sexual misconduct by a UNCW student(s). Sexual misconduct by other UNCW community members should be reported to UNCW Police and/or Human Resources and/or the Office of the Dean of Students.

It is important to report ANY sexual misconduct. There are several ways to take action: a) adjudication through the Campus Conduct System; b) pursue legal action; or c) engage both processes concurrently or in succession. In any case, the University recommends that victims report the crime to the UNCW Police or local law enforcement officials as soon as possible to ensure proper evidence collection and investigation. This will be of great importance should the person choose to pursue legal charges. UNCW provides confidential resources, support, and reporting advice to students through UNCW CARE, the Counseling Center, and the Abrons Student Health Center. Reports of sexual misconduct received by other UNCW employees will be reviewed by the Dean of Students in consultation with the Office of General Counsel to insure that Title IX issues are being appropriately addressed.

#### **II. SERVICES**

The University believes that no person should bear the effects of sexual misconduct alone. The safety, health, and well-being of those community

members impacted by sexual misconduct are of paramount concern. UNCW offers a range of services and resources to address these needs and support victims of sexual misconduct.

Through UNCW CARE, the University's violence prevention and intervention program, the University provides confidential consultation and victim advocacy services to students who have experienced sexual misconduct. CARE responds to students who have been victimized, as well as those affected by someone else's experience with abuse or assault, providing supportive services, including crisis response, individual advocacy, accompaniment services, acting as an advisor in the campus conduct system, and coordination with both on-campus and off-campus resources. CARE's Victim Advocates inform and counsel students of their rights and available resources to empower students to make informed decisions and choices in responding to and coping with the effects of sexual misconduct. Students can also access victim advocacy via the Rape Crisis Center. Advocates assist students, but the choice of what actions to pursue belongs solely to the victim. A Victim Advocate from CARE is available at any time by calling 962-CARE or 910-512-4821 after hours. More information for survivors is available at [www.uncw.edu/care](http://www.uncw.edu/care).

The University also encourages those assaulted to report the incident to University or local law enforcement officers or to University administrators. Reporting the incident enables University administrators to respond appropriately under the given circumstances, and if possible, pursue corrective measures to prevent further misconduct.

In addition to victim advocacy services and law enforcement, the University's support services include:

1. Educational programs, awareness events and print and video resources addressing a variety of topics related to sexual misconduct.
2. Counseling services for those who have been assaulted or who have concerns regarding sexual misconduct.
3. Referral to off-campus agencies that provide services for persons who have been sexual assaulted or experienced other sexual misconduct.
4. Assistance to persons who have been sexually assaulted or experienced other sexual misconduct in obtaining medical services.
5. Procedures for campus conduct action when persons who have been the victim of sexual misconduct wish to pursue campus disciplinary action against the assailant.
6. Assistance to persons who have been sexually assaulted or experienced other sexual misconduct in pursuing criminal action against their assailants.

7. Sexual misconduct and sexual assaults are forms of sexual harassment under Title IX contained in 20 USC §168(a). The University's Title IX Coordinator is designated to assure all policies and procedures are properly followed. The Title IX Coordinator is:

Dr. Terrence Curran  
Associate Provost  
Academic Affairs  
Campus Box 5900  
Alderman Hall 109A  
(910) 962-3876  
[currant@uncw.edu](mailto:currant@uncw.edu)

### **III. DEFINITION OF TERMS**

Sexual Assault may include any non-consensual sexual act in which a person is threatened, coerced, or forced to engage in against his or her will or while temporarily or permanently incapacitated. Sexual assault may be committed by a stranger or by a person known by the victim. Acts defined as sexual assault include rape, date rape, acquaintance rape, and gang rape, but may also include sexual touching of another person against his or her will or without consent, and forcing an unwilling person to touch another person sexually. Sexual Assault occurs when sexual acts are committed either without consent, by force, threat, or intimidation, or through the use of the victim's mental or physical helplessness, of which the assailant was aware or should have been aware.

Sexual Misconduct may include any attempted or actual act of non-consensual or forcible sexual touching. This would include, but is not limited to, fondling, kissing, groping, attempted intercourse (whether oral, anal or genital), or attempted penetration with a digit or any other object. Sexual Exploitation includes but is not limited to taking nonconsensual, unjust, or abusive sexual advantage of another for one's own advantage or benefit; or to benefit or advantage anyone other than the one being exploited; and that behavior does not otherwise constitute rape, sexual assault, or sexual harassment. Examples of Sexual Exploitation include, but are not limited to: prostituting another student, nonconsensual video or audio taping of sexual activity, going beyond the boundaries of consent (such as letting friends surreptitiously watch you having consensual sex or unauthorized distribution of photos or other materials of a sexual nature), engaging in voyeurism, and inducing incapacitation with the intent to rape or sexually assault another person or with the intent to create opportunity for a third party to rape or sexually assault another person. Other Sexual Offenses may include obscene or indecent behavior, which includes, but is not limited to, exposure of one's sexual organs without physically contacting the victim; or the display of sexual behavior that would reasonably be offensive to others. Sexual assault and sexual misconduct are regarded as extreme forms

of sexual harassment. Refer to Policy 02.210 for additional information on subsequent sexual harassment charges.

Central to the recognition of both sexual assault and sexual misconduct is the definition of consent. The University defines consent as explicit approval to engage in sexual activity demonstrated by clear actions or words. This decision must be made freely, consciously, and actively by all participants, and discerned by the totality of the circumstances. **Intoxication is not an excuse for failure to obtain consent.** Non-verbal communication, silence, passivity, acquiescence, or lack of active resistance does not constitute consent on its own; neither does it necessarily imply consent. In addition, previous participation in sexual activity does not indicate current consent to participate, and consent to one form of sexual activity does not imply consent to another form of sexual activity. Consent has *not* been obtained in situations where the individual:

1. Is forced, pressured, manipulated, or has reasonable fear that the individual or another will be injured if the victim does not submit to the act;
2. Is incapable of giving consent or is prevented from resisting due to physical or mental incapacity, which includes, but is not limited to, the influence of drugs or alcohol, being unconscious, asleep, or otherwise physically or mentally impaired;
3. Has a mental or physical disability which inhibits or precludes his/her ability to give consent.

#### **IV. IF YOU ARE SEXUALLY ASSAULTED**

- A. Get to a safe place, one that is well-lit and where there are other people, preferably people you know and trust.
- B. Do not bathe, douche, brush your teeth, drink, change clothing, or even comb your hair before seeking medical attention. It is only natural to want to do so, but you may be destroying physical evidence that could be needed later if you wish to pursue criminal charges.
- C. Call for help! People who care and who will provide you with support and information are available 24 hours a day via **UNCW CARE at 910-512-4821** or at the **Rape Crisis Center at 910-392-7460**. Staff members at both centers can help you consider your reporting options, decide what to do next, and accompany you through the process.
- D. To report the assault, call **UNCW Police at 910-962-2222 or ext. 911** on-campus. A student has the option to pursue a criminal complaint with the appropriate law enforcement agency, to pursue a complaint through the campus conduct process, or to pursue both processes simultaneously. See Sections VII and X for more information about reporting options.
- E. Get immediate medical attention. You may have injuries that you may not have realized occurred during the assault.
  - a. Evidence collection and emergency treatment is available 24 hours a day at the local emergency rooms.
  - b. The Abrons Student Health Center can provide students with immediate needs or subsequent follow-care.

- c. The North Carolina Rape Victims Assistant Program and Crime Victims Compensation Act covers most, if not all, medical costs related to rape.
- F. If you wish, call a friend, family member, or other trusted person to be with you.

## **V. PRIVACY AND CONFIDENTIALITY**

A. **Confidential Resources.** If a student wishes the details of an incident to be kept strictly confidential, they can speak to professional staff at the UNCW Counseling Center, the Abrons Student Health Center, or UNCW CARE. In addition, the Rape Crisis Center and campus ministers will honor confidentiality. Confidential resources may need to share otherwise confidential where an imminent danger to the student or another individual exists in the opinion of the professional staff or administrator. In addition, all University resources are required by North Carolina law to report abuse or neglect upon a child or any disabled person.

B. **Privacy and Reporting Requirements.** There are a number of designated University officials who can provide support and assistance to a student who discloses sexual misconduct. These designated officials include the Title IX Coordinator, UNCW Police, and Office of the Dean of Students, Housing & Residence Life, Campus Life, Campus Recreation, Athletics, and UNCW faculty/staff advisors of student organizations. The above designated University officials are required by law to notify UNCW Police of any alleged sexual misconduct that occurs on designated University property. All University employees who receive a report of alleged sexual misconduct by a student or regarding a student, on or off-campus, must notify the Dean of Students or the Title IX Coordinator. Students' personally identifiable information will only be shared as necessary with as few people as possible, and all efforts will be made to protect their privacy consistent with applicable laws.

### **i. Title IX Investigation.**

In all instances and to the extent possible, the University will protect the privacy of all parties to a report of sexual misconduct or sexual assault. That said, under federal law, campus officials (with the exception of those listed under section V.A. Confidential Resources, above) who receive a report of sexual assault, whether from the student involved or a third party, must share that information with the appropriate University authorities for investigation and follow-up. The University is obligated under the law to investigate the complaint and to take action to eliminate sexual harassment and sexual assault, prevent its recurrence and address its effects. The University may need to proceed with adjudicating the case at an administrative level, regardless of the wishes of the complainant, in those cases determined to pose a significant danger to the University community, including, but not limited to: cases involving significant violence, cases in which the University has reason to believe that the perpetrator has harmed more than one individual, or cases in which there is deemed to be a

threat to the campus community. The Dean of Students, as a Title IX designee, will determine this necessity on a case by case basis.

In the course of the administrative investigation, information will be shared as necessary with people who need to know, such as investigators, witnesses, and the accused. A complainant's request for anonymity and/or inaction may hinder a response by the University

#### **1. Federal Statistical Reporting Obligations.**

A Confidential Notification contains information required by state law, federal law, and complies with the *Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act*. Designated University officials, which include the Title IX Coordinator, UNCW Police, Office of the Dean of Students, Housing & Residence Life, Campus Life, Campus Recreation, Athletics, and UNCW faculty/staff advisors of student organizations, will complete a Confidential Notification upon disclosure of a sexual assault. The Abrons Student Health Center, UNCW CARE, and the off-campus agencies Rape Crisis Center, and Domestic Violence Shelter & Services also participate in the Confidential Notification process. The Confidential Notification is then forwarded to UNCW Police where it is filed and used for compiling the *UNCW Annual Campus Crime and Security Report* and for purposes of advising the campus community of any potential safety risks or concerns.

## **VI. CAMPUS CONDUCT SYSTEM**

Regardless of whether a victim chooses to pursue criminal prosecution, if the assailant is a UNCW student, the victim may file a report in the Office of the Dean of Students. A preliminary investigation, which may result in a hearing, may be conducted by an administrative hearing officer, Campus Conduct Board or the Committee on Extraordinary Disciplinary Emergencies. If the assailant is found responsible, sanctions may range from disciplinary probation to expulsion from the University.

As detailed in Section V., the University may be required to initiate disciplinary/conduct or criminal action, regardless of whether the person who has been assaulted chooses to do so, if a designated University official has been notified of a sexual misconduct allegation.

#### **A. Initiation of Disciplinary Proceedings**

Any student may file a written complaint with the Office of the Dean of Students against any student for misconduct. The complaint must include factual information supporting the allegation. Anonymous charges will not be permitted.

Any student charged with an infraction under this policy shall be presumed not responsible until proven responsible by a preponderance of evidence.

## B. Preliminary Investigation

When the Dean of Students/designee receives information that a student has allegedly violated the Sexual Misconduct Policy, the dean/designee shall investigate the alleged violation and inform the Title IX Coordinator that an allegation has been made. After completing a preliminary investigation, normally a determination of whether or not to pursue the charge(s) will be made within 30 calendar days. Reasonable extensions of time are permissible and participants will be notified in writing if any extension is needed.

The dean/designee may:

1. Find no basis for the complaint and dismiss the allegation as unfounded, or
2. Summon the accused student (respondent) for a conference (See Section II-2-G in the Code of Student Life) and then either dismiss the allegation, or
3. Proceed administratively by informing the student of the following options for resolution of the disciplinary charges:
  - a. Disagree to the charge(s) and have a regular hearing before Campus Conduct Board (CCB)/Committee on Extraordinary Disciplinary Emergencies (CEDE) where a determination of responsibility will be made. If the student is held responsible by CCB/CEDE, an appropriate sanction will be determined.
  - b. Disagree to the charge(s) and request an administrative hearing before the Dean of Students/designee where determination of responsibility will be made. The dean/designee may elect not to hear the case. The case would then be heard by CCB/CEDE. If the student is held responsible by the administrative hearing officer, an appropriate sanction will be determined.
  - c. Agree to the charge(s) and elect for CCB/CEDE to determine an appropriate sanction.
  - d. Agree to the charge(s) and elect for an administrative hearing before the Dean of Students/designee to determine an appropriate sanction. The dean/designee may elect not to hear the case. The case would then be heard by CCB/CEDE.

The appropriate hearing body will be determined at the sole discretion of the Dean of Students/designee.

## C. Hearing Procedure

Hearing Procedures can be found in the following sections of the *Code of Student Life*:

Administrative	Section II-2-E
Campus Conduct Board	Section II-3-C
Committee on Extraordinary Disciplinary Emergencies	Section II-6-E

The responsibilities of the Office of the Dean of Students to the respondent can be found in Section II-2-F in the *Code of Student Life*.

The complainant will be offered the opportunity to have a pre-hearing meeting with the Dean of Students/designee.

Both the complainant and respondent will have the opportunity to review all statements submitted for consideration by the administrative hearing officer, Campus Conduct Board or the Committee on Extraordinary Disciplinary Emergencies.

During an administrative, Campus Conduct Board or Committee on Extraordinary Disciplinary Emergencies hearing, both the complainant and the respondent will be permitted to question the testimony of the other. All questions will be posed to the administrator or chair of the board and if the question is relevant and proper the chair will echo the question to be answered.

Both the complainant and the respondent will have the right to appeal cases involving a violation(s) of sexual misconduct. (See Section VIII– Appeal Process, below).

Pursuant to the Campus Security Act and Title IX, in cases of alleged sexual misconduct, the complainant and the respondent are entitled to have the same opportunities to have an advisor and one support person present during a disciplinary proceeding, neither of which may participate in any way in the hearing.

Mediation is not an appropriate route to resolution of sexual misconduct cases and will not be used by the University to resolve sexual misconduct cases.

Retaliation toward a student who reports sexual misconduct is strictly prohibited. Any act by an accused student, other students or their agents, of reprisal, interference, restraint, discrimination, coercion or harassment against any person using these procedures shall constitute a policy violation and shall be subject to prompt and appropriate disciplinary action.

## **VII. STATEMENT OF THE RIGHTS OF VICTIMS OF SEXUAL ASSAULT WHO PURSUE CAMPUS CONDUCT ACTION**

- A. The victim may request a change in living or academic arrangement through the Office of the Dean of Students, if reasonably available.
- B. The victim has the right to have both an advisor and one support person of her/his choice to accompany her/him throughout the disciplinary hearing. (Professional victim advocates are available to students through UNCW CARE and the Rape Crisis Center.)



- C. The victim has the right to remain present during the entire proceeding, excluding deliberation.
- D. During the disciplinary hearing, testimony regarding any party's past sexual conduct will ordinarily not be permitted, except in those instances where there was a prior sexual relationship between the parties and the testimony may be relevant to the issue of consent.
- E. If the accused is found in violation of the *Code of Student Life*, the victim has the right to make a "victim impact statement" and to suggest an appropriate penalty.
- F. The victim has the right to be informed of the outcome of the hearing.

## VIII. APPEAL PROCESS

Both the complainant and the respondent have the right to appeal in cases that involve violations of the sexual misconduct policy. Appeals must be filed within two (2) business days after notification of the original decision. Such petition shall be submitted to the Office of the Dean of Students and explain in detail the reason(s) for the student's appeal (See Section II-2-H, Section II-3-D and Section II-6-F). Original sanctions (with the exception of summary suspension) are normally put into effect only after an appellate decision has been made. The complainant and respondent will follow the established policy for appeals of Campus Conduct Board, Committee on Extraordinary Disciplinary Emergencies or administrative hearings. The grounds for appeal in cases involving sexual offenses are listed below:

### **Respondent:**

- A) An alleged violation of the rights guaranteed the accused has occurred;
- B) The sanction is too severe for the violation; or
- C) New evidence has developed which has bearing on the outcome.

### **Complainant:**

- A) An alleged violation of the rights guaranteed the complainant has occurred;
- B) The sanction did not represent the severity of the violation committed; or
- C) New evidence has developed which has bearing on the outcome.

### **Appeal Procedures**

Appeal procedures can be found in the following sections of the *Code of Student Life*:

Administrative	Section II-2-H
Campus Conduct Board	Section II-3-D
Committee on Extraordinary Disciplinary Emergencies	Section II-6-F

## IX. PURSUING LEGAL ACTION

The University encourages students to report all incidents of sexual misconduct or sexual assault to the police. Criminal charges stemming from sexual misconduct that occurs on the UNCW campus or property is in the jurisdiction

of the UNCW Police. Sexual misconduct that happens off campus would be investigated by the law enforcement of that jurisdiction. Most local off-campus cases would be in the jurisdiction of the Wilmington Police department or the New Hanover County Sheriff's department.

If you are interested in pursuing legal action, you should contact UNCW Police at 910-962-2222, emergency 911. UNCW Police can assist students in identifying and contacting the appropriate law enforcement agency. Advocates from UNCW CARE are available to give students information about the criminal prosecution process, assist students in notifying appropriate law enforcement agencies, accompany students to interviews and court proceedings, and advocate on behalf of the student to off-campus agencies associated with criminal prosecution.

Students can also directly contact local police agencies regarding off-campus sexual misconduct:

Wilmington City Police

911 or

910-343-3600

New Hanover County Sheriff's Office

910-341-4200

## **X. RESOURCES**

### **University Resources**

UNCW CARE      910-962-2273 or after hours at 910-512-4821

UNCW Police      911 or 910-962-2222

UNCW Counseling Center      910-962-3746

Student Health Center      910-962-3280

Office of the Dean of Students      910-962-3119

Housing and Residence Life      910-962-3241

Title IX Coordinator      910 -962- 3876

### **Local Resources**

Rape Crisis Center      910-392-7460 or (800) 672-2903

Domestic Violence Shelter & Services      910-343-0703

New Hanover Regional Medical Center      910-343-7799

Cape Fear Memorial Hospital      910-452-8100

# **DISABILITY ACCOMMODATIONS POLICY 04.190**

## **I. PURPOSE**

The University of North Carolina Wilmington is committed to providing reasonable accommodations to enable qualified students with documented disabilities to accomplish their educational goals.

## **II. RESPONSIBILITY**

Reasonable accommodations are provided based on individual need as assessed through documentation. It is the responsibility of the student who desires a reasonable accommodation to notify the Disability Resource Center and to provide appropriate documentation. Students are not required to identify themselves as disabled if they do not want to avail themselves of accommodations.

## **III. COMPLAINT & APPEAL PROCEDURES**

A. Any student who feels that he or she has been the subject of discrimination based on their disability or feels that the accommodations and services provided are inappropriate should seek resolution. The university has adopted internal procedures providing prompt and equitable resolution to disability complaints.

B. Students with complaints concerning alleged discrimination or appropriate accommodation provision should make these complaints known to the Director of the Disability Resource Center. The Director will investigate the complaint and suggest solutions. Informal resolution is encouraged and should be attempted prior to formal filing of a grievance. The informal procedure affords all interested persons and their representatives an opportunity to respond and make modifications if necessary. If resolution is not achieved or the individuals involved are not satisfied with the resolution, the student may notify the dean of students. The dean will conduct an informal investigation and make recommendations as to the resolution of this complaint. This resolution is provided in written form and where appropriate, in a format accessible to the complainant. The dean of students provides the university's compliance officer with a detailed account of the complaint and the results of the investigation and recommendations.

C. Students not satisfied with the dean of student's recommendations can file a formal ADA complaint with the university's compliance officer. The complaint should be in writing and contain information about the alleged discrimination such as name, address, phone number of complainant, the identity of the individual(s) who is the alleged offender, and location, date and description of the problem. (A copy of the form is included below.) Alternative means of filing complaints appropriate to the documented disability will be

made available. This complaint must be filed within 180 calendar days after the complainant becomes aware of the alleged violation.

D. Within 15 calendar days after receipt of the complaint, the compliance officer will meet with the complainant to discuss the complaint and possible resolutions. Within 15 calendar days after the meeting, the compliance officer will respond in writing and, where appropriate, in a format accessible to the complainant. The response will explain the university's position and offer options for substantive resolution of the complaint. All written complaints received by the compliance officer and their responses will be retained for at least three years.

E. The complainant shall be informed of the right to file a complaint with the appropriate state or federal agency. The use of the internal grievance procedure shall not be a prerequisite to other external remedies. Retaliation against a person who files a complaint of disability discrimination is prohibited under university policy and by state and federal law.

# ADA GRIEVANCE FORM

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_

Please provide a complete description of your grievance.

Complaint:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Please attach additional pages as needed.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Please return to ADA Compliance Officer, Chancellor's Office,  
Alderman Hall 110, 910-962-3160.

TTY Relay: 1-800-735-2962

Upon request, assistance appropriate to the documented disability will be provided to complete this form.

# **UNCW ALCOHOL POLICY**

## **POLICY 05.303**

### **Policy Regarding the Possession and Consumption of Alcoholic Beverages on the Campus of the University of North Carolina Wilmington**

**(reformatted and revised July 1, 2011)**

#### **I. PURPOSE**

The University of North Carolina Wilmington permits alcohol to be consumed at special activities and programs and in the privacy of residence hall rooms subject to applicable law. This policy is designed to promote the positive use or nonuse of alcoholic beverages in a responsible manner.

#### **II. SCOPE**

University policies concerning the possession and consumption of alcoholic beverages do not contravene federal, state, or municipal law regarding their purchase, possession or consumption. This policy is applicable to all property owned or leased by the university.

#### **III. PROHIBITED BEHAVIOR**

- A. In accordance with North Carolina law, it is illegal for any person under 21 years of age to purchase, possess or consume, or for anyone to aid or abet such a person in purchasing or consuming any alcoholic beverages.
- B. Alcoholic beverages are not permitted to be sold by any person, organization or corporation on the campus of the university, including property leased by the university.
- C. It is unlawful for any person to drink alcoholic beverages or to offer a drink to another person or persons, whether accepted or not, on any public road or street, parking lot, sidewalk or other publicly owned or leased place within the city of Wilmington. Refer to policy statement IV.C. for the special events exclusion allowed for access-controlled areas.
- D. State funds and student fees collected by the university cannot be used to purchase alcoholic beverages.
- E. Employees of the university may not consume alcohol during regular working hours, unless they take leave and do not return to work.

#### **IV. APPROVAL AND GUIDELINES FOR UNIVERSITY EVENTS**

- A. The use of alcoholic beverages at a university event shall be subject to the approval of the Chancellor or designee.
  - 1. The Alcohol Beverage Permit must be filed with the Associate Vice Chancellor - Business Services seven (7) days prior to the event.

2. An authorized representative of the UNCW department or sponsoring organization must sign the Alcohol Beverage Permit, acknowledging that all regulations stated in the Alcohol Policy are understood.

B. Student events at which alcoholic beverages may be consumed can be held only under circumstances in which the sponsoring organization demonstrates reasonable means of insuring the safety of participants and adherence to state law.

1. The advisor or authorized representative to a sponsoring student organization must have supervised the planning.

2. An advisor or authorized representative to a sponsoring student organization must be present for the entire event.

C. Special events at which alcoholic beverages may be brought in by participants; e.g., athletic tailgating events, are only permitted by departments or department-sponsored programs, within guidelines established by the departmental representative, in coordination with Office of Risk Management and Insurance, UNCW Police, and Environmental Health and Safety. Permits and/or waivers will be reviewed and approved in advance by the Chancellor's Designee, or the Associate Vice Chancellor – Business Services, at least 14 days prior to the event.

D. Consumption of alcoholic beverages is only permitted within the approved area designated for the event.

1. Possession and consumption of alcoholic beverages at approved events on the university campus shall be restricted to areas which are not in the public view of students attending classes or students attending planned undergraduate activities, events or programs. Exceptions will be subject to approval of the Chancellor or designee.

2. Use of an Event Manager is encouraged for events that permit alcohol to be served.

3. Use of an approved Event Manager is required for events in which alcohol will be present, but not served by ARAMARK. The area must have controlled access.

E. Consumption of alcoholic beverages during regular working hours of the university (e.g., 4-5 pm) is only permitted when the majority of event participants are not university employees. Exceptions will be subject to approval of the Chancellor or designee.

F. Non-alcoholic beverages and sufficient quantities of food must be available at the same place as the alcoholic beverages and featured as prominently as the alcoholic beverages. Quantities of alcoholic beverages purchased for an event shall be in compliance with guidelines set forth by the National Institute on Alcohol Abuse and Alcoholism.

G. Alcohol shall only be served by the university's food service contractor, except for events as noted in Section IV.C.

1. The university's food service contractor is responsible to ensure proper identification is provided at the time of the event. Only a governmental-issued picture ID displaying date of birth will be accepted as valid identification.
  2. A student, 21 years of age or older, may purchase, possess or consume alcohol within the approved area designated for the event, but is prohibited from aiding or encouraging anyone under the age of 21 to possess or consume alcoholic beverages on campus.
  3. Alcohol shall not be served to those exhibiting unusual behavior or impaired speech or motor coordination when such behavior appears to be the result of substance abuse.
- H. Individuals and/or sponsoring organizations or units who fail to comply with this policy and the guidelines for alcohol consumption on campus will be subject to disciplinary action. For employees, such disciplinary action would follow the pertinent misconduct procedures. Students who violate this policy will be referred to the Office of the Dean of Students for disciplinary action.
- I. The signing of the Alcohol Beverage Permit by an authorized person of a UNCW department or sponsoring organization acknowledges that breaking of this agreement may justify revocation of privileges to seek future permission to hold activities where alcoholic beverages can be consumed.
- J. The university shall hold any person who violates the law or any university policy while intoxicated fully responsible for his or her action and the consequences thereof.

## **ALCOHOL MARKETING POLICY 05.304**

### **Statement of Principles Regarding the Marketing of Alcoholic Beverages on the UNCW Campus**

#### **I. PURPOSE**

Alcohol abuse poses a serious threat to the health and welfare of a large segment of the college student population through acts of vandalism and property damage, increased incivility, automobile and other types of accidents, lessening of academic performance, estrangement of social relations and, in some cases, bodily injury, illness and death.

Inappropriate and irresponsible marketing and promotion of alcoholic beverages on campus can contribute to the problems of alcohol misuse and abuse. The development of campus policies which protect the health and welfare of college students is an important responsibility of the UNCW community. Institutional policies, practices and regulations should form the basis of a responsible approach to proper management of alcohol on campus. Carefully monitoring

and setting strong guidelines regarding alcohol marketing is a proven strategy to aid in the creation a healthier campus environment.

## **II. GUIDELINES**

The following guidelines are to govern alcohol marketing practices on the UNCW campus:

1. Alcohol beverage marketing programs specifically targeted for students and/or held on campus should conform to the *Code of Student Life* and state law. They must avoid demeaning sexual or discriminatory portrayal of individuals and/or groups.
2. Promotion of alcoholic beverages should not encourage any form of alcohol abuse, nor should it place emphasis on quantity and frequency of use (i.e. “all you can drink”).
3. Alcoholic beverages (such as kegs or cases of beer) should not be provided as free awards to individual students or campus organizations.
4. No uncontrolled sampling as part of campus marketing programs should be permitted and no sampling or other promotional activities should include “drinking contests.”
5. Promotional activities should not be associated with otherwise existing campus events or programs without the prior knowledge and consent of the Office of the Dean of Students.
6. Display or availability of promotional materials should be determined by the Office of the Dean of Students in consultation with the Chancellor’s Committee on Substance Abuse.
7. Informational marketing programs should subscribe to the philosophy of responsible and legal use of the products represented.
8. Alcoholic beverages marketers will be encouraged to support campus alcohol education programs that encourage informed and responsible decisions about the use or nonuse of beer, wine or distilled spirits.
9. Alcoholic beverages advertising on campus or in institutional media, including that which promotes events as well as product advertising, should not portray drinking as an appropriate coping mechanism for personal or academic problems of students or as positively correlated with social, sexual or academic success.
10. Advertising and other promotional campus activities should not associate beverage alcohol consumption with the performance of sports or tasks that require skilled reactions such as the operation of motor vehicles or machinery.
11. Advertisement posting for any university event when alcoholic beverages are served shall note the availability of non-alcoholic beverages as predominantly as alcohol. Alcohol should not be used as an inducement to participate in a campus event.
12. Alcoholic beverages marketers are not permitted to promote their products on campus unless they agree to abide by the above marketing guidelines. The vice chancellor for student affairs, in consultation with the



Chancellor's Committee on Substance Abuse, will be responsible for implementing these guidelines.

Students or student organizations cited for a violation of UNCW alcohol policies will be subject to the provisions outlined in the *UNCW Code of Student Life*.

## **COMMUNICABLE DISEASE POLICY STATEMENT - POLICY 05.331**

### **I. PURPOSE**

Prompt recognition and identification is the first step in the control of any communicable disease, whether it is prevalent, emerging, or used for bioterrorism. The University of North Carolina Wilmington acknowledges the seriousness of this public health problem and in an effort to be prepared for and control communicable diseases, which affect faculty, staff, students, visitors or employees on campus, has developed the following policy and procedures.

### **II. POLICY**

A. UNCW's Environmental Health and Safety, Human Resources and Student Health Services shall provide training and/or ongoing educational campaigns to persons about communicable diseases.

B. Persons with communicable diseases shall not be excluded from enrollment or employment or restricted in their access to university facilities, programs or services unless a medically based judgment, in an individual case, establishes that exclusion or restriction is necessary for the health and safety of the individual or the health and safety of other members of the university community.

C. Students who know, or have reasonable basis for believing, that they have a communicable disease are encouraged to share that information with clinicians in the University Counseling Center or Abrons Student Health Center, so that the university can respond appropriately to their health and educational needs. Faculty and staff who know, or have reasonable basis for believing, that they have a communicable disease are encouraged to share that information with their supervisor, health care provider, Environmental Health and Safety, Human Resources and Student Health Services, as appropriate, so that the university can respond appropriately to their health and educational needs. Any such disclosure shall be treated by the recipient as strictly confidential and no further disclosure shall be made within or without the university unless such release is made pursuant to provisions of law that specifically authorize or require the release of such information or records.

D. Persons who know, or have reasonable basis for believing, that they have a communicable disease are expected to seek expert advice about their health circumstances and are obligated, ethically and legally, to conduct themselves responsibly in accordance with such knowledge for the protection of other members of the university community.

E. Persons who have or have been exposed to a communicable disease that endangers the health or others in the work place may be granted administrative leave for a specified period of time, as per the Leave for Exposure to Communication Disease Policy established by the Office of State Personnel. The policy can be reviewed online at:

[http://www.osp.state.nc.us/manuals/manual99/communicable\\_diseases.pdf](http://www.osp.state.nc.us/manuals/manual99/communicable_diseases.pdf)

### **III. PROCEDURAL GUIDELINES**

A. The Chancellor's Council on Health and Wellness is responsible to advise the chancellor's office of campus initiatives for addressing communicable diseases. The council will work with other administrators, such as directors and department heads, to ensure that relevant information about communicable disease is available to all faculty, staff, and students and that more detailed information and personal consultation is available upon request.

B. Printed information about communicable diseases is available in Environmental Health and Safety, Human Resources and Student Health Services (i.e. Abrons Student Health Center and Health Promotions), Student Recreation Center, Fisher University Union, academic buildings and residence halls.

C. Faculty and staff who have knowledge of highly contagious diseases (i.e. meningitis, tuberculosis, SARS, etc.) shall notify the highest level administrator who will initiate the communication cascade which may include university officials and the New Hanover County Health Department for evaluation, treatment and preventive measures.

D. The official university spokesperson on communicable disease shall be the chancellor, or designee. All inquiries from the press, from elected public officials, or the public, in general, are to be referred to the university spokesperson.

E. No persons with a communicable disease shall, on the basis of such fact, be discriminated against in employment, admission, or other programs or services.

F. This policy and the training, ongoing educational campaigns and departmental communication shall be reviewed annually. Recommendations for policy changes may be forwarded to the Chancellor's Council on Health and Wellness through the Vice Chancellor for Students Affairs and/or members of the council.

# **RESPONSIBLE USE OF ELECTRONIC RESOURCES**

## **POLICY 07.100**

The most current version of this policy can be found at the following website:  
<http://www.uncw.edu/policies/it.html>

# **FREEDOM OF EXPRESSION BY NON-UNIVERSITY SPONSORED INDIVIDUALS OR GROUPS**

## **I. PURPOSE**

The University of North Carolina Wilmington is a community which embraces diversity. The university supports activities that encourage tolerance, understanding, respect and appreciation for different points of view. The U.S. Constitution supports freedom of expression as a fundamental right. This founding principle requires not our individual agreement with contrary opinions and positions, but our support and commitment to the protection of every individual's right to express those opinions and positions. In pursuit of this goal, the university aspires to protect its educational mission while also protecting the first amendment right to free speech and expression. The granting of this privilege does not imply any university endorsement or support for the views expressed by the individual or group.

## **II. POLICY**

The following policy provides standards and guidelines necessary to maintain the orderly and essential operation of the university. Any individual or group who violates the policy may lose all privileges to assemble on campus in the future.

## **III. PROCEDURAL STANDARDS**

A. Non-university sponsored individuals and groups may be authorized to assemble and speak on campus between September first and November thirtieth, and between February first and April thirtieth, and additional dates may be unavailable due to the scheduling of certain campus events.

B. Any non-university sponsored individual or group (hereafter referred to as "speaker") seeking the opportunity to speak on campus must register with the Office of the Dean of Students at least two business days prior to any activity.

C. The speaker will be assigned to a specific area- either in front of Wagoner Dining Hall or in the outdoor Amphitheatre- and granted permission to assemble for a duration of four hours. On the day of the authorized event, the speaker may

request permission from the Associate Dean of Students to speak for up to two additional hours, for a total assembly time of no more than six hours on any particular day. Such requests will be granted, provided there is no other reservation for the space and there is no violation of the policy as described in paragraph III.(F) below.

D. The speaker will be required to have and submit upon request a copy of the registration approved by the Office of the Dean of Students.

E. The speaker will not under any circumstances be permitted to sell items or solicit funds, nor use any means of sound amplification.

F. The speaker will be allowed to continue to assemble for the full duration of time approved unless:

1. university activity or operations are disrupted, or the speaker is impeding the flow of traffic of passersby, or
2. university property is being damaged, or
3. Unlawful behavior on the part of the speaker, or
4. An imminent threat is posed to the physical safety of the speaker, a visitor, or a member of the university community, or
5. The speaker incites a breach of peace.

G. Speakers who wish to distribute written information must indicate so on their registration forms and may not distribute materials in a way that interferes with the normal flow of passersby or causes litter.

H. If the UNCW Police Department and/or Office of the Dean of Students determine that a registered speaker is in violation of this policy, the speaker will be asked to leave campus and may be escorted from campus by the University Police.

## **GRIEVANCE PROCEDURE**

### **I. PURPOSE**

If you believe that you have a legitimate grievance against another student or university employee in a matter other than those covered by the Grade Appeals Procedure or those within the jurisdiction of the University conduct system, you may seek a resolution of that grievance through this procedure. If your complaint involves an academic matter, it must be filed before the last day of the next regular semester; if it is not academic related, it must be filed within thirty (30) days of the date the alleged incident occurred or from the date of the consequences of the alleged incident.

## **II. PROCEDURAL GUIDELINES**

To initiate the procedure, first, discuss your complaint with the dean of students or the compliance officer who will advise you of the steps in the grievance process and, depending on the nature of your grievance, will direct you to the appropriate reviewing officer. You may make your complaint to this officer either orally or in writing, but this officer must record your complaint in a written statement identifying you, the conduct you are complaining of and the employee alleged to have engaged in it. In every case, the Compliance Officer will be given a copy of this record.

If you seek personal redress for an alleged wrong, you must be willing to be identified to the person charged. If you are willing to do so, the reviewing officer will notify, in writing, the person charged, stating the nature of the complaint and indicating that an informal inquiry will be conducted. If you are not willing to be identified or you cease to seek personal redress, the institution may pursue an inquiry on the institution's behalf and in its name rather than yours, if it determines that such action is necessary. These latter administrative procedures are initiated by the institution to assure appropriate conduct by its employees (e.g., when the institution seeks to prevent likely recurrence of apparent improper conduct, even though no individual complainant wishes to pursue a personal grievance).

## **III. FORMAL COMPLAINTS**

If your grievance cannot be resolved at an informal level, you may file a formal complaint with the vice chancellor who has administrative jurisdiction over the person named in your complaint. The vice chancellor will then convene the student grievance panel. This committee will hear your complaint and then make its recommendation to the vice chancellor, who will render the decision.

## **IV. APPEAL**

If you are unsatisfied with the resolution rendered by the vice chancellor, you may appeal it to the chancellor, who will render the final decision.

## **HURRICANE POLICY**

1. University officials closely monitor tropical depressions, tropical storms and hurricanes. Detailed tracking by the Emergency Operations Group is begun at the first announcement of a hurricane watch.
2. Upon announcement of a hurricane watch, students will be encouraged to develop plans for evacuation.
3. Classes and other university events will be cancelled by the chancellor when appropriate. The university community will be informed through radio, television, UNCW web page, UNCW Emergency Hotline 910-962-3991 or 1-888-657-5751 and other communication methods when this decision is made.

4. University officials encourage students to drive home when the hurricane and weather conditions permit safe travel. Students who are not traveling to their permanent residences are expected to notify parents and family of their plans and whereabouts.
5. In the event that a student cannot find alternate shelter for the duration of hurricane and recovery period, the student should be referred to the Office of the Dean of Students to be informed of potential options.
6. The university will systematically evacuate all nonessential personnel from the campus in an orderly manner. The university will remain closed and access prohibited to all nonessential personnel until further notice.
7. Hoggard Hall is the Emergency Operating Center. Food and provisions will be kept in the Emergency Operating Center for predetermined essential Emergency Operating Center officials only.
8. Public safety personnel, maintenance workers and their supervisors will continue to be on duty around the clock during these storms. Campus officials are in constant contact with local, county and state emergency headquarters.
9. University officials will resume operations as soon as it is determined that the university can resume its educational mission in a safe and reasonable manner.
10. The university community will be informed of the reopening of the university through radio, television, UNCW web page, UNCW Emergency Hotline (910-962-3991 or 1-888-657-5751) and other appropriate communication methods.

## **SKATEBOARDING AND ROLLERBLADING**

1. Skateboards and rollerblades may be used as a form of transportation on campus. They may only be utilized on sidewalks and/or roadways.
2. Skateboarding and rollerblading are prohibited from all other areas of campus including, but not limited to, the following areas:
  - a. All steps
  - b. All flower planters
  - c. All brick patios
  - d. All curbs and benches
  - e. Inside all buildings
3. Violators of this policy will:
  - a. Be issued a campus citation for \$25.
  - b. Be charged restitution for any damages.
  - c. Have their skateboards or rollerblades confiscated until the fine and restitution or damages are paid in full.
4. All underage, non-university individuals found in violation of this policy are required to have a parent or legal guardian present to obtain their confiscated property.

## **PHOTO USE POLICY**

As a public university, UNC Wilmington often uses photographic and electronic images of public campus life, events, ceremonies and other activities to advance the mission of the institution.

Students, faculty, staff members and guests of the university may be identified or photographed for publication, broadcast, transmission and/or electronic display by the university. The photographs may also be made available to state, regional, national and international news agencies and media outlets. The images are not published for commercial purposes and do not violate the privacy of any individual or group.

By registering at, visiting or being employed by UNCW and being present in public settings, you authorize the use and reproduction by the university, or anyone authorized by the university, to any photographs taken while at UNCW, without compensation. All negative and positives, photographic prints and digital photo files shall constitute UNCW property, solely and completely.





# STATE LAW

## **I. DRUG LAW (printed in part)**

### **NORTH CAROLINA GENERAL STATUTE 90-95(A)**

- (a) Except as authorized by this Article, it is unlawful for any person:
  - 1) To manufacture, sell or deliver, or possess with intent to manufacture, sell or deliver, a controlled substance;
  - 2) To create, sell or deliver, or possess with intent to sell or deliver a counterfeit controlled substance;
  - 3) To possess a controlled substance.

### **NORTH CAROLINA GENERAL STATUTE 90-113.22 POSSESSION OF DRUG PARAPHERNALIA**

- (a) It is unlawful for any person to knowingly use, or to possess with intent to use, drug paraphernalia to plant, propagate, cultivate, grow, harvest, manufacture, compound, convert, produce, process, prepare, test, analyze, package, repackage, store, contain, or conceal a controlled substance which it would be unlawful to possess, or to inject, ingest, inhale, or otherwise introduce into the body a controlled substance which it would be unlawful to possess.
- (b) Violation of this section is a Class 1 misdemeanor (1981, c. 500, s. 1; 1993, c. 539, s. 624; 1994, Ex. Sess., c. 24, s. 14 (c).)

## **II. STATE POLICY ON FIREARMS OR OTHER WEAPONS ON UNIVERSITY PROPERTY**

### **NORTH CAROLINA GENERAL STATUTE 14-269.2 EFFECTIVE DEC. 1, 1993**

Weapons on campus or other educational property, makes it unlawful for any person to possess or carry, or to cause, encourage, or aid a minor who is less than 18 years old to possess or carry, whether openly or concealed, any gun, rifle, pistol, or other firearm of any kind or any dynamite cartridge, bomb, grenade, mine, or powerful explosive as defined in G.S. 14-284.1 or any BB gun, stun gun, air rifle, air pistol, bowie knife, dirk, dagger, slingshot, leaded cane, switchblade knife, blackjack, metallic knuckles, razors and razor blades (except solely for personal shaving), firework, or any sharp-pointed or edged instrument except instructional supplies, unaltered nail files and clips and tools used solely for preparation of food, instruction, and maintenance, on educational property or to a curricular or extracurricular activity sponsored by a school.

This statute does not apply to military and law enforcement personnel, firefighters, emergency service personnel, or North Carolina Forest-Service personnel, when acting in the discharge of their official duties

and home schools as defined in G.S. 115C-563(a), and weapons used solely for educational or school-sanctioned ceremonial purposes or used in a school-approved program conducted under the supervision of an adult whose supervision has been approved by the school authority.

Violations may be Class I and G felonies or Class 1 misdemeanors.

### **III. POLICIES REGARDING FIRE LAWS**

#### **NORTH CAROLINA GENERAL STATUTE 14-60**

##### **BURNING OF SCHOOL HOUSES OR BUILDINGS OF EDUCATIONAL INSTITUTIONS**

If any person shall wantonly and willfully set fire to or burn or cause to be burned or aid, counsel or procure the burning of, any schoolhouse or building owned, leased or used by any public or private school, college or educational institution, he shall be punished as a Class F felon. (1901, c. 4, s. 28; Rev., s. 3345; 1919, c. 70; C.S., s. 4240; 1965, c. 870; 1971, c. 816, s. 2; 1979, c. 760, s. 5; 1979, 2nd Sess., c. 1316, s. 47; 1981, c. 63, s. 1; c. 179, s. 14; 1993, c. 539, s. 1158; 1994, Ex. Sess., c. 24, s. 14(c).)

#### **NORTH CAROLINA GENERAL STATUTE 14-286**

##### **GIVING FALSE FIRE ALARMS; MOLESTING FIRE-ALARM, FIRE-DETECTION OR FIRE-EXTINGUISHING SYSTEM**

It shall be unlawful for any person or persons to wantonly and willfully give or cause to be given, or to advise, counsel, or aid and abet anyone in giving, a false alarm of fire, or to break the glass key protector, or to pull the slide, arm, or lever of any station or signal box of any fire-alarm system, except in case of fire, or willfully misuse or damage a portable fire extinguisher, or in any way to willfully interfere with, damage, deface, molest, or injure any part or portion of any fire-alarm, fire-detection, smoke-detection or fire-extinguishing system. Any person violating any of the provisions of this section shall be guilty of a Class 2 misdemeanor. (1921, c. 46; C.S., s. 4426(a); 1961, c. 594; 1969, c. 1224, s. 5; 1975, c. 346; 1993, c. 539, s. 182; 1994, Ex. Sess., c. 24, s. 14(c).)

### **IV. HAZING LAW**

#### **NORTH CAROLINA GENERAL STATUTE 14-35.**

##### **HAZING; DEFINITION AND PUNISHMENT**

It is unlawful for any student in attendance at any university, college, or school in this State to engage in hazing, or to aid or abet any other student in the commission of this offense. For the purposes of this section hazing is defined as follows: "to subject another student to physical injury as part of an initiations, or as a prerequisite to membership, into any organized school group, including any society, athletic team, fraternity or sorority, or other similar group." Any violation of this section shall constitute a Class 2 misdemeanor. (Effective December 1, 2003).

**NORTH CAROLINA GENERAL STATUTE 14-36.  
REPEALED**

**NORTH CAROLINA GENERAL STATUTE 14-37  
REPEALED BY SESSION LAWS 1979, C. 7, S. 1.**

**NORTH CAROLINA GENERAL STATUTE 14-38  
WITNESSES IN HAZING TRIALS; NO INDICTMENT TO BE  
FOUNDED ON SELF-CRIMINATING TESTIMONY**

In all trials for the offense of hazing any student or other person subpoenaed as a witness in behalf of the State shall be required to testify if called upon to do so: Provided, however, that no student or other person so testifying shall be amenable or subject to indictment on account of, or by reason of, such testimony. (1913, c. 169, s. 8; C.S. s. 4220.)

**V. POLICY REGARDING DISORDERLY CONDUCT  
NORTH CAROLINA GENERAL STATUTE 14-288.4**

Disorderly conduct is a public disturbance intentionally caused by any person who:

1. Engages in fighting or other violent conduct or in conduct creating the threat of imminent fighting or other violence; or
2. Makes or uses any utterance, gesture, display or abusive language which is intended and plainly likely to provoke violent retaliation and thereby cause a breach of the peace; or
3. Takes possession of, exercises control over, or seizes any building or facility of any public or private educational institution without the specific authority of the chief administrative officer of the institution, or his authorized representative; or
4. Refuses to vacate any building or facility of any public or private educational institution in obedience to:
  - a. An order of the chief administrative officer of the institution, or his authorized representative; who shall include for colleges and universities the vice chancellor for student affairs or his/her equivalent for the institution, the dean of students or his/her equivalent for the institution, the director of the law enforcement or security department for the institution, and the chief of the law enforcement or security department for the institution; or
  - b. An order given by any fireman or public health officer acting within the scope of his/her authority; or
  - c. If a state of emergency is occurring or is imminent within the institution, an order given by any law enforcement officer acting within the scope of his/her authority; or

5. Shall, after being forbidden to do so by the chief administrative officer or his authorized representative, of any public or private educational institution;
  - a. Engage in any sitting, kneeling, lying down, or inclining so as to obstruct the ingress or egress of any person entitled to the use of any building or facility of the institution in its normal and intended use; or
  - b. Congregate, assemble, form groups or formations (whether organized or not), block, or in any manner otherwise interfere with the operation or functioning of any building or facility of the institution so as to interfere with the customary or normal use of the building or facility;
6. Disrupts, disturbs, or interferes with the teaching of students at any public or private educational institution or engages in conduct which disturbs the peace, order or discipline at any public or private educational institution or on the groups adjacent thereto.
7. Disrupts, disturbs or interferes with a religious service or assembly or engages in conduct which disturbs the peace or order at any religious service or assembly.

As used in this section the term "building or facility" includes the surrounding grounds and premises of any building or facility used in connection with the operation or functioning of such building or facility. Any person who willfully engages in disorderly conduct is guilty of a Class 2 misdemeanor. (1969, c. 869, s. 1; 1971, c. 668, s. 1; 1973, c. 1347; 1975, c. 19, s. 4; 1983, c. 39, s. 5; 1987, c. 671, s. 1; 1993, c. 539, s. 189; 1994, Ex Sess., c. 24, s. 14(C); 2001-26, s. 2.)

## **VI. STATE POLICY ON POSSESSION/MANUFACTURE OF CERTAIN FRAUDULENT FORMS OF IDENTIFICATION**

### **NORTH CAROLINA GENERAL STATUTE 14-100.1**

- (a) Except as otherwise made unlawful by G.S. 20-30, it shall be unlawful for any person to knowingly possess or manufacture a false or fraudulent form of identification as defined in this section for the purpose of deception, fraud, or other criminal conduct.
- (b) Except as otherwise made unlawful by G.S. 20-30, it shall be unlawful for any person to knowingly obtain a form of identification by the use of false, fictitious, or fraudulent information.
- (c) Possession of a form of identification obtained in violation of subsection (b) of this section shall constitute a violation of subsection (a) of this section.
- (d) For purposes of this section, a "form of identification" means any of the following or any replica thereof:
  - (1) An identification card containing a picture, issued by any department, agency or subdivision of the State of North Carolina, the Federal Government, or any other state

- (2) A military identification card containing a picture.
  - (3) A passport.
  - (4) An alien registration card containing a picture.
- (e) A violation of this section shall be punished as a Class 1 misdemeanor. (2001-461, s. 1; 2001-487, s. 42(a).)

## **VII. STALKING**

### **NORTH CAROLINA GENERAL STATUTE 14-277.3**

- (a) Offense – A person commits the offense of stalking if the person willfully on more than one occasion follows or is in the presence of, or otherwise harasses, another person without legal purpose and with the intent to do any of the following:
  - (1) Place that person in reasonable fear either for the person's safety or the safety of the person's immediate family or close personal associates.
  - (2) Cause that person to suffer substantial emotional distress by placing that person in fear of death, bodily injury, or continued harassment, and that in fact causes that person substantial emotional distress.
- (b) Classification – A violation of this section is a Class A1 misdemeanor. A person convicted of a Class A1 misdemeanor under this section, who is sentenced to a community punishment, shall be placed on supervised probation in addition to any other punishment imposed by the court. A person who commits the offense of stalking when there is a court order in effect prohibiting similar behavior by that person is guilty of a Class H felony. A person who commits the offense of stalking after having been previously convicted of a stalking offense is guilty of a Class F felony.
- (c) Definition – For the purposes of this section, the term "harasses" or "harassment" means knowing conduct, including written or printed communication or transmission, telephone or cellular or other wireless telephonic communication, facsimile transmission, pager messages or transmissions, answering machine or voice mail messages or transmissions, and electronic mail messages or other computerized or electronic transmissions, directed at a specific person that torments, terrorizes, or terrifies that person and that serves no legitimate purpose. (1991 (Reg. Sess., 1992), c. 804, s. 1; 1993, c. 539, s. 173; 1994, Ex. Sess., c. 24, s. 14(c); 1997-306, s. 1; 2001-518, s. 1; 2003-181, s. 1.)

## **VIII. CYBERSTALKING**

### **NORTH CAROLINA GENERAL STATUTE 14-196.3.**

- (a) The following definitions apply in this section:
  - (1) Electronic communication. – Any transfer of signs, signals, writing, images, sounds, data, or intelligence of any nature, transmitted in whole or in part by a wire, radio, computer, electromagnetic, photoelectric, or photo-optical system.

- (2) Electronic mail. – The transmission of information or communication by the use of the Internet, a computer, a facsimile machine, a pager, a cellular telephone, a video recorder, or other electronic means sent to a person identified by a unique address or address number and received by that person.
- (b) It is unlawful for a person to:
  - (1) Use in electronic mail or electronic communication any words or language threatening to inflict bodily harm to any person or to that person's child, sibling, spouse, or dependent, or physical injury to the property of any person, or for the purpose of extorting money or other things of value from any person.
  - (2) Electronically mail or electronically communicate to another repeatedly, whether or not conversation ensues, for the purpose of abusing, annoying, threatening, terrifying, harassing, or embarrassing any person.
  - (3) Electronically mail or electronically communicate to another and to knowingly make any false statement concerning death, injury, illness, disfigurement, indecent conduct, or criminal conduct of the person electronically mailed or of any member of the person's family or household with the intent to abuse, annoy, threaten, terrify, harass, or embarrass.
  - (4) Knowingly permit an electronic communication device under the person's control to be used for any purpose prohibited by this section.
- (c) Any offense under this section committed by the use of electronic mail or electronic communication may be deemed to have been committed where the electronic mail or electronic communication was originally sent, originally received in this State, or first viewed by any person in this State.
- (d) Any person violating the provisions of this section shall be guilty of a Class 2 misdemeanor.
- (e) This section does not apply to any peaceable, nonviolent, or nonthreatening activity intended to express political views or to provide lawful information to others. This section shall not be construed to impair any constitutionally protected activity, including speech, protest, or assembly. (2000-125, s. 1; 2000-140, s. 91.)

## **IX. FINANCIAL TRANSACTION CARD CRIME ACT NORTH CAROLINA GENERAL STATUTE 14-113.8**

The following words and phrases as used in this Chapter, unless a different meaning is plainly required by the context, shall have the following meanings:

- (1) Acquirer – “Acquirer” means a business organization, financial institution, or an agent of a business organization or financial institution that authorizes

a merchant to accept payment by financial transaction card for money, goods, services or anything else of value.

- (1a) Automated Banking Device – “Automated banking device” means any machine which when properly activated by a financial transaction card and/or personal identification code may be used for any of the purposes for which a financial transaction card may be used.
- (2) Cardholder – “Cardholder” means the person or organization named on the face of a financial transaction card to whom or for whose benefit the financial transaction card is issued by an issuer.
- (3) Expired Financial Transaction Card – “Expired financial transaction card” means a financial transaction card which is no longer valid because the term shown on it has elapsed.
- (4) Financial Transaction Card – “Financial transaction card” or “FTC” means any instrument or device whether known as a credit card, credit plate, bank services card, banking card, check guarantee card, debit card, or by any other name, issued with or without fee by an issuer for the use of the cardholder:
  - (a) In obtaining money, goods, services, or anything else or value on credit;
  - (b) In certifying or guaranteeing to a person or business the availability to the cardholder of funds on deposit that are equal to or greater than the amount necessary to honor a draft or check payable to the order of such person or business; or
  - (c) In providing the cardholder access to demand deposit account or time deposit account for the purpose of:
    - 1. Making deposits of money or checks therein; or
    - 2. Withdrawing funds in the form of money, money orders, or traveler’s checks there from; or
    - 3. Transferring funds from any demand deposit account or time deposit account to any other demand deposit account or time deposit account; or
    - 4. Transferring funds from any demand deposit account or time deposit account to any credit card accounts, overdraft privilege accounts, loan accounts, or any other credit accounts in full or partial satisfaction of any outstanding balance owed existing therein; or
    - 5. For the purchase of goods, services, or anything else of value; or
    - 6. Obtaining information pertaining to any demand deposit account or time deposit account;
  - (d) But shall not include a telephone number, credit number, or other credit device which is covered by the provisions of article 19A of this Chapter.

- (5) Issuer – “Issuer” means the business organization or financial institution or its duly authorized agent which issues a financial transaction card.
- (6) Personal Identification Code – “Personal identification code” means a numeric and/or alphabetical code assigned to the cardholder of a financial transaction card by the issuer to permit authorized electronic use of the FTC.
- (7) Presenting – “Presenting” means, as used herein, those actions taken by a cardholder or any person to introduce a financial transaction card into an automated banking device, including utilization of a personal identification code, or merely displaying or showing a financial transaction card to the issuer, or to any person or organization providing money, goods, services, or anything else of value, or any other entity with intent to defraud.
- (8) Receives – “Receives” or “receiving” means acquiring possession or control or accepting a financial transaction card as security for a loan.
- (9) Revoked Financial Transaction Card – “Revoked financial transaction card” means a financial transaction card which is no longer valid because permission to use it has been suspended or terminated by the issuer.
- (10) Scanning Device – “Scanning device” means a scanner, reader, or any other device that is used to access, read, scan, obtain, memorize, or store, temporarily or permanently, information encoded on a financial transaction card. (1967, c. 1244, s. 2; 1971, c. 1213, s. 4; 1979, c. 741, s. 1; 1989, c. 161, s. 1; 2002-175, s. 2)

## **X. FINANCIAL TRANSACTION CARD THEFT**

### **NORTH CAROLINA GENERAL STATUTE 14-113.9**

- (a) A person is guilty of financial transaction card theft when the person does any of the following:
  - (1) Takes, obtains or withholds a financial transaction card from the person, possession, custody or control of another without the cardholder’s consent and with the intent to use it or sell it, or transfer it to a person other than the issuer or the cardholder.
  - (2) Receives a financial transaction card that he knows to have been lost, mislaid, or delivered under a mistake as to the identity or address of the cardholder, and who retains possession with the intent to use it or to sell it or to transfer it to a person other than the issuer or the cardholder.
  - (3) Not being the issuer, sells a financial transaction card or buys a financial transaction card from a person other than the issuer.
  - (4) Not being the issuer, during any 12-month period, receives financial transaction cards issued in the names of two or more persons which he has reason to know were taken or retained under circumstances which constitute a violation



of G.S. 14-113.13 (a)(3) and subdivision (3) of subsection (a) of this section.

- (5) With the intent to defraud any person, either (i) uses a scanning device to access, read, obtain, memorize, or store, temporarily or permanently, information encoded on another person's financial transaction card, or (ii) receives the encoded information from another person's financial transaction card.
- (b) Credit card theft is punishable as provided by G.S. 14-113.17(b). (1967, c 1244, s. 2; 1979, c 741, s. 1; c. 760, s. 5; 1979, 2<sup>nd</sup> Sess., c. 1316, s. 47; 1981, c. 63, s. 1; c. 179, s. 14; 2002-175, s. 3.)

## **XI. ASSAULT INFLICTING SERIOUS BODILY INJURY; STRANGULATION; PENALTIES**

### **NORTH CAROLINA GENERAL STATUTE 14-32.4**

- (a) Unless the conduct is covered under some other provision of law providing greater punishment, any person who assaults another person and inflicts serious bodily injury is guilty of a Class F felony. "Serious bodily injury" is defined as bodily injury that creates a substantial risk of death, or that causes serious permanent disfigurement, coma, a permanent or protracted condition that causes extreme pain, or permanent or protracted loss or impairment of the function of any bodily member or organ, or that results in prolonged hospitalization.
- (b) Unless the conduct is covered under some other provision of law providing greater punishment, any person who assaults another person and inflicts physical injury by strangulation is guilty of a Class H felony.

## **FEDERAL LAW**

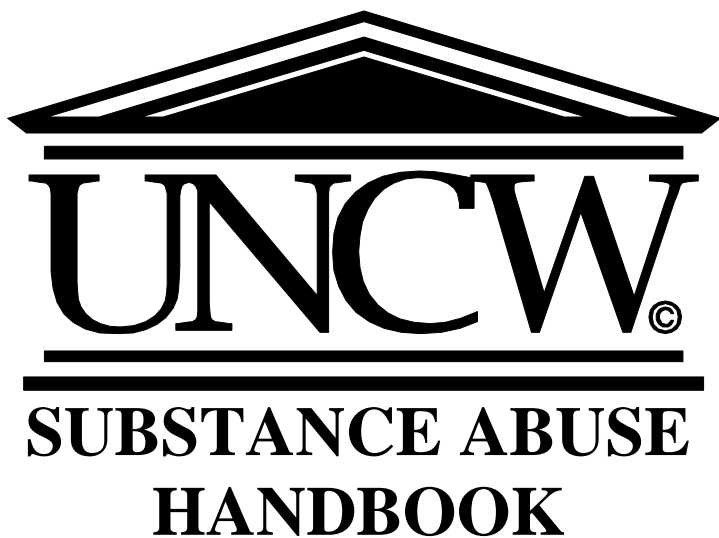
### **I. SUPPLEMENT I: TITLE IX OF THE EDUCATION AMENDMENTS OF 1972, AS AMENDED\***

#### **SEX DISCRIMINATION PROHIBITED**

Sec. 901.(a) No person in the United States shall, on the basis of sex, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any education program or activity receiving Federal financial assistance, except that:

- 6) This section shall not apply to membership practices--
  - (A) of a social fraternity or social sorority which is exempt from taxation under Section 501(a) of the Internal Revenue Code of 1954, the active membership of which consists primarily of students in attendance at an institution of higher education.

\*P.I. 92-318 (1972) and P.I. 93-568 (1974)



# PHILOSOPHY

*Dear UNCW Faculty, Staff and Students:*

*Substance abuse is one of the most challenging issues facing societies today, responsible for lost lives, potential, time, and money. Substance abuse among college students, in particular, has garnered the attention of the public and the media in the U.S. UNCW has been systematically addressing this challenge for over twenty-five years by working with the campus and surrounding community. Therefore, we have compiled this handbook to assist you in gathering information about alcohol and other drugs and the problems and concerns associated with their use and abuse.*

*When dealing with these substance abuse issues, we do not want to just react; instead, we want to move rapidly in delivering accurate information so that members of our community can make healthy choices concerning their use of alcohol and other drugs. For those who may have problems related to drug use we will be responsive to their needs through assessment, counseling and referral to other agencies when advisable. You will find in this handbook an outline of university policy, procedure and programming in addition to state and federal laws that pertain to alcohol and other controlled substances.*

*I hope that you will read the information that is enclosed and that you will support our promotion of low-risk, healthy choices concerning the use of alcohol and other drugs. We, at UNCW, will continue to emphasize the prevention of drug abuse and stress education in an effort to increase awareness and further knowledge. In addition, we will provide intervention and treatment services when necessary. All members of this academic community (students, staff, and faculty) share the responsibility for protecting the environment by exemplifying high standards of professional and personal conduct.*

*Sincerely,*

A handwritten signature in black ink, reading "Patricia L. Leonard". The signature is fluid and cursive, with the first name "Patricia" being more prominent and the last name "Leonard" following in a similar style.

Patricia L. Leonard  
Vice Chancellor for Student Affairs

# **POLICIES**

## **UNCW ALCOHOL POLICY POLICY 05.303**

### **Policy Regarding the Possession and Consumption of Alcoholic Beverages on the Campus of the University of North Carolina Wilmington**

**(reformatted and revised July 1, 2011)**

#### **I. PURPOSE**

The University of North Carolina Wilmington permits alcohol to be consumed at special activities and programs and in the privacy of residence hall rooms subject to applicable law. This policy is designed to promote the positive use or nonuse of alcoholic beverages in a responsible manner.

#### **II. SCOPE**

University policies concerning the possession and consumption of alcoholic beverages do not contravene federal, state, or municipal law regarding their purchase, possession or consumption. This policy is applicable to all property owned or leased by the university.

#### **III. PROHIBITED BEHAVIOR**

- A. In accordance with North Carolina law, it is illegal for any person under 21 years of age to purchase, possess or consume, or for anyone to aid or abet such a person in purchasing or consuming any alcoholic beverages.
- B. Alcoholic beverages are not permitted to be sold by any person, organization or corporation on the campus of the university, including property leased by the university.
- C. It is unlawful for any person to drink alcoholic beverages or to offer a drink to another person or persons, whether accepted or not, on any public road or street, parking lot, sidewalk or other publicly owned or leased place within the city of Wilmington. Refer to policy statement IV.C. for the special events exclusion allowed for access-controlled areas.
- D. State funds and student fees collected by the university cannot be used to purchase alcoholic beverages.
- E. Employees of the university may not consume alcohol during regular working hours, unless they take leave and do not return to work.

#### **IV. APPROVAL AND GUIDELINES FOR UNIVERSITY EVENTS**

- A. The use of alcoholic beverages at a university event shall be subject to the approval of the Chancellor or designee.

1. The Alcohol Beverage Permit must be filed with the Associate Vice Chancellor - Business Services seven (7) days prior to the event.
  2. An authorized representative of the UNCW department or sponsoring organization must sign the Alcohol Beverage Permit, acknowledging that all regulations stated in the Alcohol Policy are understood.
- B. Student events at which alcoholic beverages may be consumed can be held only under circumstances in which the sponsoring organization demonstrates reasonable means of insuring the safety of participants and adherence to state law.
1. The advisor or authorized representative to a sponsoring student organization must have supervised the planning.
  2. An advisor or authorized representative to a sponsoring student organization must be present for the entire event.
- C. Special events at which alcoholic beverages may be brought in by participants; e.g., athletic tailgating events, are only permitted by departments or department-sponsored programs, within guidelines established by the departmental representative, in coordination with Office of Risk Management and Insurance, UNCW Police, and Environmental Health and Safety. Permits and/or waivers will be reviewed and approved in advance by the Chancellor's Designee, or the Associate Vice Chancellor – Business Services, at least 14 days prior to the event.
- D. Consumption of alcoholic beverages is only permitted within the approved area designated for the event.
1. Possession and consumption of alcoholic beverages at approved events on the university campus shall be restricted to areas which are not in the public view of students attending classes or students attending planned undergraduate activities, events or programs. Exceptions will be subject to approval of the Chancellor or designee.
  2. Use of an Event Manager is encouraged for events that permit alcohol to be served.
  3. Use of an approved Event Manager is required for events in which alcohol will be present, but not served by ARAMARK. The area must have controlled access.
- E. Consumption of alcoholic beverages during regular working hours of the university (e.g., 4-5 pm) is only permitted when the majority of event participants are not university employees. Exceptions will be subject to approval of the Chancellor or designee.
- F. Non-alcoholic beverages and sufficient quantities of food must be available at the same place as the alcoholic beverages and featured as prominently as the alcoholic beverages. Quantities of alcoholic

beverages purchased for an event shall be in compliance with guidelines set forth by the National Institute on Alcohol Abuse and Alcoholism.

- G. Alcohol shall only be served by the university's food service contractor, except for events as noted in Section IV.C.
  - 1. The university's food service contractor is responsible to ensure proper identification is provided at the time of the event. Only a governmental-issued picture ID displaying date of birth will be accepted as valid identification.
  - 2. A student, 21 years of age or older, may purchase, possess or consume alcohol within the approved area designated for the event, but is prohibited from aiding or encouraging anyone under the age of 21 to possess or consume alcoholic beverages on campus.
  - 3. Alcohol shall not be served to those exhibiting unusual behavior or impaired speech or motor coordination when such behavior appears to be the result of substance abuse.
- H. Individuals and/or sponsoring organizations or units who fail to comply with this policy and the guidelines for alcohol consumption on campus will be subject to disciplinary action. For employees, such disciplinary action would follow the pertinent misconduct procedures. Students who violate this policy will be referred to the Office of the Dean of Students for disciplinary action.
- I. The signing of the Alcohol Beverage Permit by an authorized person of a UNCW department or sponsoring organization acknowledges that breaking of this agreement may justify revocation of privileges to seek future permission to hold activities where alcoholic beverages can be consumed.
- J. The university shall hold any person who violates the law or any university policy while intoxicated fully responsible for his or her action and the consequences thereof.

## **ALCOHOL MARKETING POLICY 05.304**

### **Statement of Principles Regarding the Marketing of Alcoholic Beverages on the UNCW Campus**

#### **I. PURPOSE**

Alcohol abuse poses a serious threat to the health and welfare of a large segment of the college student population through acts of vandalism and property damage, increased incivility, automobile and other types of accidents, lessening of academic performance, estrangement of social relations and, in some cases, bodily injury, illness and death.

Inappropriate and irresponsible marketing and promotion of alcoholic beverages on campus can contribute to the problems of alcohol misuse and abuse. The

development of campus policies which protect the health and welfare of college students is an important responsibility of the UNCW community. Institutional policies, practices and regulations should form the basis of a responsible approach to proper management of alcohol on campus. Carefully monitoring and setting strong guidelines regarding alcohol marketing is a proven strategy to aid in the creation a healthier campus environment.

## **II. GUIDELINES**

The following guidelines are to govern alcohol marketing practices on the UNCW campus:

1. Alcohol beverage marketing programs specifically targeted for students and/or held on campus should conform to the *Code of Student Life* and state law. They must avoid demeaning sexual or discriminatory portrayal of individuals and/or groups.
2. Promotion of alcoholic beverages should not encourage any form of alcohol abuse, nor should it place emphasis on quantity and frequency of use (i.e. “all you can drink”).
3. Alcoholic beverages (such as kegs or cases of beer) should not be provided as free awards to individual students or campus organizations.
4. No uncontrolled sampling as part of campus marketing programs should be permitted and no sampling or other promotional activities should include “drinking contests.”
5. Promotional activities should not be associated with otherwise existing campus events or programs without the prior knowledge and consent of the Office of the Dean of Students.
6. Display or availability of promotional materials should be determined by the Office of the Dean of Students in consultation with the Chancellor’s Committee on Substance Abuse.
7. Informational marketing programs should subscribe to the philosophy of responsible and legal use of the products represented.
8. Alcoholic beverages marketers will be encouraged to support campus alcohol education programs that encourage informed and responsible decisions about the use or nonuse of beer, wine or distilled spirits.
9. Alcoholic beverages advertising on campus or in institutional media, including that which promotes events as well as product advertising, should not portray drinking as an appropriate coping mechanism for personal or academic problems of students or as positively correlated with social, sexual or academic success.
10. Advertising and other promotional campus activities should not associate beverage alcohol consumption with the performance of sports or tasks that require skilled reactions such as the operation of motor vehicles or machinery.

11. Advertisement posting for any university event when alcoholic beverages are served shall note the availability of non-alcoholic beverages as predominantly as alcohol. Alcohol should not be used as an inducement to participate in a campus event.
12. Alcoholic beverages marketers are not permitted to promote their products on campus unless they agree to abide by the above marketing guidelines. The vice chancellor for student affairs, in consultation with the Chancellor's Committee on Substance Abuse, will be responsible for implementing these guidelines.

Students or student organizations cited for a violation of UNCW alcohol policies will be subject to the provisions outlined in the *UNCW Code of Student Life* Section II-2.

## **UNCW POLICY ON ILLEGAL DRUGS**

### **POLICY 04.110**

#### **I. PURPOSE**

The fundamental purpose of the university is to maintain an environment that supports and encourages the pursuit and dissemination of knowledge. That environment is damaged by illegal drug use. Therefore, all members of the academic community, students, faculty, administrators and other university employees, share the responsibility for protecting the environment by exemplifying high standards of professional and personal conduct.

#### **II. POLICY AND PROGRAM**

- A. The illegal use, possession, sale, delivery and/or manufacture of drugs will not be tolerated and may be grounds for immediate suspension or dismissal of students, faculty, administrators and other university employees.
- B. University policies and programs are intended to emphasize:
  1. The incompatibility of the use or sale of illegal drugs with the goals of the university.
  2. The legal consequences of involvement with illegal drugs.
  3. The medical implications of the use of illegal drugs.
  4. The ways in which illegal drugs jeopardize an individual's present accomplishments and future opportunities.
- C. The university provides a systematic substance abuse education and prevention program designed to reach all segments of the campus community. To assist in accomplishing this mission, CROSSROADS: Substance Abuse Prevention and Education Program, has developed a well-integrated, centralized program that is a focal point for campus substance abuse education, training and prevention, which monitors the



effectiveness of programs for constituencies served. The Counseling Center provides substance abuse counseling and referral services for students and provides consultation to students, faculty and staff.

### **III. EDUCATION**

The university provides a program of education designed to help all members of the university community avoid abuse of illegal drugs. Education programs:

- A. Provide a system of accurate, current information exchange on the health risks and symptoms of drug use for students, faculty and staff.
- B. Promote and support institutional programming that discourages substance abuse.
- C. Establish collaborative relationships between community groups and agencies and the institution for education, treatment and referral.
- D. Provide training programs for students, faculty and staff to enable them to detect problems related to drug use, and to refer persons with these problems for appropriate assistance.
- E. Include information about drugs for students and family members in the student orientation programs. The use of prescription and over-the-counter drugs will be addressed.
- F. Support and encourage faculty in incorporating education about drugs into the curriculum where appropriate.
- G. Develop a coordinated effort across campus for drug related education, treatment and referral.

### **IV. COUNSELING AND REHABILITATION**

- A. The university provides information about drug counseling and rehabilitation services to members of the university community. Persons who voluntarily avail themselves of university services can be assured that applicable professional standards of confidentiality will be observed. Counseling and rehabilitation services include:
  - 1. Training for professional staff and student staff on drug abuse information, intervention and referral.
  - 2. Education programs for students who have demonstrated abusive behavior with drugs.
  - 3. Assessment, counseling and referrals for students.
  - 4. Consultation, information and referrals for students, staff and faculty.
- B. In providing the above strategies, it is recognized that some campus constituents may prefer professional assistance external to the campus. Therefore, CROSSROADS: Substance Abuse Prevention and Education Program will collaborate with the Counseling Center in the development of appropriate referral mechanisms for these individuals. A listing of off-campus resources for assistance and referral is available for those who choose that option. In the development of this program, it is desired that faculty, students, administrators and other employees be comfortable in

the manner in which they are served and have a choice in the selection of appropriate assistance. Individuals served in the Counseling Center on campus can be assured that applicable confidentiality will be maintained.

## **V. ENFORCEMENT AND PENALTIES**

### **A. ENFORCEMENT**

In seeking to enforce established university policy, the university will:

1. Publicize all drug policies.
2. Consistently enforce drug policies.
3. Exercise appropriate disciplinary action for drug policy violations.

### **B. PENALTIES**

The university shall take actions necessary, consistent with state and federal law and applicable university policy to eliminate illegal drugs from the university community. University policy on illegal drugs is publicized in the university catalog, student and faculty handbooks, student orientation materials, on-line resources, letters to students and parents, residence hall meetings and faculty and employee meetings.

Students and faculty members, administrators and other employees are responsible as citizens for knowing about and complying with the provisions of the North Carolina law that makes it a crime to possess, sell, deliver or manufacture drugs designated collectively as “controlled substances” in Article V, Chapter 90 of the North Carolina General Statutes (see State Law I). Any member of the university community who violates that law is subject both to prosecution and punishment by the civil authorities and to disciplinary proceedings by UNCW. It is not “double jeopardy” for both the civil authorities and the university to proceed against and adjudicate a person for the same specified conduct. The university will initiate its own disciplinary proceedings against the student, faculty member, administrator or other employee when the alleged conduct is deemed to affect the interest of the university.

Penalties will be imposed by the university in accordance with procedural safeguards applicable to disciplinary actions against students (see *Code of Student Life*, Section II), faculty members (see *Policies of Academic Freedom and Tenure*, UNCW, Section VII), and administrators and other employees (see *Procedure No. PER 6.10* and *Personnel Policies for Designated Employment Exempt from State Personnel Act - EPA Administrative Positions*)<sup>3</sup>.

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<sup>3</sup> Rules of the State Personnel Commission govern the disciplinary actions that may be taken against SPA employees. Under current commission regulations discharge, rather than suspension, is the

The penalties to be imposed by the university may range from written warning with probationary status to expulsion from enrollment and discharge from employment; however, the following minimum penalties shall be imposed for the particular offenses described.

1. *TRAFFICKING IN ILLEGAL DRUGS*

- a. For a first offense involving the illegal manufacture, sale or delivery, or possession with intent to manufacture, sell or deliver any controlled substance identified in Schedule I, North Carolina General Statutes 90-89, or Schedule II, North Carolina General Statutes, 90-90 (including, but not limited to heroin, mescaline, lysergic acid diethylamide, opium, cocaine, amphetamine, methaqualone), any student shall be expelled and any faculty member, administrator or other employee shall be discharged.
- b. For a first offense involving the illegal manufacture, sale or delivery, or possession with intent to manufacture, sell or deliver any controlled substance identified in Schedules III through VI, North Carolina General Statutes 90-91 through 90-94, (including, but not limited to, marijuana, phenobarbitals, codeine), the minimum penalty shall be suspension from enrollment or from employment<sup>3</sup> for a period of at least one semester or its equivalent. For a second offense, any student shall be expelled, and any faculty member, administrator or other employee shall be discharged.

2. *ILLEGAL POSSESSION OF DRUGS*

- a. For a first offense involving the illegal possession of any controlled substance identified in Schedule I, North Carolina General Statutes 90-89, or Schedule II, North Carolina General Statutes 90-90, the minimum penalty shall be suspension from enrollment or from employment<sup>3</sup> for a period of at least one semester or its equivalent.
- b. For a first offense involving the illegal possession of any controlled substance identified in Schedules III through VI, North Carolina General Statutes 90-91 through 90-94, the minimum penalty shall be probation for a period to be determined on a case-by-case basis. A person on probation must agree to participate in a drug education and counseling program, consent to regular drug testing, and/or accept such other conditions and restrictions, including a program of community service, as the chancellor or chancellor's designee deems appropriate. Refusal or failure to abide by the terms of

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applicable penalty for SPA employees in those instances where this policy otherwise requires suspension.

probation shall result in suspension from enrollment or employment<sup>3</sup> for any unexpired balance of the prescribed period of the probation.

- c. For the second or other subsequent offenses involving the illegal possession of controlled substances, progressively more severe penalties shall be imposed, including expulsion of students and discharge of faculty members, administrators and employees.

### 3. SUSPENSION PENDING FINAL DISPOSITION

- a. When a student, faculty, administrator or university employee has been charged by the university with a violation of policies concerning illegal drugs, he/she may be suspended from enrollment and/or employment before initiation or completion of regular disciplinary proceedings if, assuming the truth of the charges, the chancellor, or in the chancellor's absence the chancellor's designee concludes that the person's continued presence within the university community would constitute a clear and immediate danger to the health or welfare of other members of the university community; provided that, if such a suspension is imposed, an appropriate hearing of the charges against the suspended person shall be held as promptly as possible thereafter.

## VI. ASSESSMENT

The university shall in its effort to continually assess the campus environment:

1. Appraise the institutional environment as an underlying cause of drug abuse.
2. Assess campus awareness, attitudes and behaviors regarding the use of drugs and employ results in program development.
3. Collect and use drug related summary information from police and security reports to guide program development.
4. Collect and use summary data regarding health, counseling and client information to guide program development.
5. Collect summary data regarding drug related disciplinary actions and use it to guide program development.

# Penalties

STATE LAW			UNCW POLICY	
SCHEDULE	POSSESSION (minimum penalties)	SALE/ MANUFACTURE	POSSESSION (minimum penalties)	SALE/ MANUFACTURE
<b>I</b> Heroin, LSD, Peyote, Mescaline, Psilocybin, Methaqualone, PCP, MDA	See Note 1 and G.S. 90-95 (d-f)	Maximum penalty 219 months in prison plus fine not less than \$200,000 Class D Felony	Suspension from enrollment or employment for at least one semester	Expulsion of student, discharge of employee
<b>II</b> Morphine, Demerol, Codeine, Percodan, Percocet, Fentanyl, Dilaudid, Seconal, Nembural, Cocaine, Amphetamines, Methamphetamine	See Note 2 and G.S. 90-95 (d-f)	219 months in prison plus fine not less than \$250,000. If opium or opiate, 279 months in prison plus fine not less than \$500,000 Class C Felony		
<b>III</b> Certain Barbiturates in Codeine- containing medicine (Tylenol #3, Empirin #3, Tussionex) Anabolic Steroids			Probation to be determined on a case-by-case basis. Must agree to an assessment and/or drug test, drug education or counseling.	First offense: Suspension for one semester
<b>IV</b> Barbiturates, narcotics, and stimulants, including Valium, Talwin, Librium, Darvon, Tranzene, Serax, Equanil, Ionamin, Rohypnol			Failure to do so shall result in suspension for the remaining period of probation. Subsequent offenses may involve more severe penalties, expulsion or discharge.	Second offense: Expulsion or discharge
<b>V</b> Compounds with limited Codeine, such as Terpine Hydrate, Robitussin A/C				
<b>VI</b> Marijuana, THC, Hashish, Hash Oil	See Note 4 and G.S. 90-95 (d-f)	Maximum penalty 219 months in prison plus fine not less than \$200,000 Class D Felony		

Note 1 *For a Schedule I substance*, the crime is a Class I felony.

Note 2 *For a Schedule II, III, or IV substance*, if the amount possessed is:

- (a) more than 100 tablets, capsules, or dosage units, or
- (b) more than four tablets, capsules, dosage units of dilaudid (chemically known as "hydromorphone"), or
- (c) any amount of cocaine, phencyclidine, methamphetamine or amphetamine the crime is a Class I felony. For possession of a lesser amount of a Schedule II, III, or IV substance, the crime is a Class 1 misdemeanor.

Note 3 *For a Schedule V Substance*, the crime is a Class 2 misdemeanor.

Note 4 *For a Schedule VI substance*,

- (a) a defendant's first conviction for possession of up to a half-ounce of marijuana or up to one-twentieth of an ounce of hashish is punishable as a Class 3 misdemeanor, and any sentence of imprisonment must be suspended and the defendant may not be required to serve active time as a special condition of probation;
- (b) possession of more than a half-ounce of marijuana and up to one and a half ounces of marijuana or more than one-twentieth of an ounce and up to three-twentieths of an ounce of hashish is a Class 1 misdemeanor;
- (c) possession of more than one and a half ounces of marijuana, more than three-twentieths of an ounce of hashish, or any amount of synthetic tetrahydrocannabinols or tetrahydrocannabinols isolated from marijuana resin is a Class I felony.

# REFERRAL PROCESS

## *Procedure for Alcohol and Other Drug-Related Disciplinary Referrals*

- I. An administrative hearing officer or Campus Conduct Board determines if a disciplinary violation involves the abuse of alcohol or other drugs or if any alcohol or other drug policy was violated. If so:
  - A. The administrative hearing officer/CCB may require the student to attend “Challenging Decisions” (CD) education program. This program is presented by CROSSROADS: Substance Abuse Prevention and Education Program. A class is scheduled for all referred students.
  - B. In cases involving a marijuana violation, second alcohol violation or if the violation involved “extraordinary” circumstances related to alcohol or other drug use:
    1. If the student is found responsible for a first-time marijuana violation, he/she will also be required to attend an education intervention specifically addressing marijuana use, referred to as “BASICS-Marijuana” (BASICS-M). The BASICS-M involves two individual appointments at CROSSROADS, followed by a “booster” session with a counselor at the Counseling Center.
    2. If the student is found responsible for a second alcohol violation or if the violation involved “extraordinary” circumstances related to alcohol or other drug use, the student may be required to complete the “BASICS” alcohol education program. BASICS involves two individual appointments at CROSSROADS, followed by a “booster” session with a counselor at the Counseling Center.
- II. If the student is referred for a substance abuse assessment, the administrative hearing officer/CCB will ask the student to sign a consent release on the Decision of Hearing form. By signing, the student agrees to release a summary of the assessing counselor's recommendations regarding the referred student to the Office of the Dean of Students. The Decision of Hearing form will also include deadlines for scheduling the assessment.
- III. If the student is referred to the CD, BASICS, and/or BASICS-M education program, the Decision of Hearing form will also indicate the deadline by which attendance must be completed. It is the student's responsibility to schedule and attend the CD class or BASICS sessions.
- IV. It is the student's responsibility to schedule and attend any required BASICS booster sessions or other required assessment appointments with the Counseling Center. If the student fails to show for his/her assessment appointment, the Office of the Dean of Students will be notified and the student will be required to complete a substance assessment off-campus at his/her personal expense.

- V. If a student who has been referred to a Challenging Decisions-Alcohol, BASICS or BASICS-Marijuana class or other educational sanction fails to attend his/her scheduled appointment, the Office of the Dean of Students is notified and the student will be assessed a \$50 rescheduling fee.
- VI. Attendance is verified in writing by CROSSROADS and/or Counseling Center staff and is forwarded to the Office of the Dean of Students for addition to the conduct file. A registration “hold” will be placed on the student’s account and “failure to comply” charges may be pursued if sanctions are not completed as assigned.

# RESOURCES

## UNIVERSITY RESOURCES

### **Office of the Dean of Students**

**910-962-3119**

### **Fisher University Union, Suite 2017**

The Office of the Dean of Students takes great pride in the student body; however, it realizes that UNCW is not immune to the various problems that afflict many campuses. The staff is here to help individuals who seek assistance in dealing with a substance abuse problem and support their endeavors in dealing with it. When situations arise that violate university, state, or federal laws, all appropriate actions are taken in order to enforce these policies as well as help the individual student.

### **CROSSROADS: Substance Abuse Prevention & Education Program**

### **DePaolo Hall, Second Floor, and Student Recreation Center, 104**

**910-962-4136**

CROSSROADS: Substance Abuse Prevention & Education Program is responsible for providing a systematic and comprehensive set of services for substance abuse education and prevention to all segments of the university community. CROSSROADS is dedicated to the advancement of thoughtful and healthy decision making regarding the use of alcohol, tobacco and other drugs by high school and college students. CROSSROADS encourages legal accountability and personal responsibility in all choices by providing access to the most current information available and encouraging a critical examination of beliefs and cultural expectations about substances in our lives. CROSSROADS programming will fall under one or more of the following directions: early identification and intervention for individual students, impacting individuals for behavior change, campus-wide collaboration on creating a healthy environment, and connection to community to create healthy environments for current and upcoming students.



The center serves as a highly visible and accessible multimedia resource area containing drug education materials, as well as information on alternatives to drug abuse, and how to help someone else. The center serves as a clearinghouse for other campus and community resources, providing confidential consultation, information or referral as appropriate.

### **Counseling Center**

**910-962-3746**

#### **DePaolo Hall, Second Floor**

In addition to personal counseling on a wide range of issues facing college students, the Counseling Center offers short term substance abuse services, including counseling for alcohol and other drug issues for students affected by their own or another person's use/abuse.

Individual substance abuse assessments, counseling, consultation and off-campus referrals, when needed, are available. The overall approach is that of providing a non-judgmental confidential environment in which students can address problems they are experiencing with alcohol and other drugs while receiving support for achieving desired changes.

### **Abrons Student Health Center**

**910-962-3280**

#### **DePaolo Hall, Second Floor**

The mission of the Abrons Student Health Center is to provide student-centered, affordable, quality health and preventive services to UNCW students. Services include confidential diagnosis and treatment of general and acute medical problems, allergy injections, immunizations, laboratory services, women's health care, contraception services, men's health assessment and preventive services.

### **Pharmacy**

**910-962-3160**

#### **DePaolo Hall, Second Floor**

The UNCW Pharmacy is located in DePaolo Hall within the Student Health Center suite. Students can fill prescriptions written by UNCW providers as well as off-campus providers, and may purchase over 100 over-the-counter medications and products. Crutches are also available for purchase.

### **Health Promotion**

**910-962-4137**

#### **Student Recreation Center, Room 104**

Health Promotion is UNCW's central resource for health education. Staffed by a certified health education specialist, a registered dietitian and a group of peer educators, the office offers programs on nutrition, sexual health, cold/flu prevention, stress management and other health topics. All requests for information are handled confidentially; whether for personal interest, for a friend, or for a class assignment.

## **University Police**

**910-962-2222**

### **University Police Department – Lionfish Drive**

UNCW's Police Department is located on the east side of campus, on Lionfish Drive. The police department operates 24 hours a day, each day of the year and is staffed with more than 40 individuals. The police officers are duly sworn, certified, armed and empowered with the same authority as other local law enforcement officers in the State of North Carolina as regulated by the North Carolina Department of Justice. Other staff members including dispatchers, security guards and administrators are on duty each day of the year determined to provide a safe and secure environment within which students, faculty and staff can live, learn and work.

## **Housing & Residence Life**

**910-962-3241**

### **Office of Housing & Residence Life**

The Housing & Residence Life program is designed to help students living in the residence halls. The resident assistants, assistant residence coordinators and residence coordinators are trained and are knowledgeable about drug information and basic listening skills. The residence life program also has the responsibility of enforcing federal, state and local laws and the university drug policies. Violations of the law will be addressed and every effort will be made to help students deal with drug-related issues.

Although consumption of alcoholic beverages is not encouraged by the university, students are permitted to possess and consume alcoholic beverages in their rooms provided that they comply with state laws. However, residents who are under 21 years of age are not allowed to host people with alcohol regardless of the guest's age. Non-UNCW students and UNCW students living off-campus are not allowed to bring alcohol into residential facilities. The Office of Housing and Residence Life staff reserves the right to stop people from bringing alcohol into the building(s). The student's room is considered "dry" and thus off-limits to the consumption of alcohol. In addition to the UNCW policies regarding the possession and consumption of alcoholic beverages and marketing of alcoholic beverages, the following rule pertains to residence halls: There are to be no kegs or common containers of beer or alcohol in the students' rooms or elsewhere in the residence halls, apartments or suite buildings except during official residence life functions where an alcoholic beverage permit has been completed in the Office of the Dean of Students and approved by the chancellor. Students are not allowed to play drinking games that encourage high risk drinking behavior, including but not limited to, beer pong, flip cup, card games, etc. Students are not allowed to construct or own a table used for the purpose of beer pong.

## **Department of Athletics**

**910-962-3232**

### **Nixon Annex**

UNCW student-athletes have a shared responsibility with other students, faculty, and staff to uphold the policies and integrity of the university. This

responsibility includes preventing the illegal use and abuse of drugs and alcohol. As highly visible members of the university community, student-athletes are in a strategic position to support and endorse high standards of professional and personal conduct.

As with all students, UNCW student-athletes are subject to university policies, procedures and programs regarding alcohol and drugs. The UNCW Department of Athletics requires drug and alcohol education, testing, and counseling of its student-athletes which is an excellent awareness program that is focused highly on prevention.

## **COMMUNITY RESOURCES**

Following is a partial listing of substance abuse services available in the Wilmington area. For additional resources, contact CROSSROADS: Substance Abuse Prevention & Education Program at 962-4136 or the UNCW Counseling Center at 962-3746.

**Coastal Horizons Center, Inc.** **910-343-0145 or 910-762-5333**  
**615 Shipyard Boulevard, Wilmington, NC 28412**

The Coastal Horizons Center, Inc. is a public center providing information, education, referral, counseling and outpatient treatment. Fees for counseling and treatment are charged on a sliding scale.

**Crisis Line** **910-392-7408 or 1-800-672-2903**  
**615 Shipyard Boulevard, Wilmington, NC 28412**

### **24-hour operation**

Crisis Line is a 24-hour hot line for any personal or family crisis, including alcohol and drug-related issues. Crisis Line Open House provides counseling and shelter for adolescents.

**Treatment Alternatives to Street Crime (T.A.S.C.)** **910-762-5333**  
**613 Shipyard Boulevard, Suite 100, Wilmington, NC 28412**

T.A.S.C. is a public drug-treatment program intended for criminal offenders.

**Drug Enforcement Administration** **910-815-4513**  
**Alton Lennon Federal Bldg. 2 Princess Street, Room 322,**  
**Wilmington, NC 28401**

The D.E.A. provides drug information and education and is available to participate in conferences and seminars relating to illegal drugs.

**New Hanover County Sheriff's Department** **910-798-4200**  
**3950 Juvenile Center Road**

**Castle Hayne, NC 28429** The Sheriff's Department offers a crime prevention program which includes presentations on alcohol and other drugs.

<b>Wilmington Police Department</b> <b>615 Bess Street, Wilmington, NC 28402</b>	<b>910-343-3600</b>
The Police Department provides crime prevention programs and is available to make presentations relating to alcohol and other drugs.	
<b>New Hanover County Health Department</b> <b>2029 S. 17th Street, Wilmington, NC 28401</b>	<b>910-798-6500</b>
The Health Department provides drug and alcohol information and referral.	
<b>Alcoholics Anonymous (AA)</b> <b>Intergroup Office</b> <b>5901 Wrightsville Avenue, Wilmington, NC 28403</b>	<b>910-794-1840</b>
<b>Al-Anon</b>	<b>910-509-2380</b>
<b>Narcotics Anonymous</b> (Coastal Carolina Area)	<b>1-800-691-5427</b>
<b>Fetal Alcohol Hotline</b>	<b>1-800-532-6302</b>
<b>National Clearinghouse for Alcohol Information</b>	<b>1-800-729-6686</b>
<b>North Carolina Tobacco Cessation Quitline</b>	<b>(800) QUIT- NOW</b>
<b>North Carolina DWI Service Providers Directory</b>	<b><a href="http://www.ncdwiservices.com">www.ncdwiservices.com</a></b>

# **NORTH CAROLINA GENERAL STATUTES ON ALCOHOLIC BEVERAGES**

*(Printed in part)*

## **14-443. DEFINITIONS**

As used in this Article:

- (1) “Alcoholism” is the state of a person who habitually lacks self-control as to the use of alcoholic beverages, or uses alcoholic beverages to the extent that his health is substantially impaired or endangered or his social or economic function is substantially disrupted, and
- (2) “Intoxicated” is the condition of a person whose mental or physical functioning is presently substantially impaired as a result of the use of alcohol; and
- (3) A “public place” is a place which is open to the public, whether it is publicly or privately owned. (1977, 2nd Sess., C. 1134, s. 1; 1981, c. 412, s. 4; c. 747, s. 66.)

## **14-444. INTOXICATED AND DISRUPTIVE IN PUBLIC**

- (a) It shall be unlawful for any person in a public place to be intoxicated and disruptive in any of the following ways:
  - (1) Blocking or otherwise interfering with traffic on a highway or public vehicular area, or
  - (2) Blocking or lying across or otherwise preventing or interfering with access to or passage across a sidewalk or entrance to a building, or
  - (3) Grabbing, shoving, pushing or fighting others or challenging others to fight, or
  - (4) Cursing or shouting at or otherwise rudely insulting others, or
  - (5) Begging for money or other property.
- (b) Any person who violates this section shall be guilty of a Class 3 misdemeanor. Notwithstanding the provisions of G.S. 7A-273(1), a magistrate is not empowered to accept a guilty plea and enter judgment for this offense. (1977, 2nd Sess., c. 1134, s.1; 1993, c. 539, s. 292, 1994; Ex. Sess., c. 24, s.14(c).)

## **14-445. DEFENSE OF ALCOHOLISM**

- (a) It is a defense to a charge of being intoxicated and disruptive in a public place that the defendant suffers from alcoholism.
- (b) The presiding judge at the trial of a defendant charged with being intoxicated and disruptive in public shall consider the defense of alcoholism even though the defendant does not raise the defense, and may request additional information on whether the defendant is suffering from alcoholism.

#### **14-447. NO PROSECUTION FOR PUBLIC INTOXICATION**

- (a) No person may be prosecuted solely for being intoxicated in a public place. A person who is intoxicated in a public place and is not disruptive may be assisted as provided in G.S. 122C-301.
- (b) If, after arresting a person for being intoxicated and disruptive in a public place, the law-enforcement officer making the arrest determines that the person would benefit from the care of a shelter or health-care facility as provided by G.S. 122C-301, and that he would not likely be disruptive in such a facility, the officer may transport and release the person to the appropriate facility and issue him a citation for the offense of being intoxicated and disruptive in a public place. This authority to arrest and then issue a citation is granted as an exception to the requirements of G.S. 15A-501(2). (1977, 2nd Sess., c.1134, s.1; 1981, c.519, s.2; 1985, c.589, s.7.)

#### **122C-301. ASSISTANCE TO AN INDIVIDUAL WHO IS INTOXICATED IN PUBLIC; PROCEDURE FOR COMMITMENT TO SHELTER OR FACILITY**

- (a) An officer may assist an individual found intoxicated in a public place by taking any of the following actions:
  - (1) The officer may direct or transport the intoxicated individual home;
  - (2) The officer may direct or transport the intoxicated individual to the residence of another individual willing to accept him;
  - (3) If the intoxicated individual is apparently in need of and apparently unable to provide for himself food, clothing, or shelter but is not apparently in need of immediate medical care, the officer may direct or transport him to an appropriate public or private shelter facility.
  - (4) If the intoxicated individual is apparently in need of but apparently unable to provide for himself immediate medical care, the officer may direct or transport him to an area facility, hospital, or physician's office; or the officer may direct or transport the individual to any other appropriate health care facility; or
  - (5) If the intoxicated individual is apparently a substance abuser and is apparently dangerous to himself or others, the officer may proceed as provided in Part 8 of this Article.
- (b) In providing the assistance authorized by subsection (a) of this section, the officer may use reasonable force to restrain the intoxicated individual if it appears necessary to protect himself, the intoxicated individual, or others. No officer may be held criminally or civilly liable for assault, false imprisonment, or other torts or crimes on account of reasonable measures taken under authority of this Part.
- (c) If the officer takes the action described in either subdivision (a)(3) or (a)(4) of this section, the facility to which the intoxicated individual is taken may detain him only until he becomes sober or a maximum of 24

hours. The individual may stay a longer period if he wishes to do so and the facility is able to accommodate him.

- (d) Any individual who has knowledge that a person assisted to a shelter or other facility under subdivisions (a)(3) or (a)(4) of this section is a substance abuser and is dangerous to himself or others may proceed as provided in Part 8 of this Article. (1977, 2<sup>nd</sup> Sess., c. 1134, s. 2; 1981, c. 519, s. 5; 1985, c. 589, s. 2.)

## **OTHER PROVISIONS**

### **18B-300. PURCHASE, POSSESSION AND CONSUMPTION OF MALT BEVERAGES AND UNFORTIFIED WINE**

- (a) Generally - Except as otherwise provided in this Chapter, the purchase, consumption, and possession of malt beverages and unfortified wine by individuals 21 years old and older for their own use is permitted without restriction.
- (b) [Omitted]
- (c) Local Ordinance - A city or county may by ordinance:
  - (1) Regulate or prohibit the consumption of malt beverages and unfortified wine on the public streets in that city or county by persons who are not occupants of motor vehicles and on property owned, occupied, or controlled by that city or county;
  - (2) Regulate or prohibit the possession of open containers of malt beverages and unfortified wine on public streets in that city or county by persons who are not occupants of motor vehicles and on property owned, occupied, or controlled by that city or county; and
  - (3) Regulate or prohibit the possession of malt beverages and unfortified wine on public streets, alleys, or parking lots which are temporarily closed to regular traffic for special events.

For the purposes of this subsection, an open container means a container whose seal has been broken or a container other than the manufacturer's unopened original container. As provided by G.S. 18B-102(a), possession or consumption of alcoholic beverages is unlawful except as authorized by the ABC Law.

### **18B-301. POSSESSION AND CONSUMPTION OF FORTIFIED WINE AND SPIRITOUS LIQUOR**

- (a) Possession at Home - It shall be lawful, without an ABC permit, for any person at least 21 years old to possess for lawful purposes any amount of fortified wine and spirituous liquor at his home or a temporary residence, such as a hotel room.
- (b) Possession on Other Property - It shall be lawful, without an ABC permit, for a person to possess for his personal use and the use of his guests not more than eight liters of fortified wine or spirituous liquor, or eight liters of the two combined, at the following places:
  - (1) The residence of any other person with that person's consent;

- (2) Any other property not primarily used for commercial purposes and not open to the public at the time the alcoholic beverage is possessed, if the owner or other person in charge of the property consents to that possession and consumption;
- (3) An establishment with a brown-bagging permit as defined in B.S. 18B-1001(7).
- (c) Special Occasions - It shall be lawful for a person to possess, without a permit and not for sale, any amount of fortified wine or spirituous liquor for a private party, private reception, or private special occasion, at the following places:
  - (1) His home or a temporary residence, such as a hotel room;
  - (2) Any other property not primarily used for commercial purposes, which is under his exclusive control and supervision, and which is not open to the public during the event;
  - (3) The licensed premises of any business for which the Commission has issued a special occasions permit under G.S. 18B-1001(8), if he is the host of that private function and has the permission of the permittee.
- (d) Consumption - It shall be lawful for a person to consume fortified wine and spirituous liquor in any place where it is lawful for him to possess those alcoholic beverages under subsections (a) through (c).
- (e) [Omitted]
- (f) Unlawful Possession or Use - As illustration, but not limitation, of the general prohibition stated in G.S. 18B-102(a), it shall be unlawful for:
  - (1) Any person to consume fortified wine, spirituous liquor, or mixed beverages or to offer such beverages to another person:
    - (a)[Omitted]
    - (b)Upon any property used or occupied by a local board, or
    - (c)On any public, street, highway, or sidewalk.
  - (2) Any person to display publicly at an athletic contest fortified wine, spirituous liquor, or mixed beverages;
  - (3) Any person to permit any fortified wine, spirituous liquor, or mixed beverages to be possessed or consumed upon any premises not authorized by this Chapter;
  - (4) Any person to possess or consume any fortified wine, spirituous liquor, or mixed beverages upon any premises where such possession or consumption is not authorized by law, or where the person has been forbidden to possess or consume that beverage by the owner or other person in charge of the premises;
  - (5) Any person to possess on any of the premises described in subsections (a) through (c) a greater amount of fortified wine or spirituous liquor than authorized by this Chapter;
  - (6) Any permittee, other than a mixed beverage or culinary permittee, to possess spirituous liquor or mixed beverages on his licensed premises;
  - (7) Any person to possess on his person or consume malt beverages or unfortified wine upon any property owned or leased by a local board of



education and used by the local board of education for school purposes. Provided, however, the prohibition in G.S. 18B-102(a) and this subdivision shall not apply on property owned by a local board of education which was leased for 99 years or more to a nonprofit auditorium authority created prior to 1991 whose governing board is appointed by a city board of aldermen, a county board of commissioners, or a local school board.

## **18B-302. SALE TO OR PURCHASE BY UNDERAGE PERSONS**

(a) Sale - It shall be unlawful for any person to:

- (1) Sell or give malt beverages or unfortified wine to anyone less than 21 years old; or
- (2) Sell or give fortified wine, spirituous liquor, or mixed beverages to anyone less than 21 years old.

(a1) Give - It shall be unlawful for any person to:

Give malt beverages or unfortified wine to anyone less than 21 years old; or

Give fortified wine, spirituous liquor, or mixed beverages to anyone less than 21 years old.

(b) Purchase, Possession or Consumption - It shall be unlawful for:

- (1) A person less than 21 years old to purchase, to attempt to purchase, or to possess malt beverages or unfortified wine; or
- (2) A person less than 21 years old to purchase, to attempt to purchase, or to possess fortified wine, spirituous liquor, or mixed beverages.
- (3) A person less than 21 years old to consume any alcoholic beverage.

(c) Aider and Abettor

(1) By Underage Person - Any person who is under the lawful age to purchase and who aids or abets another in violation of subsection (a), (a1), or (b) of this section shall be guilty of a Class 2 misdemeanor.

(2) By Person over Lawful Age - Any person who is over the lawful age to purchase and who aids or abets another in violation of subsection (a), (a1), or (b) of this section shall be guilty of a Class 1 misdemeanor.

(d) Defense - It shall be a defense to a violation of subsection (a) of this section if the seller:

- (1) Shows that the purchaser produced a driver's license, a special identification card issued under G.S.20-37.7, a military identification card, or a passport, showing his age to be at least the required age for purchase and bearing a physical description of the person named on the card reasonably describing the purchaser; or
- (2) Produces evidence of other facts that reasonably indicated at the time or sale that the purchaser was at least the required age.
- (3) Shows that at the time of purchase, the purchaser utilized a biometric identification system that demonstrated (i) the purchaser's age to be at least the required age for the purchase and (ii) the purchaser had previously registered with the seller or seller's agent a drivers license, a special identification card, or a passport showing the purchaser's date of birth and bearing a physical description of the person named on the document.

- (e) **Fraudulent Use of Identification** - It shall be unlawful for any person to enter or attempt to enter a place where alcoholic beverages are sold or consumed, or attempt to obtain alcoholic beverages, or to obtain or attempt to obtain permission to purchase alcoholic beverages, in violation of subsection (b) of this section by using or attempting to use any of the following:
  - (1) A fraudulent or altered driver's license;
  - (2) A fraudulent or altered identification document other than a driver's license;
  - (3) A driver's license issued to another person; or
  - (4) An identification document other than a driver's license issued to another person.
  - (5) Any other form or means of identification that indicates or symbolizes that the person is not prohibited from purchasing or possessing alcoholic beverages under this section.
- (f) **Allowing Use of Identification** - It shall be unlawful for any person to permit the use of the person's driver's license or any other form of identification of any kind issued or given to the person, by any other person who violates or attempts to violate subsection (b) of this section.
- (g) **Conviction Report Sent to Division of Motor Vehicles** - The court shall file a conviction report with the Division of Motor Vehicles indicating the name of the person convicted and any other information requested by the Division if the person is convicted of:
  - (1) A violation of subsection (e) or (f) of this section; or
  - (2) A violation of subdivision (c) (1) of this section; or
  - (3) A violation of subsection (b) of this section, if the violation occurred while the person was purchasing or attempting to purchase an alcoholic beverage.
  - (4) A violation of subsection (a1) of this section.Upon receipt of a conviction report, the division shall revoke the person's license as required by G.S. 20-17.3.
- (h) [Omitted]
- (i) **Purchase or possession by 19 or 20 year old.** A violation of subdivision (b)(1) of this section by a person who is 19 or 20 years old is a Class 3 misdemeanor
- (j) **Notwithstanding any other provisions of law, a law enforcement officer may require any person the officer has probable cause to believe is under age 21 and has consumed alcohol to submit to an alcohol screening test using a device approved by the Department of Health and Human Services. The results of any screening device administered in accordance with the rules of the Department of Health and Human Services shall be admissible in any court or administrative proceeding. A refusal to submit to an alcohol screening test shall be admissible in any court or administrative proceeding.**
- (k) **Notwithstanding the provisions in this section, it shall not be unlawful for a person less than 21 years old to consume unfortified wine or fortified wine during participation in an exempted activity under G.S. 18B-103(4),**

(8), or (11). (1933, c. 216, s. 8; 1959, c. 745, s. 1; 1967, c. 222, s. 3; 1969, c. 998; 1971, c. 872, s. 1; 1973, c. 27; 1977, 2nd Sess., c. 1138, s. 2; 1979, c. 683, s. 2; 1981, c. 412, s. 2; c. 747, ss. 40, 41; 1983, c. 435, ss. 32, 35; c. 740, ss. 1, 2; Ex. Sess., c. 5; 1985, c. 141, ss. 2-3; 1993, c. 539, s. 311; 1994, Ex. Sess., c. 24, s. 14(c); 1999-406, s.7.; 2001-461, ss. 2,3; 2001-487, s. 42(b); 2005-350, s.6(a); 2006-253, s.26.; 2007-537, s.1).

## **18B-302.1. PENALTIES FOR CERTAIN OFFENSES RELATED TO UNDERAGE PERSONS**

- (a) A violation of G.S. 18B-302(a) or (a1) is a Class 1 misdemeanor. Notwithstanding the provisions of G.S. 15A-1340.23, if the court imposes a sentence that does not include active punishment, the court must include among the conditions of probation a requirement that the person pay a fine of at least two hundred fifty dollars (\$250.00) as authorized by G.S. 15A-1343(b)(9) and a requirement that the person complete at least 25 hours of community service, as authorized by G.S. 15A-1343(b1)(6). If the person has a previous conviction of this offense in the four years immediately preceding the date of the current offense, and the court imposes a sentence that does not include an active punishment, the court must include among the conditions of probation a requirement that the person pay a fine of at least five hundred dollars (\$500.00) as authorized by G.S. 15A-1343(b)(9) and a requirement that the person complete at least 150 hours of community service, as authorized by G.S. 15A-1343(b1)(6).
- (b) A violation of G.S. 18B-302(c)(2) is a Class 1 misdemeanor. Notwithstanding the provisions of G.S. 15A-1340.23, if the court imposes a sentence that does not include an active punishment, the court must include among the conditions of probation a requirement that the person pay a fine of at least five hundred dollars (\$500.00) as authorized by G.S. 15A-1343(b)(9) and a requirement that the person complete at least 25 hours of community service, as authorized by G.S. 15A-1343(b1)(6). If the person has a previous conviction of this offense in the four years immediately preceding the date of the current offense, and the court imposes a sentence that does not include an active punishment, the court must include among the conditions of probation a requirement that the person pay a fine of no less than one thousand dollars (\$1000) as authorized by G.S. 15A-1343(b)(9) and a requirement that the person complete at least 150 hours of community service, as authorized by G.S. 15-1343(b1)(6).
- (c) In addition to the punishments imposed under this section, the court may impose the provisions of G.S. 18B-202 and G.S. 18B-503, 18B-504, and 18B-505. (1999-433, s. 1.; 2007-537, s.2).

### **18B-303. AMOUNTS OF ALCOHOLIC BEVERAGES THAT MAY BE PURCHASED**

- (a) Purchases Allowed - Without a permit, a person may purchase at one time:
  - (1) Not more than 80 Liters of malt beverages, except draft malt beverages in kegs for off-premises consumption. For purchase of a keg or kegs of malt beverages for off-premises consumption, the permit required by G.S. 18B-403.1(a) must first be obtained;
  - (2) Any amount of draft malt beverages by a permittee in kegs for on-premise consumption;
  - (3) Not more than 50 liters of unfortified wine;
  - (4) Not more than eight liters of either fortified wine or spirituous liquor, or eight liters of the two combined.
- (b) Unlawful Purchase - Except as provided in subsection (c) and in Article 11, it shall be unlawful for any person to purchase, or for any person to sell, an amount of alcoholic beverages greater than that stated in subsection (a).
- (c) Greater Amounts - Amounts of alcoholic beverages greater than those listed in subdivisions (a) (3) and (a) (4) may be purchased with a purchase-transportation permit under G.S. 18B-403.

### **18B-304. SALE AND POSSESSION FOR SALE**

- (a) Offense - It shall be unlawful for any person to sell any alcoholic beverage, or possess any alcoholic beverage for sale, without first obtaining the applicable ABC permit and revenue licenses.
- (b) Prima Facie Evidence - Possession of the following amounts of alcoholic beverages, without a permit authorizing that possession shall be prima facie evidence that the possessor is possessing those alcoholic beverages for sale:
  - (1) More than 80 liters of malt beverages, other than draft malt beverages in kegs;
  - (2) More than eight liters of spirituous liquor; or
  - (3) Any amount of non-tax-paid alcoholic beverages.

### **18B-400. AMOUNTS THAT MAY BE TRANSPORTED**

A person may transport at one time the same amount of alcoholic beverages that he is allowed to buy under G.S. 18B-303(a). Greater amounts of fortified wine, unfortified wine and spirituous liquor may be transported with a purchase-transportation permit under G.S. 18B-403. The Commission may also authorize a distillery representative, in the course of his business, to transport and possess up to 10 gallons of spirituous liquor.

### **18B-401. MANNER OF TRANSPORTATION**

- (a) Opened Containers - It shall be unlawful for a person to transport fortified wine or spirituous liquor in the passenger area of a motor vehicle

in other than the manufacturer's unopened original container. It shall be unlawful for a person who is driving a motor vehicle on a highway or public vehicular area to consume in the passenger area of that vehicle any malt beverage or unfortified wine. Violation of this subsection shall constitute a Class 3 misdemeanor.

(b) [Omitted.]

(c) Definitions – The definitions in Chapter 20 of the General Statutes apply in interpreting this section. If the seal on a container of alcohol has been broken, it is opened within the meaning of this section. For purposes of this section, “passenger area of a motor vehicle” means the area designated to seat the driver and passengers and any are within the reach of a seated driver or passenger, including the glove compartment. In the case of a station wagon, hatchback or similar vehicle, the area behind the last upright back seat shall not be considered part of the passenger area. (1923, c. 1, s. 25; C.S., s. 3411(y); 1937, c. 49, ss. 14, 16; c. 411; 1967, c. 222, ss. 1, 7; c. 1256, s. 3; 1969, c. 598, ss. 2, 3; c. 1018; 1971, c. 872, s. 1; 1977, c. 176, s. 1; c. 586; 1979, c. 607, s. 1; 1981, c. 412, s. 2; c. 747, s. 45; 1983, c. 435, s. 7; 1989, c. 553, s. 3; 1993, c. 508, s. 4, c. 539, s. 312; 1994, Ex. Sess., c. 24, s. 14 (c).)

## **18B-1006. MISCELLANEOUS PROVISIONS ON PERMITS**

(a) School and College Campuses - No permit for the sale of malt beverages, unfortified wine, or fortified wine shall be issued to a business on the campus or property of a public school or college, other than at a regional facility as defined by G.S. 160A-480.2 operated by a facility authority under Part 4 of Article 20 of Chapter 160A of the General Statutes except for a public school or college function, unless that business is a hotel or nonprofit alumni organization with a mixed beverages permit or a special occasion permit. Provided, however, this subsection shall not apply on property owned by a local board of education which was leased for 99 years or more to a nonprofit auditorium authority created prior to 1991 whose governing board is appointed by a city board of aldermen, a county board of commissioner, or a local school board. This subsection shall also not apply to the constituent institutions of The University of North Carolina with respect to the sale of beer and wine at performing arts centers located on property owned or leased by the institutions if the seating capacity does not exceed 2,000 seats.

## **20-138.1. IMPAIRED DRIVING**

(a) Offense - A person commits the offense of impaired driving if he drives any vehicle upon any highway, any street, or any public vehicular area within this state:

(1) While under the influence of an impairing substance; or

(2) After having consumed sufficient alcohol that he has, at any relevant time after the driving, an alcohol concentration of 0.08 or more. The results of a

chemical analysis shall be deemed sufficient evidence to prove a person's alcohol concentration; or

- (3) With any amount of a Schedule I controlled substance, as listed in G.S. 90-89, or its metabolites in his blood or urine.
- (a1) A person who has submitted to a chemical analysis of a blood sample, pursuant to G.S. 20-139.1(d), may not use the result in rebuttal as evidence that the person did not have, at a relevant time after driving, an alcohol concentration of 0.08 or more.
- (b) Defense Precluded. - The fact that a person charged with violating this section is or has been legally entitled to use alcohol or a drug is not a defense to a charge under this section.
- (b1) Defence Allowed – Nothing in this section shall preclude a person from asserting that a chemical analysis result is inadmissible pursuant to G.S. 20-139.1(b2).
- (c) Pleading - In any prosecution for impaired driving, the pleading is sufficient if it states the time and place of the alleged offense in the usual form and charges that the defendant drove a vehicle on a highway or public vehicular area while subject to an impairing substance.
- (d) Sentencing Hearing and Punishment - Impaired driving as defined in this section is a misdemeanor. Upon conviction of a defendant of impaired driving, the presiding judge must hold a sentencing hearing and impose punishment in accordance with G.S. 20-179. (1983, c. 435, s. 24.)
- (e) Exception - Notwithstanding the definition of "vehicle" pursuant to G.S. 20-4.01(49), for purposes of this section the word "vehicle" does not include a horse. (1983, c. 435, s. 24; 1989, c 711, s. 2; 1993, c. 285, s. 1; 2006-253, s .9.)

### **20-138.3. DRIVING BY PERSON LESS THAN 21 YEARS OLD AFTER CONSUMING ALCOHOL OR DRUGS**

- (a) Offense - It is unlawful for a person less than 21 years old to drive a motor vehicle on a highway or public vehicular area while consuming alcohol or at any time while he has remaining in his body any alcohol or in his blood a controlled substance previously consumed, but a person less than 21 years old does not violate this section if he drives with a controlled substance in his blood which was lawfully obtained and taken in therapeutically appropriate amounts.
- (b) Subject to Implied-Consent Law - An offense under this section is an alcohol-related offense subject to the implied-consent provisions of G.S. 20-16.2
- (b1) Odor insufficient - The odor of an alcoholic beverage on the breath of the driver is insufficient evidence by itself to prove beyond a reasonable doubt that alcohol was remaining in the driver's body in violation of this section unless the driver was offered an alcohol screening test or chemical analysis and refused to provide all required samples of breath or blood for analysis.

- (b2) Alcohol Screening Test - Notwithstanding any other provision of law, an alcohol screening test may be administered to a driver suspected of violation of subsection (a) of this section, and the results of an alcohol screening test or the driver's refusal to submit may be used by a law enforcement officer, a court, or an administrative agency in determining if alcohol was present in the driver's body. No alcohol screening tests are valid under this section unless the device used is one approved by the Department for Health Services, and the screening test is conducted in accordance with the applicable regulations of the Department as to its manner and use.
- (c) Punishment; Effect When Impaired Driving Offense Also Charged - The offense in this section is a Class 2 misdemeanor. It is not, in any circumstances, a lesser included offense of impaired driving under G.S. 20-138.1, but if a person is convicted under this section and of an offense involving impaired driving arising out of the same transaction, the aggregate punishment imposed by the court may not exceed the maximum applicable to the offense involving impaired driving, and any minimum punishment applicable must be imposed.
- (d) Limited Driving Privilege - A person who is convicted of violating subsection (a) of this section and whose driver's license is revoked solely based on that conviction may apply for a limited driving privilege as provided in G.S. 20-179.3. This subsection shall apply only if the person meets both of the following requirements:
  - (1) Is 18, 19, or 20 years old on the date of the offense.
  - (2) Has not previously been convicted of a violation of this section.

The judge may issue the limited driving privilege only if the person meets the eligibility requirements of G.S. 20-179.3 other than the requirement in G.S. 20-179.3(b) (1) c. G.S. 20-179(e) shall not apply. All other terms, conditions, and restrictions provided for in G.S. 20-179.3 shall apply. G.S. 20-179.3, rather than this subsection, governs the issuance of a limited driving privilege to a person who is convicted of violating subsection (a) of this section and of driving while impaired as a result of the same transaction.

## **20-138.7. TRANSPORTING AN OPEN CONTAINER OF ALCOHOLIC BEVERAGE**

- (a) Offense - No person shall drive a motor vehicle on a highway or the right-of-way of a highway:
  - (1) While there is an alcoholic beverage in the passenger area in other than the unopened manufacturer's original container; and
  - (2) While the driver is consuming alcohol or while alcohol remains in the driver's body.
- (a1) Offense - No person shall possess an alcoholic beverage other than in the unopened manufacturer's original container, or consume an alcoholic

beverage, in the passenger area of a motor vehicle while the motor vehicle is on a highway or the right-of-way of a highway. For purposes of this subsection, only the person who possesses or consumes an alcoholic beverage in violation of this subsection shall be charged with this offense.

- (a2) Exception - It shall not be a violation of subsection (a1) of this section for a passenger to possess an alcoholic beverage other than in the unopened manufacturer's original container, or for a passenger to consume an alcoholic beverage, if the container is:
  - (1) In the passenger area of a motor vehicle that is designed, maintained, or used primarily for the transportation of persons for compensation;
  - (2) In the living quarters of a motor home or house car as defined in G.S. 20-4.01(27) d2.; or
  - (3) In a house trailer as defined in G.S. 20-4.01(14).
- (a3) Meaning of Terms - Under this section, the term "motor vehicle" means only those types of motor vehicle which North Carolina law requires to be registered, whether the motor vehicle is registered in North Carolina or another jurisdiction.
- (b) Subject to Implied-Consent Law - An offense under this section is an alcohol-related offense subject to the implied-consent provisions of G.S. 20-16.2.
- (c) Odor Insufficient - The odor of an alcoholic beverage on the breath of the driver is insufficient evidence to prove beyond a reasonable doubt that alcohol was remaining in the driver's body in violation of this section, unless the driver was offered an alcohol screening test or chemical analysis and refused to provide all required samples of breath or blood for analysis.
- (d) Alcohol Screening Test - Notwithstanding any other provision of law, an alcohol screening test may be administered to a driver suspected of violating subsection (a) of this section, and the results of an alcohol screening test or the driver's refusal to submit may be used by a law enforcement officer, a court, or an administrative agency in determining if alcohol was present in the driver's body. No alcohol screening tests are valid under this section unless the device used is one approved by the Commission for Health Services, and the screening test is conducted in accordance with the applicable regulations of the Commission as to the manner of its use.
- (e) Punishment; Effect When Impaired Driving Offense Also Charged - Violation of this section shall be punished as a Class 3 misdemeanor for the first offense and shall be punished as a Class 2 misdemeanor for a second or subsequent offense. Violation of this section is not a lesser included offense of impaired driving under G.S. 20-138.1, but if a person is convicted under this section and of an offense involving impaired driving arising out of the same transaction, the punishment imposed by the court shall not exceed the maximum applicable to the offense



involving impaired driving, and any minimum applicable punishment shall be imposed. A violation of this section shall be considered a moving violation for purposes of G.S. 20-16 (c).

Violation of subsection (a1) of this section shall be an infraction and shall not be considered a moving violation for purposes of G.S. 20-16(c).

- (f) Definitions - If the seal on a container of alcoholic beverages has been broken, it is opened within the meaning of this section. For purposes of this section "passenger area of a motor vehicle" means the area designed to seat the driver and passengers and any area within the reach of a seated driver or passenger, including the glove compartment. The area of the trunk or the area behind the last upright back seat of a station wagon, hatchback, or similar vehicle shall not be considered part of the passenger area. The term "alcoholic beverage" is as defined in G.S. 18B-101(4).
- (g) Pleading - In any prosecution for a violation of subsection (a) of this section, the pleading is sufficient if it states the time and place of the alleged offense in the usual form and charges that the defendant drove a motor vehicle on a highway or the right-of-way of a highway with an open container of alcoholic beverage after drinking. In any prosecution for a violation of subsection (a1) of this section, the pleading is sufficient if it states the time and place of the alleged offense in the usual form and charges that (i) the defendant possessed an open container of alcoholic beverage in the passenger area of a motor vehicle while the motor vehicle was on a highway or the right-of-way of a highway, or (ii) the defendant consumed an alcoholic beverage in the passenger area of a motor vehicle while the motor vehicle was on a highway or the right-of-way of a highway.
- (h) Limited Driving Privilege - A person who is convicted of violating subsection (a) of this section and whose drivers license is revoked solely based on that conviction may apply for a limited driving privilege as provided for in G.S. 20-179.3. The judge may issue the limited driving privilege only if the driver meets the eligibility requirements of G.S. 20-179.3, other than the requirement in G.S. 20-179.3(b) (1) c. G.S. 20-179.3(e) shall not apply. All other terms, conditions, and restrictions provided for in G.S. 20-179.3 shall apply. G.S. 20-179.3, rather than this subsection, governs the issuance of a limited driving privilege to a person who is convicted of violating subsection (a) of this section and of driving while impaired as a result of the same transaction. (1995, c. 506, s. 9; 2000-155, s.4; 2002-25.s.1; 2006-66.s.21.7; 2007-182.s.2)

# **NORTH CAROLINA GENERAL STATUTES ON CONTROLLED SUBSTANCES**

Students, faculty members, administrators, and other employees are responsible, as citizens, for knowing about and complying with the provisions of the North Carolina State Law that makes it a crime to possess, sell, deliver, or manufacture those drugs designated collectively as “controlled substances” in Article 5 of Chapter 90 of the North Carolina General Statutes. Any member of the university community who violates the law is subject both to prosecution and punishment by the civil authorities and to disciplinary proceedings by the university.

## **CONTROLLED SUBSTANCES**

Controlled Substances Editor’s Note: Under the North Carolina Controlled Substances Act, Article 5, G.S. Ch. 90, the North Carolina Drug Commission is authorized to add, remove, or change the placement of a drug, substance, or immediate precursor to the list of controlled substances (those on Schedule I through VI). Controlled substances are listed in six schedules:

**SCHEDULE I (90-89):** A high potential for abuse, no currently accepted medical use in the United States, or lack of accepted safety for use in treatment under medical supervision. Examples include heroin, LSD, mescaline, psilocybin (mushrooms), peyote, Gamma Hydroxybutyric Acid (GHB), 4-hydroxybutyrate, 4-hydroxybutanoic acid, sodium oxybate and sodium oxybutyrate.

**SCHEDULE II (90-90):** A high potential for abuse, currently accepted medical use with severe restrictions, and abuse of the substance may lead to severe psychological or physical dependence. Examples are opium, cocaine, codeine, amphetamines, methadone and PCP.

**SCHEDULE III (90-91):** A potential for abuse less than the substances listed in Schedules I and II; currently accepted medical use in the United States; and abuse may lead to moderate or low physical dependence or high psychological dependence. Examples are certain barbiturates such as amobarbital and codeine-containing medicine such as Fiorinal #3, Doriden, and codeine-based cough suppressants. Also all anabolic steroids and ketamine.

**SCHEDULE IV (90-92):** A low potential for abuse relative to the substances listed in Schedule III, currently accepted medical use in the United States, and limited physical or psychological dependence relative to the substances listed in Schedule III. Examples include several commonly prescribed tranquilizers and stimulants such as Valium, Phenobarbital, Talwin, Librium and "yellow jackets."

**SCHEDULE V (90-93):** A low potential for abuse relative to the substances listed in Schedule IV, currently accepted medical use in the United States, and limited physical or psychological dependence relative to the substances listed in Schedule IV. These substances may be sold at retail without a prescription to anyone 18 or older by a registered pharmacist for medical purposes. Examples include substances that contain very limited amounts of codeine, dihydrocodeine, opium, ethylmorphine and atropine such as Terpene Hydrate with codeine and Robitussin AC.

**SCHEDULE VI (90-94):** No currently accepted medical use in the United States or a relatively low potential for abuse in terms of risk to public health, and potential to produce psychological or physiological dependence liability based upon present medical knowledge, or a need for further and continuing study to develop scientific knowledge of its pharmacological effects. The only substances on this schedule are marijuana and tetrahydrocannabinols, such as hashish and hash oil.

Violations and penalties involving controlled substances are set out in sections 90-95 and 90-95.1. Note the distinctions made for possession. Section 90-96 provides for special treatment for certain first offenses.

## **90-95. VIOLATIONS; PENALTIES**

- (a) Except as authorized by this Article, it is unlawful for any person:
  - (1) To manufacture, sell or deliver, or possess with intent to manufacture, sell or deliver, a controlled substance.
  - (2) To create, sell or deliver, or possess with intent to sell or deliver, a counterfeit controlled substance;
  - (3) To possess a controlled substance.
- (b) Except as provided in subsections (h) and (i) of this section, any person who violates G.S. 90-95(a) (1) with respect to:
  - (1) A controlled substance classified in Schedule I or II shall be punished as a Class H felon, except as follows: (i) the sale of a controlled substance classified in Schedule I or II shall be punished as a Class G felony, and (ii) the manufacture of methamphetamine shall be punished as provided by subdivision (1a) of this subsection.
  - (1a) The manufacture of methamphetamine shall be punished as a Class C felony unless the offense was one of the following: packaging or repackaging methamphetamine, or labeling or relabeling the methamphetamine container. The offense of packaging or repackaging methamphetamine, or labeling or relabeling the methamphetamine container shall be punished as a Class H felony.
  - (2) A controlled substance classified in Schedule III, IV, V, or VI shall be punished as a Class I felon, except that the sale of a controlled substance classified in Schedule III, IV, V, or VI shall be punished as a Class H felon. The transfer of less than 5 grams of marijuana for no remuneration shall not constitute a delivery in violation of G.S. 90- 95(a)(1).

- (c) Any person who violates G.S. 90-95(a) (2) shall be punished as a Class I felon.
- (d) Except as provided in subsections (h) and (i) of this section, any person who violates G.S. 90-95(a) (3) with respect to:
  - (1) A controlled substance classified in Schedule I shall be punished as a Class I felon;
  - (2) A controlled substance classified in Schedule II, III, or IV shall be guilty of a Class 1 misdemeanor. If the controlled substance exceeds four tablets, capsules, or other dosage units or equivalent quantity of hydromorphone or if the quantity of the controlled substance, or combination of the controlled substances, exceeds one hundred tablets, capsules or other dosage units, or equivalent quantity, the violation shall be punishable as a Class I felony. If the controlled substance is methamphetamine, amphetamine, phencyclidine, or cocaine and any salt, isomer, salts of isomers, compound, derivative, or preparation thereof, or coca leaves and any salt, isomer, salts of isomers, compound, derivative, or preparation of coca leaves, or any salt, isomer, salts of isomers, compound, derivative or preparation thereof which is chemically equivalent or identical with any of these substances (except decocanized coca leaves or any extraction of coca leaves which does not contain cocaine or ecgonine), the violation shall be punishable as a Class I felony.
  - (3) A controlled substance classified in Schedule V shall be guilty of a Class 2 misdemeanor;
  - (4) A controlled substance classified in Schedule VI shall be guilty of a Class 3 misdemeanor, but any sentence of imprisonment imposed must be suspended and the judge may not require at the time of sentencing that the defendant serve a period of imprisonment as a special condition of probation. If the quantity of the controlled substance exceeds one-half of an ounce (avoirdupois) of marijuana or one-twentieth of an ounce (avoirdupois) of the extracted resin of marijuana, commonly known as hashish, the violation shall be punishable as a Class 1 misdemeanor. If the quantity of the controlled substance exceeds one and one-half ounces (avoirdupois) of marijuana or three-twentieths of an ounce (avoirdupois) of the extracted resin of marijuana, commonly known as hashish, or if the controlled substance consists of any quantity of synthetic tetrahydrocannabinols or tetrahydrocannabinols isolated from the resin of marijuana, the violation shall be punishable as a Class I felony.
- (d1) (1) Except as authorized by this Article, it is unlawful for any person to:
  - (a) Possess an immediate precursor chemical with intent to manufacture a controlled substance; or
  - (b) Possess or distribute an immediate precursor chemical knowing, or having reasonable cause to believe, that the immediate precursor chemical will be used to manufacture a controlled substance.

Any person who violates this subsection shall be punished as a Class H felon, unless the immediate precursor is one that can be used to manufacture methamphetamine.

(2) Except as authorized by this Article, it is unlawful for any person to:

- (a) Possess an immediate precursor chemical with intent to manufacture methamphetamine; or
- (b) Possess or distribute an immediate precursor chemical knowing, or having reasonable cause to believe, that the immediate precursor chemical will be used to manufacture methamphetamine.

Any person who violates this subdivision shall be punished as a Class F felon.

(d2) The immediate precursor chemicals to which subsection (d1) of this section applies are those immediate precursor chemicals designated by the Commission pursuant to its authority under G.S. 90-88, and the following (until otherwise specified by the Commission):

- (1) Acetic anhydride.
- (2) Acetone.
- (3) Anhydrous ammonia.
- (4) Anthranilic acid.
- (5) Benzyl chloride.
- (6) Benzyl cyanide.
- (7) 2-Butanone (Methyl Ethyl Ketone).
- (8) Chloroephedrine.
- (9) Chloropseudoephedrine.
- (10) D-Lysergic acid.
- (11) Ephedrine.
- (12) Ergonovine maleate.
- (13) Ergotamine tartrate.
- (14) Ethyl ether.
- (15) Ethyl Malonate.
- (16) Ethylamine.
- (17) Gamma-butyrolactone.
- (18) Hydrochloric Acid.
- (19) Iodine.
- (20) Isosafrole.
- (21) Lithium.
- (22) Malonic acid.
- (23) Methylamine.
- (24) Methyl Isobutyl Ketone.
- (25) N-acetylanthranilic acid.
- (26) N-ethylephedrine.
- (27) N-ethylepseudoephedrine.
- (28) N-methylephedrine.
- (29) N-methylpseudoephedrine.
- (30) Norpseudoephedrine.

- (31) Phenyl-2-propane.
- (32) Phenylacetic acid.
- (33) Phenylpropanolamine.
- (34) Piperidine.
- (35) Piperonal.
- (36) Propionic anhydride.
- (37) Pseudoephedrine.
- (38) Pyrrolidine.
- (39) Red phosphorous.
- (40) Safrole.
- (41) Sodium.
- (42) Sulfuric Acid.
- (43) Tetrachloroethylene.
- (44) Thionylchloride.
- (45) Toluene.
- (e) The prescribed punishment and degree of any offense under this Article shall be subject to the following conditions, but the punishment for an offense may be increased only by the maximum authorized under any one of the applicable conditions:
  - (1), (2) Repealed by Session Laws 1979, C.760, s. 5.
  - (3) If any person commits a Class 1 misdemeanor under this Article and if he has previously been convicted for one or more offenses under any law of North Carolina or any law of the United States or any other state, which offenses are punishable under any provision of this Article, he shall be punished as a Class I felon. The prior conviction used to raise the current offense to a Class I felony shall not be used to calculate the prior record level;
  - (4) If any person commits a Class 2 misdemeanor, and if he has previously been convicted for one or more offenses under any law of North Carolina or any law of the United States or any other state, which offenses are punishable under any provision of this Article, he shall be guilty of a Class 1 misdemeanor. The prior conviction used to raise the current offense to a Class 1 misdemeanor shall not be used to calculate the prior conviction level;
  - (5) Any person 18 years of age or over who violates G.S. 90-95(a)(1) by selling or delivering a controlled substance to a person under 16 years of age or a pregnant female shall be punished as a Class D felon. Any person 18 years of age or over who violates G.S. 90-95 (a) (1) by selling or delivering a controlled substance to a person who is 13 years of age or younger shall be punished as a Class C felon. Mistake of age is not a defense to a prosecution under this section. It shall not be a defense that the defendant did not know that the recipient was pregnant;
  - (6) For the purpose of increasing punishment under G.S. 90-95(e)(3) and (e)(4), previous convictions for offenses shall be counted by the number of

separate trials at which final convictions were obtained and not by the number of charges at a single trial;

- (7) If any person commits an offense under this Article for which the prescribed punishment requires that any sentence of imprisonment be suspended, and if he has previously been convicted for one or more offenses under any law of North Carolina or any law of the United States or any other state, which offenses are punishable under any provision of this Article, he shall be guilty of a Class 2 misdemeanor;
- (8) Any person 21 years of age or older who commits an offense under G.S. 90-95(a)(1) on property used for a child care center, or an elementary or secondary school or within 1,000 feet of the boundary of real property used for a child care center, or for an elementary or secondary school shall be punished as a Class E felon. For purposes of this subdivision, the transfer of less than five grams of marijuana for no enumeration shall not constitute a delivery in violation of G.S. 90-95(a)(1). For purposes of this subdivision, a child care center is as defined in G.S. 110-86(3)a., and that is licensed by the Secretary of the Department of Health and Human Services.
- (9) Any person who violates G.S. 90-95(a)(3) on the premises of a penal institution or local confinement facility shall be guilty of a Class H felony.
- (10) Any person 21 years of age or older who commits an offense under G.S. 90-95(a)(1) on property that is a public park or within 1,000 feet of the boundary of real property that is a public park shall be punished as a Class E felon. For purposes of this subdivision, the transfer of less than five grams of marijuana for no remuneration shall not constitute a deliver in violation of G.S. 90-95(a)(1).
- (f) Any person convicted of an offense or offenses under this Article who is sentenced to an active term of imprisonment that is less than the maximum active term that could have been imposed may, in addition, be sentenced to a term of special probation. Except as indicated in this subsection, the administration of special probation shall be the same as probation. The conditions of special probation shall be fixed in the same manner as probation, and the conditions may include requirements for rehabilitation treatment. Special probation shall follow the active sentence. No term of special probation shall exceed five years. Special probation may be revoked in the same manner as probation; upon revocation, the original term of imprisonment may be increased by no more than the difference between the active term of imprisonment actually served and the maximum active term that could have been imposed at trial for the offense or offenses for which the person was convicted, and the resulting term of imprisonment need not be diminished by the time spent on special probation.
- (g) Whenever matter is submitted to the North Carolina State Bureau of Investigation Laboratory, the Charlotte, North Carolina, Police Department Laboratory or to the Toxicology Laboratory, Reynolds Health Center, Winston-Salem for chemical analysis to determine if the

matter is or contains a controlled substance, the report of that analysis certified to upon a form approved by the Attorney General by the person performing the analysis shall be admissible without further authentication in all proceedings in the district court division of the General Court of Justice as evidence of the identity, nature, and quantity of the matter analyzed. Provided, however, the provisions of this subsection may be utilized by the State only if:

- (1) The State notifies the defendant at least 15 days before trial of its intention to introduce the report into evidence under this subsection and provides a copy of the report to the defendant, and
- (2) The defendant fails to file a written objection with the court, with a copy to the State, at least five business days before the proceeding that the defendant objects to the introduction of the report into evidence.

If the defendant's attorney of record, or the defendant if that person has no attorney, fails to file a written objection as provided in this subsection, then the report may be submitted into evidence without the testimony of the analyst. Upon filing a timely objection, the admissibility of the report shall be determined and governed by the appropriate rules of evidence.

Nothing in this subsection precludes the right of any party to call any witness or to introduce any evidence supporting or contradicting the evidence contained in the report.

- (g1) Procedure for establishing chain of custody without calling unnecessary witnesses.
- (1) For the purpose of establishing the chain of physical custody or control of evidence consisting of or containing a substance tested or analyzed to determine whether it is a controlled substance, a statement signed by each successive person in the chain of custody that the person delivered it to the other person indicated on or about the date stated is prima facie evidence that the person had custody and made the delivery as stated, without the necessity of a personal appearance in court by the person signing the statement.
- (2) The statement shall contain a sufficient description of the material or its container so as to distinguish it as the particular item in question and shall state that the material was delivered in essentially the same condition as received. The statement may be placed on the same document as the report provided for in subsection (g) of this section.
- (3) The provisions of this subsection may be utilized by the State only if:
  - a. The State notifies the defendant at least 15 days before trial of its intention to introduce the statement into evidence under this subsection and provides the defendant with a copy of the statement, and
  - b. The defendant fails to notify the State at least five days before trial that the defendant objects to the introduction of the statement into evidence.



- (4) Nothing in this subsection precludes the right of any party to call any witness or to introduce any evidence supporting or contradicting the evidence contained in the statement.
- (h) Notwithstanding any other provision of law, the following provisions apply except as otherwise provided in this Article.
- (1) Any person who sells, manufactures, delivers, transports, or possesses in excess of 10 pounds (avoirdupois) of marijuana shall be guilty of a felony which felony shall be known as “trafficking in marijuana” and if the quantity of such substance involved:
- a. Is in excess of 10 pounds, but less than 50 pounds, such person shall be punished as a Class H felon and shall be sentenced to a minimum term of 25 months and a maximum term of 30 months in the State’s prison and shall be fined not less than five thousand dollars (\$5,000);
  - b. Is 50 pounds or more, but less than 2,000 pounds, such person shall be punished as a Class G felon and shall be sentenced to a minimum term of 35 months and a maximum term of 42 months in the State’s prison and shall be fined not less than twenty-five thousand dollars (\$25,000);
  - c. Is 2,000 pounds or more, but less than 10,000 pounds, such person shall be punished as a Class F felon and shall be sentenced to a minimum term of 70 months and a maximum term of 84 months in the State’s prison and shall be fined not less than fifty thousand dollars (\$50,000);
  - d. Is 10,000 pounds or more, such person shall be punished as a Class D felon and shall be sentenced to a minimum term of 175 months and a maximum term of 219 months in the State’s prison and shall be fined not less than two hundred thousand dollars (\$200,000).
- (2) Any person who sells, manufactures, delivers, transports, or possesses 1,000 tablets, capsules or other dosage units, or the equivalent quantity, or more of methaqualone, or any mixture containing such substance, shall be guilty of a felony which felony shall be known as “trafficking in methaqualone” and if the quantity of such substance or mixture involved:
- a. Is 1,000 or more dosage units, or equivalent quantity, but less than 5,000 dosage units, or equivalent quantity, such person shall be punished as a Class G felon and shall be sentenced to a minimum term of 35 months and a maximum term of 42 months in the State’s prison and shall be fined not less than twenty-five thousand dollars (\$25,000);
  - b. Is 5,000 or more dosage units, or equivalent quantity, but less than 10,000 dosage units, or equivalent quantity, such person shall be punished as a Class F felon and shall be sentenced to a minimum term of 70 months and a maximum term of 84

months in the State's prison and shall be fined not less than fifty thousand dollars (\$50,000);

- c. Is 10,000 or more dosage units, or equivalent quantity, such person shall be punished as a Class D felon and shall be sentenced to a minimum term of 175 months and a maximum term of 219 months in the State's prison and shall be fined not less than two hundred thousand dollars (\$200,000).

(3) Any person who sells, manufactures, delivers, transports, or possesses 28 grams or more of cocaine and any salt, isomer, salts of isomers, compound, derivative, or preparation thereof, or any coca leaves and any salt, isomer, salts of isomers, compound, derivative, or preparation of coca leaves, and any salt, isomer, salts of isomers, compound, derivative or preparation thereof which is chemically equivalent or identical with any of these substances (except decocainized coca leaves or any extraction of coca leaves which does not contain cocaine) or any mixture containing such substances, shall be guilty of a felony, which felony shall be known as "trafficking in cocaine" and if the quantity of such substance or mixture involved:

- a. Is 28 grams or more, but less than 200 grams, such person shall be punished as a Class G felon and shall be sentenced to a minimum term of 35 months and a maximum term of 42 months in the State's prison and shall be fined not less than fifty thousand dollars (\$50,000);
- b. Is 200 grams or more, but less than 400 grams, such person shall be punished as a Class F felon and shall be sentenced to a minimum term of 70 months and a maximum term of 84 months in the State's prison and shall be fined not less than one hundred thousand dollars (\$100,000);
- c. Is 400 grams or more, such person shall be punished as a Class D felon and shall be sentenced to a minimum term of 175 months and a maximum term of 219 months in the State's prison and shall be fined at least two hundred fifty thousand dollars (\$250,000).

(3a) Repealed by Session Laws 1999-370, s. 1., effective December 1, 1999.

(3b) Any person who sells, manufactures, delivers, transports, or possesses 28 grams or more of methamphetamine or amphetamine shall be guilty of a felony which felony shall be known as "trafficking in methamphetamine or amphetamine" and if the quantity of such substance or mixture involved:

- a. Is 28 grams or more, but less than 200 grams, such person shall be punished as a Class F felon and shall be sentenced to a minimum term of 70 months and a maximum term of 84 months in the State's prison and shall be fined not less than fifty thousand dollars (\$50,000);
- b. Is 200 grams or more, but less than 400 grams, such person shall be punished as a Class E felon and shall be sentenced to a

minimum term of 90 months and a maximum term of 117 months in the State's prison and shall be fined not less than one hundred thousand dollars (\$100,000);

- c. Is 400 grams or more, such person shall be punished as a Class C felon and shall be sentenced to a minimum term of 225 months and a maximum term of 279 months in the State's prison and shall be fined at least two hundred fifty thousand dollars (\$250,000);

(4) Any person who sells, manufactures, delivers, transports, or possesses four grams or more of opium or opiate, or any salt, compound, derivative, or preparation of opium or opiate, (except apomorphine, nalbuphine, analoxone and naltrexone and their respective salts), including heroin, or any mixture containing such substance, shall be guilty of a felony which felony shall be known as "trafficking in opium or heroin" and if the quantity of such controlled substance or mixture involved:

- a. Is four grams or more, but less than 14 grams, such person shall be punished as a Class F felon and shall be sentenced to a minimum term of 70 months and a maximum term of 84 months in the State's prison and shall be fined not less than fifty thousand dollars (\$50,000);
- b. Is 14 grams or more, but less than 28 grams, such person shall be punished as a Class E felon and shall be sentenced to a minimum term of 90 months and a maximum term of 117 months in the State's prison and shall be fined not less than one hundred thousand dollars (\$100,000);
- c. Is 28 grams or more, such person shall be punished as a Class C felon and shall be sentenced to a minimum term of 225 months and a maximum term of 279 months in the State's prison and shall be fined not less than five hundred thousand dollars (\$500,000).

(4a) Any person who sells, manufactures, delivers, transports, or possesses 100 tablets, capsules, or other dosage units, or the equivalent quantity, or more, of Lysergic Acid Diethylamide, or any mixture containing such substance, shall be guilty of a felony, which felony shall be known as "trafficking in Lysergic Acid Diethylamide." If the quantity of such substance or mixture involved:

- a. Is 100 or more dosage units, or equivalent quantity, but less than 500 dosage units, or equivalent quantity, such person shall be punished as a Class G felon and shall be sentenced to a minimum term of 35 months and a maximum term of 42 months in the State's prison and shall be fined not less than twenty-five thousand dollars (\$25,000).
- b. Is 500 or more dosage units, or equivalent quantity, but less than 1,000 dosage units, or equivalent quantity, such person shall be punished as a Class F felon and shall be sentenced to a minimum term of 70 months and a maximum term of 84 months in the State's prison and shall be fined not less than fifty thousand dollars (\$50,000);

- c. Is 1,000 or more dosage units, or equivalent quantity, such person shall be punished as a Class D felon and shall be sentenced to a minimum term of 175 months and a maximum term of 219 months in the State's prison and shall be fined not less than two hundred thousand dollars (\$200,000).
- (4b) Any person who sells, manufactures, delivers, transports, or possesses 100 or more tablets, capsules, or other dosage units, or 28 grams or more of 3,4-methylenedioxymphetamine (MDA), including its salts, isomers, and salts of isomers, or 3,4-methylenedioxymphetamine (MDMA), including its salts, isomers, and salts of isomers, or any mixture containing such substances, shall be guilty of a felony, which felony shall be known as "trafficking in MDA/MDMA." If the quantity of the substance or mixture involved:
  - a. Is 100 or more tablets, capsules, or other dosage units, but less than 500 tablets, capsules, or other dosage units, or 28 grams or more, but less than 200 grams, the person shall be punished as a Class G felon and shall be sentenced to a minimum term of 35 months and a maximum term of 42 months in the State's prison and shall be fined not less than twenty-five thousand dollars (\$25,000);
  - b. Is 500 or more tablets, capsules, or other dosage units, but less than 1,000 tablets, capsules, or other dosage units, or 200 grams or more, but less than 400 grams, the person shall be punished as a Class F felon and shall be sentenced to a minimum term of 70 months and a maximum term of 84 months in the State's prison and shall be fined not less than fifty thousand dollars (\$50,000);
  - c. Is 1,000 or more tablets, capsules, or other dosage units, or 400 grams or more, the person shall be punished as a Class D felon and shall be sentenced to a minimum term of 175 months and a maximum term of 219 months in the State's prison and shall be fined not less than two hundred fifty thousand dollars (\$250,000).
- (5) Except as provided in this subdivision, a person being sentenced under this subsection may not receive a suspended sentence or be placed on probation. The sentencing judge may reduce the fine, or impose a prison term less than the applicable minimum prison term provided by this subsection, or suspend the prison term imposed and place a person on probation when such person has, to the best of his knowledge, provided substantial assistance in the identification, arrest, or conviction of any accomplices, accessories, coconspirators, or principals if the sentencing judge enters in the record a finding that the person to be sentenced has rendered such substantial assistance.

- (6) Sentences imposed pursuant to this subsection shall run consecutively with and shall commence at the expiration of any sentence being served by the person sentenced hereunder.
- (i) The penalties provided in subsection (h) of this section shall also apply to any person who is convicted of conspiracy to commit any of the offenses described in subsection (h) of this section.

## **POSSESSION OF DRUG PARAPHERNALIA**

### **90-113.21. GENERAL PROVISIONS**

- (a) As used in this Article, "drug paraphernalia" means all equipment, products and materials of any kind that are used to facilitate, or intended or designed to facilitate, violations of the Controlled Substances Act, including planting, propagating, cultivating, growing, harvesting, manufacturing, compounding, converting, producing, processing, preparing, testing, analyzing, packaging, repackaging, storing, containing, and concealing controlled substances and injecting, ingesting, inhaling, or otherwise introducing controlled substances into the human body. "Drug paraphernalia" includes, but is not limited to, the following:
  - (1) Kits for planting, propagating, cultivating, growing, or harvesting any species of plant which is a controlled substance or from which a controlled substance can be derived;
  - (2) Kits for manufacturing, compounding, converting, producing, processing, or preparing controlled substance;
  - (3) Isomerization devices for increasing the potency of any species of plant which is a controlled substance;
  - (4) Testing equipment for identifying, or analyzing the strength, effectiveness, or purity of controlled substances;
  - (5) Scales and balances for weighing or measuring controlled substances;
  - (6) Diluents and adulterants, such as quinine, hydrochloride, mannitol, mannite, dextrose, and lactose for mixing with controlled substances;
  - (7) Separation gins and sifters for removing twigs and seeds from, or otherwise cleaning or refining, marijuana;
  - (8) Blenders, bowls, containers, spoons, and mixing devices for compounding controlled substances;
  - (9) Capsules, balloons, envelopes and other containers for packaging small quantities of controlled substances;
  - (10) Containers and other objects for storing or concealing controlled substances;
  - (11) Hypodermic syringes, needles, and other objects for parenterally injecting controlled substances into the body;
  - (12) Objects for ingesting, inhaling, or otherwise introducing marijuana, cocaine, hashish, or hashish oil into the body, such as:
    - a. Metal, wooden, acrylic, glass, stone, plastic, or ceramic pipes with or without screens, permanent screens, hashish heads, or punctured metal bowls;

- b. Water pipes;
  - c. Carburetion tubes and devices;
  - d. Smoking and carburetion masks;
  - e. Objects, commonly called roach clips, for holding burning material, such as a marijuana cigarette, that has become too small or too short to be held in the hand;
  - f. Miniature cocaine spoons and cocaine vials;
  - g. Chamber pipes;
  - h. Carburetor pipes;
  - i. Electric pipes;
  - j. Air-driven pipes;
  - k. Chillums;
  - l. Bonges;
  - m. Ice pipes or chillers.
- (b) The following, along with all other relevant evidence, may be considered in determining whether an object is drug paraphernalia:
- (1) Statements by the owner or anyone in control of the object concerning its use;
  - (2) Prior convictions of the owner or other person in control of the object for violations of controlled substances law;
  - (3) The proximity of the object to a violation of the Controlled Substances Act;
  - (4) The proximity of the object to a controlled substance;
  - (5) The existence of any residue of a controlled substance on the object;
  - (6) The proximity of the object to other drug paraphernalia;
  - (7) Instructions provided with the object concerning its use;
  - (8) Descriptive materials accompanying the object explaining or depicting its use;
  - (9) Advertising concerning its use;
  - (10) The manner in which the object is displayed for sale;
  - (11) Whether the owner, or anyone in control of the object, is a legitimate supplier of like or related items to the community, such as a seller of tobacco products or agricultural supplies;
  - (12) Possible legitimate uses of the object in the community;
  - (13) Expert testimony concerning its use;
  - (14) The intent of the owner or other person in control of the object to deliver it to persons whom he knows or reasonably should know intends to use the object to facilitate violations of the Controlled Substances Act.

## **90-113.22. POSSESSION OF DRUG PARAPHERNALIA**

- (a) It is unlawful for any person to knowingly use, or to possess with intent to use, drug paraphernalia to plant, propagate, cultivate, grow, harvest, manufacture, compound, convert, produce, process, prepare, test, analyze, package, repackage, store, contain, or conceal a controlled substance which it would be unlawful to possess, or to inject, ingest, inhale, or

otherwise introduce into the body a controlled substance which it would be unlawful to possess.

- (b) Violation of this section is a Class 1 misdemeanor.

**90-113.23. MANUFACTURE OR DELIVERY OF DRUG  
PARAPHERNALIA**

- (a) It is unlawful for any person to deliver, possess with intent to deliver, or manufacture with intent to deliver, drug paraphernalia knowing that it will be used to plant, propagate, cultivate, grow, harvest, manufacture, compound, convert, produce, process, prepare, test, analyze, package, repack, store, contain, or conceal a controlled substance which it would be unlawful to possess, or that it will be used to inject, ingest, inhale, or otherwise introduce into the body a controlled substance which it would be unlawful to possess.
- (b) Delivery, possession with intent to deliver, or manufacture with intent to deliver, of each separate and distinct item of drug paraphernalia is a separate offense.
- (c) Violation of this section is a Class 1 misdemeanor. However, delivery of drug paraphernalia by a person over 18 years of age to someone under 18 years of age who is at least three years younger than the defendant shall be punishable as a Class I felony.

# Federal Trafficking Penalties: Schedule I - V Drugs

## Federal Trafficking Penalties

CSA	PENALTY		Quantity	DRUG	Quantity	PENALTY	
	2nd Offense	1st Offense				2nd Offense	1st Offense
I	Not less than 10 years No more than life.	Not less than 5 years No more than 40 years.	5-49 gm or 50-499 gm mixture 100-999 gm mixture	METHAMPHETAMINE	50 gm or more or 500 gm mixture	Not less than 20 years. Not more than life.	Not less than 10 years No more than life.
	If death or serious injury, not less than life	If death or serious injury, not less than 20 years. Not more than life.	500-4,999 gm mixture 5-49 gm	HEROINE  COCAINE  COCAINE BASE	5 kg or more mixture 50 gm or more mixture	If death or serious injury, not less than 20 years or life.	If death or serious injury, not less than life.
II	Fine of not more than \$5 million individual, \$50 million other than individual.	Fine of not more than \$5 million individual, \$25 million other than individual.	10-99 gm or 100-999 gm mixture 1-9 gm mixture	PCP  LSD	100 gm or more or 1 kg or more mixture 10 gm or more mixture	Fine of not more than \$20 million individual, \$75 million other than individual.	Fine of not more than \$10 million individual, \$50 million other than individual.
			40-399 gm mixture 10-99 gm mixture	FETANYL  FETANYLANALOGUE	400 gm or more mixture 100 gm or more mixture	million other than individual.	
	Drug	Quantity	First Offense		Second Offense		
	Any other CSA I, CSA II or 1 gm or more Flunitrazepam	Any	Not more than 20 years. If death or serious injury, not less than 20 years, not more than life. Fine \$1 million individual, \$5 million not individual.		Not more than 30 years. If death or serious injury, life. Fine \$2 million individual, \$10 million not individual.		
III	CSA III or 30-99 gm Flunitrazepam	Any	Not more than 10 years. Fine not more than \$250,000 individual, \$1 million not individual.		Not more than 20 years. Fine not more than \$500,000 individual, \$2 million not individual.		
IV	Any	Any	Not more than 51 years. Fine not more than \$250,000 individual, \$1 million not individual.		Not more than 10 years. Fine not more than \$500,000 individual, \$2 million not individual.		
V	Any	Any	Not more than 1 years. Fine not more than \$100,000 individual, \$250,000 not individual.		Not more than 4 years. Fine not more than \$200,000 individual, \$500,000 not individual.		

Note: Federal Trafficking Penalties as of October 1999.



# Federal Trafficking Penalties: Schedule VI Drugs/Marijuana

Federal Trafficking Penalties - Marijuana			
Quantity	Description	First Offense	Second Offense
1,000 kg or more; or 1,000 or more plants	<b>Marijuana</b> Mixture containing detectable quantity*	No less than 10 years, not more than life. If death or serious injury, not less than 20 years, not more than life. Fine not more than \$4 million individual, \$10 million other than individual.	No less than 20 years, not more than life. If death or serious injury, not less than life. Fine not more than \$8 million individual, \$20 million other than individual.
100 kg to 999 kg; or 100 to 999 plants	<b>Marijuana</b> Mixture containing detectable quantity*	No less than 5 years, not more than 40 years. If death or serious injury, not less than 20 years, not more than life. Fine not more than \$4 million individual, \$10 million other than individual.	No less than 10 years, not more than life. If death or serious injury, not less than life. Fine not more than \$4 million individual, \$10 million other than individual.
50 to 100 kg	<b>Marijuana</b>	Not more than 20 years. If death or serious injury, not less than 20 years, not more than life. Fine not more than \$1 million individual, \$5 million other than individual.	Not more than 30 years. If death or serious injury, life. Fine not more than \$2 million individual, \$10 million other than individual.
10 kg or more	<b>Hashish</b>		
1 kg or more	<b>Hashish Oil</b>		
50 to 99 plants	<b>Marijuana</b>		
Less than 50 kg	<b>Marijuana</b>	Not more than 5 years. Fine not more than \$250,000 individual, \$1 million other than individual.	Not more than 10 years. Fine \$500,000 individual, \$2 million other than individual.
Less than 10 kg	<b>Hashish</b>		
Less than 1 kg	<b>Hashish Oil</b>		

NOTE: Federal Trafficking Penalties - Marijuana as of October 1999.  
\* Includes Hashish and Hashish Oil (Marijuana is a Schedule VI Controlled Substance)

## Health Risks

Drug and alcohol abuse have many costs to our society, including loss of work productivity, disruption to families, and loss of life. Members of the campus community who are 24 years old or younger may be especially susceptible to the long-term health effects of using alcohol and drugs due to their continued brain development during this period.

The health risks of alcohol and drugs are detailed in the charts Commonly Abused Drugs and Selected Prescription Drugs with Potential for Abuse from the National Institute on Drug Abuse ([www.drugabuse.gov](http://www.drugabuse.gov)) printed in this handbook. Members of the campus community are encouraged to research the health effects of drugs through reputable scientific sources, such as the National Institute on Drug Abuse ([www.nida.nih.gov](http://www.nida.nih.gov)), the National Institute on Alcohol Abuse and Alcoholism ([www.niaaa.nih.gov](http://www.niaaa.nih.gov)), and the Centers for Disease Control ([www.cdc.gov](http://www.cdc.gov)).

Alcohol abuse is one of the most concerning health issues facing college campuses. Excessive and underage drinking is linked to over 1900 deaths of college students per year, in addition to 599,000 students sustaining alcohol-related injuries, 696,000 students experiencing assaults, 400,000 students having unsafe sex while under the influence of alcohol, and 150,000 students experiencing alcohol-related health problems (Hingson, 2009, [www.collegedrinkingprevention.gov](http://www.collegedrinkingprevention.gov)).

Abuse of alcohol can produce severe health risks, including death. Alcohol consumption causes a number of marked changes in behavior. Even low doses significantly impair the judgment and coordination required to drive a car safely, increasing the likelihood that the driver will be involved in an accident. Low-to-moderate doses of alcohol also increase the incidence of a variety of aggressive acts, including spouse and child abuse. Moderate-to-high doses of alcohol cause marked impairments in higher mental functions, severely altering a person's ability to learn and remember information. Very high doses cause respiratory depression and death. If combined with other depressants of the central nervous system, much lower doses of alcohol will produce the effects just described. Repeated use of alcohol can lead to dependence. Sudden cessation of alcohol intake is likely to produce withdrawal symptoms, including severe anxiety, tremors, hallucinations, and convulsions. Alcohol withdrawal can be life threatening. Long-term consumption of large quantities of alcohol, particularly when combined with poor nutrition, can also lead to permanent damage to vital organs such as the brain and the liver (Source: U.S. Department of Education, Office of Safe and Drug-Free Schools, 1992).

Addiction is a concern among college students; in one study, 31 percent of college students met criteria for a diagnosis of alcohol abuse and 6 percent for a

diagnosis of alcohol dependence in the past 12 months, according to questionnaire-based self-reports about their drinking (Knight, 2002). However, the campus environment is one that supports people in recovery from addiction as well. Overall, any campus community member who uses alcohol is encouraged to become as knowledgeable as possible about the effects of alcohol on their health and guidelines for safe and responsible use (examples are available at [www.rethinkingdrinking.niaaa.nih.gov](http://www.rethinkingdrinking.niaaa.nih.gov) or [www.uncw.edu/crossroads](http://www.uncw.edu/crossroads)).

# Controlled Substances: Uses and Effects

<div> <div>NIDA</div> <div>NATIONAL INSTITUTE ON DRUG ABUSE</div> </div> <div>Commonly Abused Drugs</div> <div>Visit NIDA at <a href="http://www.drugabuse.gov">www.drugabuse.gov</a></div>			National Institutes of Health U.S. Department of Health and Human Services	
Substances, Category and Name	Examples of Commercial and Street Names	DEA Schedule/ <sup>1</sup> How Administered <sup>2*</sup>	Acute Effects/Health Risks	
<b>Tobacco</b>			Increased blood pressure and heart rate; chronic lung disease; cardiovascular disease; stroke; cancers of the mouth, pharynx, larynx, esophagus, stomach, pancreas, cervix, ovary, bladder; and acute myeloid leukemia, severe pregnancy outcomes, reduction in lung capacity	
<b>Nicotine</b>	Round in cigarettes; cigars, bids, and smokeless tobacco (snuff, spit tobacco, chew)	Not scheduled/smoked, snorted, chewed		
<b>Alcohol</b>				
Absinthe (ethyl acetate)	Fluted in liquor, beer, and wine	Not scheduled/swallowed	In low doses, euphoria; mild stimulation, relaxation; lowered inhibitions; in higher doses, loss of coordination, slurred speech, impaired judgment, loss of consciousness; increased risk of injuries, violence, fetal damage (in pregnant women); depression; neurologic effects; hypertension; liver and heart disease; addiction; fatal overdose	
<b>Cannabis</b>				
Marijuana	Blunt, dope, ganja, grass, joint, pot, bud, Mary Jane, pot, reefer, green, treat, weed	Unscheduled, swallowed	Euphoria; relaxation; slowed reaction time; distorted sensory perception; impaired balance and coordination; increased heart rate and appetite; impaired learning, memory, and judgment; impaired coordination; impaired respiratory function; possible mental health decline; addiction	
Hashish	Born ganges/1 hash, hash oil, bang	Unscheduled, swallowed		
<b>Opioids</b>				
Heroin	Disciphenorphine smack, horse, brown sugar, dope, H, junk, skag, skunk, white horse, China white, cheese (with OTC cold medicine and antihistamine)	Inject, smoked, snorted	Euphoria; drowsiness; impaired consciousness; confusion; nausea; sedation; feeling of heaviness in the body; slowed or arrested breathing; constipation; endocarditis; hepatitis; HIV; addiction; fatal overdose	
<b>Stimulants</b>				
Quam	Lauderum, paregoric; big O, black stuff, black gum, tap	Il. Il., Unswallowed, smoked	Increased heart rate, blood pressure, body temperature, metabolism; feelings of exhilaration; increased energy, mental alertness; tremors; reduced appetite; irritability; anxiety; panic; paranoia, violent behavior; psychosocial weight loss; traumatic cardiac or pulmonary events	
<b>Coaine</b>	Coaine hydrochloride; blow, tramp, C, candy, Charlie, coke, crack, fake, rock, rock, rock	Unsnorted, smoked, injected		
Amphetamine	Black-tie, Desovine; bonnie, black beanie, cocaine, hearts, LA turnaround, speed, truck drives, uppers	Unswallowed, snorted, smoked, injected		
Methamphetamine	Desovyn; meth, ice, crank, chalk, crystal, fire, glass, go fast, speed	Unswallowed, snorted, smoked, injected		
<b>Club Drugs</b>				
MDMA (methylenedioxymethamphetamine)	Ecstasy, Adam, clarity, Eve, lover's speed, peace, uppers	Unswallowed, snorted, injected	MDMA—mild hallucinogenic effects; increased tactile sensitivity, empathic feelings; lowered inhibition; anxiety; chills; sweating; teeth clenching; muscle cramping/tearing; depression; impaired memory; hyperthermia; addiction	
Gamma-hydroxybutyrate (GHB)**	Butyrol; forget me pill, Mexican Valium, R2, nuach, Nuachi, nufofin, rips, ripples	Unswallowed, snorted	sleep disturbances; depression; impaired memory; hyperthermia; addiction; impaired coordination; addiction	
<b>Dissoicative Drugs</b>				
Ketamine	ecstasy, soap, soap, poop, liquid X	Unswallowed	GHB—drowsiness; nausea; headache; disorientation; loss of coordination; memory loss; unconsciousness; seizures; coma	
PCP and analogs (phencyclidine/methamphetamine)	Ketalar; SV cat Valium, K, Special K, vitamin K	Unswallowed, smoked, injected	Feelings of being separate from one's body and environment; impaired motor function; anxiety, tremors, numbness; memory loss; nausea	
Dextromethorphan (DXM)	Phenylisopropyl amide; fog, fog, fog, fog, fog, peace pill, rips, ripples	Unswallowed, smoked, injected	Also for ketamine—analgesic; impaired memory; delirium; respiratory depression	
	Found in some cough and cold medications; Robitussin, Robo, Tylenol C	Unswallowed, smoked	Also for PCP and analog—analgesic; psychosocial aggression; violence; slurred speech; loss of coordination; hallucinations	
		Not scheduled/swallowed	Also for DXM—euphoria; slurred speech; confusion; dizziness; distorted visual perceptions	
<b>Hallucinogens</b>				
LSD	Lysergic acid diethylamide; acid, blotter, cubes, microdot, yellow sunline, blue dot	Unswallowed, absorbed through mouth tissues	Altered states of perception and feeling; hallucinations; nausea	
Mescaline	Balloons, cactus, mass, peyote	Unswallowed, smoked	Distorted and decreased body temperature, heart rate, blood pressure; loss of motor coordination; increased heart rate, blood pressure; tremors; impulsive behavior; rapid shifts in emotion	
Psilocybin	Magic mushrooms, purple passion, shrooms, little smoke	Unswallowed	Also for LSD—Flashbacks, Hallucinogen Persisting Perception Disorder	
<b>Other Compounds</b>				
Anabolic steroids	Anabolic, Oandrin, Durabolin, Depo Testosterone, Euposteron, roids, juic, juic, juic	Unswallowed, injected, applied to skin	Also for psilocybin—nervousness; paranoia; panic	
Inhalants	Solvents (paint thinner, gasoline, glue); gases (butane, propane, aerosol gas, propellants, nitrous oxide); nitrates (isoamylalcohol, cyclohexyl) laughing gas, poppers, snappers, whippets	Not scheduled/inhaled through nose or mouth	Swells—no intoxication effects; hypertension; blood clotting and cholesterol changes; liver cysts; hostility and aggression; acne; in adolescents—premature stoppage of growth; enlargement of heart; in females—menstrual irregularities, development of breast and other masculine characteristics	
			Inhalants (varies by chemical)—stimulation; loss of inhibition; headache; nausea or vomiting; slurred speech; loss of motor coordination; wheezing; tearing, muscle weakness; dizziness; loss of consciousness; damage to cardiovascular and nervous systems; unconsciousness; sudden death	



# Prescription Drugs: Uses and Effects

Selected Prescription Drugs With Potential for Abuse			
Visit NIDA at <a href="http://www.drugabuse.gov">www.drugabuse.gov</a>			
Substances: Category and Name	Examples of Commercial and Street Names	DEA Schedule*/ How Administered**	Intoxication Effects/Potential Health Consequences
Depressants	<i>Amysal, Nembutal, Seconal, Phenobarbital</i> ; barbs, reds, red birds, phannies, toots, yellows, yellow jackets	II, III, IV/injected, swallowed	reduced pain and anxiety; feeling of well-being; lowered inhibitions; slowed pulse and breathing; lowered blood pressure; poor concentration/confusion, fatigue; impaired coordination, memory, judgment; respiratory depression and arrest; addiction
	<i>benzodiazepines</i> (other than flunitrazepam)	IV/swallowed	Also, for <i>barbiturates</i> —sedation, drowsiness/depression, unusual excitement, fever, irritability, poor judgment, slurred speech, dizziness
	<i>Alivan, Valcion, Librium, Valium, Xanax</i> ; candy, downers, sleeping pills, tranks	IV/swallowed, snorted	for <i>benzodiazepines</i> —sedation, drowsiness/depression, unusual excitement, for <i>flunitrazepam</i> —visual and gastrointestinal disturbances, urinary retention, memory loss for the time under the drug's effects
	<i>Rohypnol</i> ; forget-me pill, Mexican Valium, R2, Roche, roffies, roofnod, rope, rophies		increased heart rate and blood pressure, impaired motor function/memory
Dissociative Anesthetics	<i>Ketalar SV</i> ; cat Valium, K, Special K, vitamin K	II/injected, snorted, smoked	Also, for <i>ketamine</i> —high doses, delirium, depression, respiratory depression and arrest
Opioids and Morphine Derivatives	<i>Empirin with Codeine, Fiorinal with Codeine, Robitussin A-C, Telenor with Codeine</i> ; Captain Cody, Cody, schoolboy; (with glutethimide) doors & fours, loads, pancakes and syrup jackpot, murder 8, TNT, Tango and Cash	II, III, IV/injected, swallowed	partial relief, euphoria, drowsiness/respiratory depression and arrest, nausea, constipation, constipation, sedation, unconsciousness, coma, tolerance, addiction
Stimulants	<i>Amphetamines</i>	II/injected, smoked, snorted	Also, for <i>codeine</i> —less analgesia, sedation, and respiratory depression than morphine
	<i>cocaine</i>	II, III, IV/injected, swallowed, smoked	increased heart rate, blood pressure, metabolism; feelings of exhilaration, increased energy, increased alertness, rapid or irregular heart beat, reduced appetite, weight loss; heart failure
	<i>methamphetamine</i>	II/injected, smoked, snorted	Also, for <i>amphetamines</i> —rapid breathing, hallucinations/henor, loss of coordination; irritability, avoluntariness, restlessness, delirium, panic, paranoia, impulsive behavior, aggressiveness, tolerance, addiction
	<i>methylphenidate</i>	II/injected, swallowed, snorted	for <i>cocaine</i> —increased temperature/chest pain, respiratory failure, nausea, abdominal pain, strokes, seizures, headaches, malnutrition
			for <i>methamphetamine</i> —aggression, violence, psychotic behavior/memory loss, cardiac and neurological damage; impaired memory and learning, tolerance, addiction
			for <i>methylphenidate</i> —increase or decrease in blood pressure, psychotic episodes/digestive problems, loss of appetite, weight loss
			no intoxication effects/hypertension, blood clotting and cholesterol changes, premature stoppage of growth; in males, prostate cancer, reduced sperm production, shrunken testicles, breast enlargement; in females, menstrual irregularities, development of beard and other masculine characteristics
Other Compounds	<i>Androl, Oandrin, Durabolin, Depo-Testosterone, Equiposol</i> ; roids, juce	II/injected, swallowed, applied to skin	

\*Schedule I and II drugs have a high potential for abuse. They require greater storage security and have a quota on manufacturing, among other restrictions. Schedule I drugs are available for research only and have no approved medical use. Schedule II drugs are available for medical use, but they have a high potential for abuse. Schedule III drugs are available for medical use, but they have a moderate potential for abuse. Schedule IV drugs are available for medical use, but they have a low potential for abuse. Schedule V drugs are available over the counter.

\*\*Taking drugs by injection can increase the risk of infection through needle contamination with *staphylococci*, HIV, hepatitis, and other organisms.

\*\*\*Associated with sexual assaults.

\*\*\*\*Not available by prescription in U.S.

## **Federal Drug-Free Workplace Act of 1988**

It is the policy of UNCW that all employees shall have the right to a workplace which is free of alcohol and other drugs. This policy is established to ensure the safety and well-being of employees as well as the general public. All employees of UNCW are covered by this policy. It is the responsibility of management, supervisors and employees to become familiar with the expectations of UNCW and to comply with the provisions of this act, the policy and other provisions outlined in this handbook. Compliance with the provisions of this policy shall be a condition of employment and violation of this policy may include disciplinary action up to and including dismissal. All new hires, (faculty, staff and student employees) will be provided a copy of this policy and will be notified of their obligation for compliance as a condition of employment.

In the event of a conflict between the provisions of this policy and related state or federal law or policy, the federal law or policy shall always control.

As with state law, the manufacture, distribution, dispensing, possession or use of an illegal substance is prohibited.

Any employee convicted of any criminal drug statute violation occurring in or on the workplace premises must notify the appropriate supervisor or management person no later than five (5) calendar days after such conviction. Management will notify Human Resources immediately. Failure to provide notification shall be cause for disciplinary action up to and including dismissal.

Any employee convicted of an off-duty drug-related offense which could directly or indirectly affect their credibility or ability to carry out effectively the duties and responsibilities of their position shall be subject to disciplinary action up to and including dismissal.





## A

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